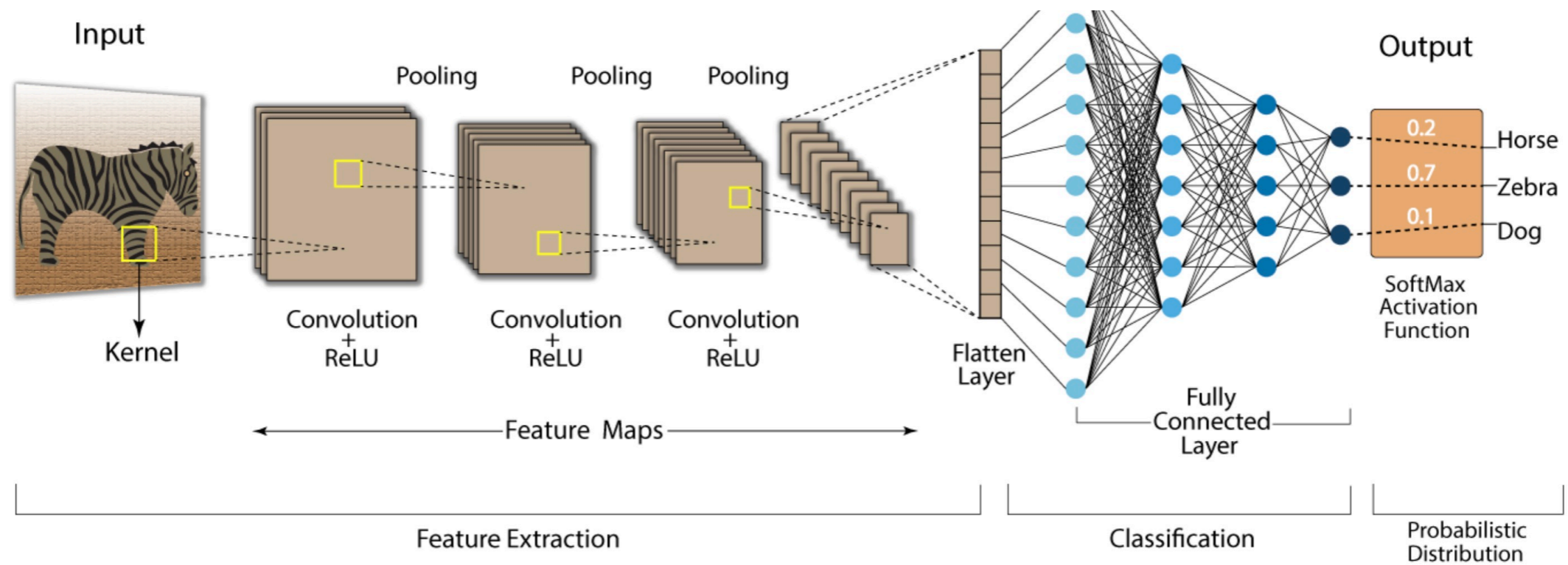


On the Shift Invariance of Max Pooling Feature Maps in CNN

joint work with H. Leterme, K. Alahari et V. Perrier

Kévin Polisano

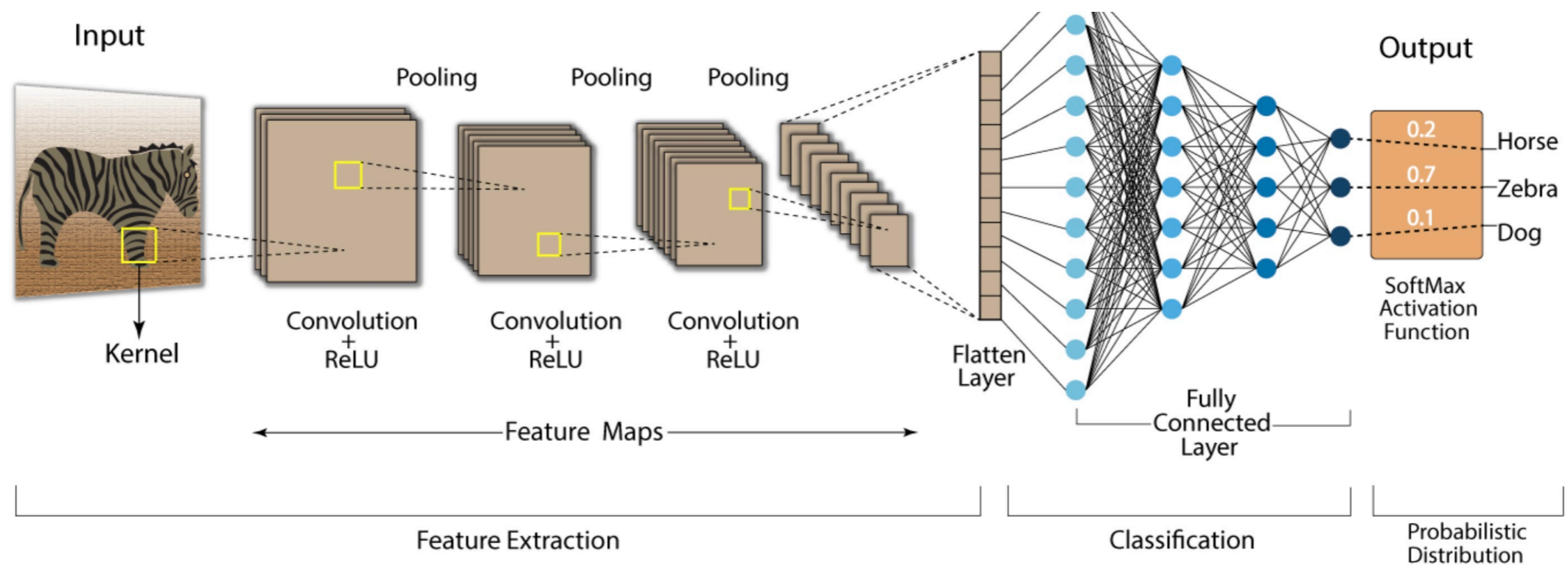
Convolutional neural networks



Source : <https://developersbreach.com/convolution-neural-network-deep-learning/>

Convolutional neural networks

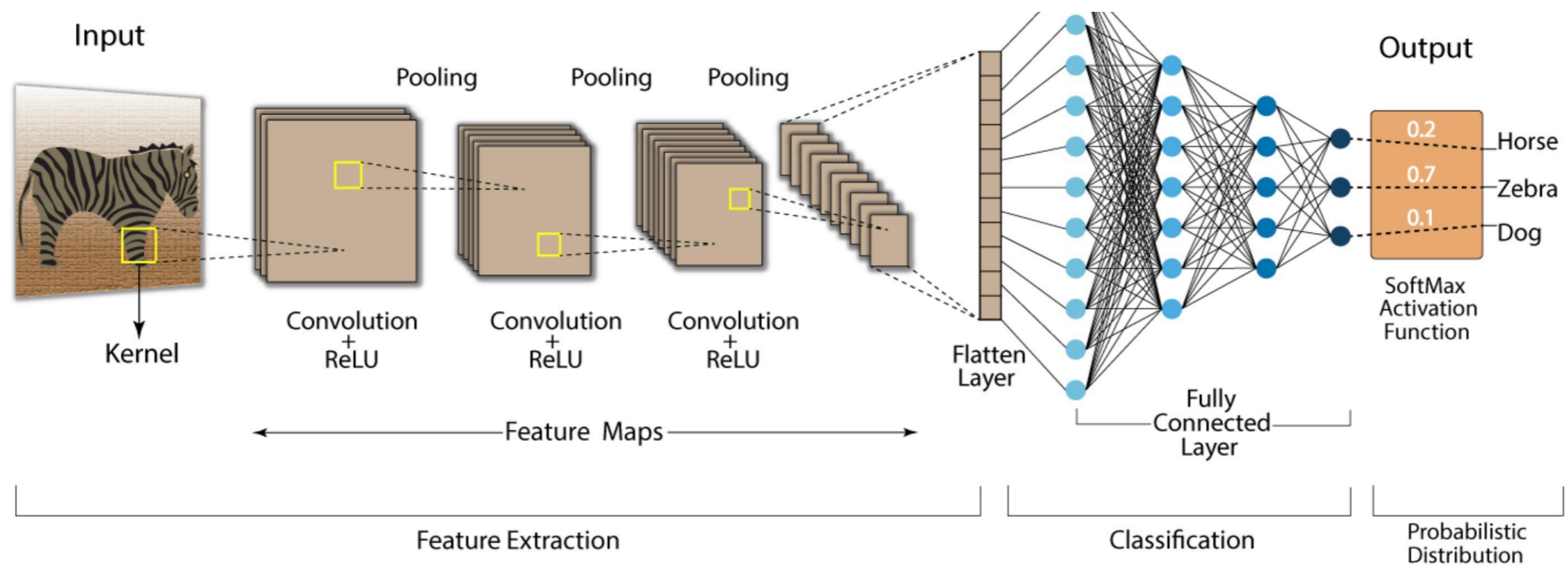
- Image classification: feature vectors are fed into a linear classifier



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Convolutional neural networks

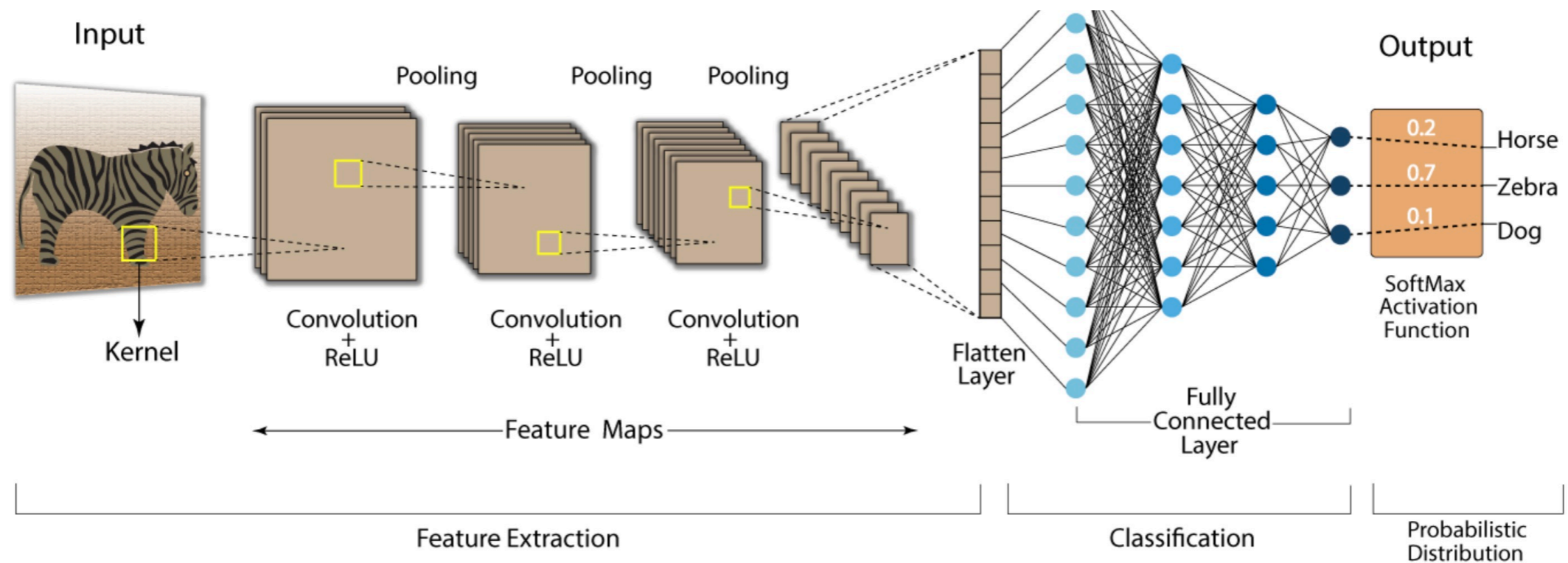
- Image classification: feature vectors are fed into a linear classifier
- Desired property of CNN: to remain invariant to small translations



Source : <https://developersbreach.com/convolution-neural-network-deep-learning/>

Convolutional neural networks

- Image classification: feature vectors are fed into a linear classifier
- Desired property of CNN: to remain invariant to small translations
- Are extracted features maps stable to translations?



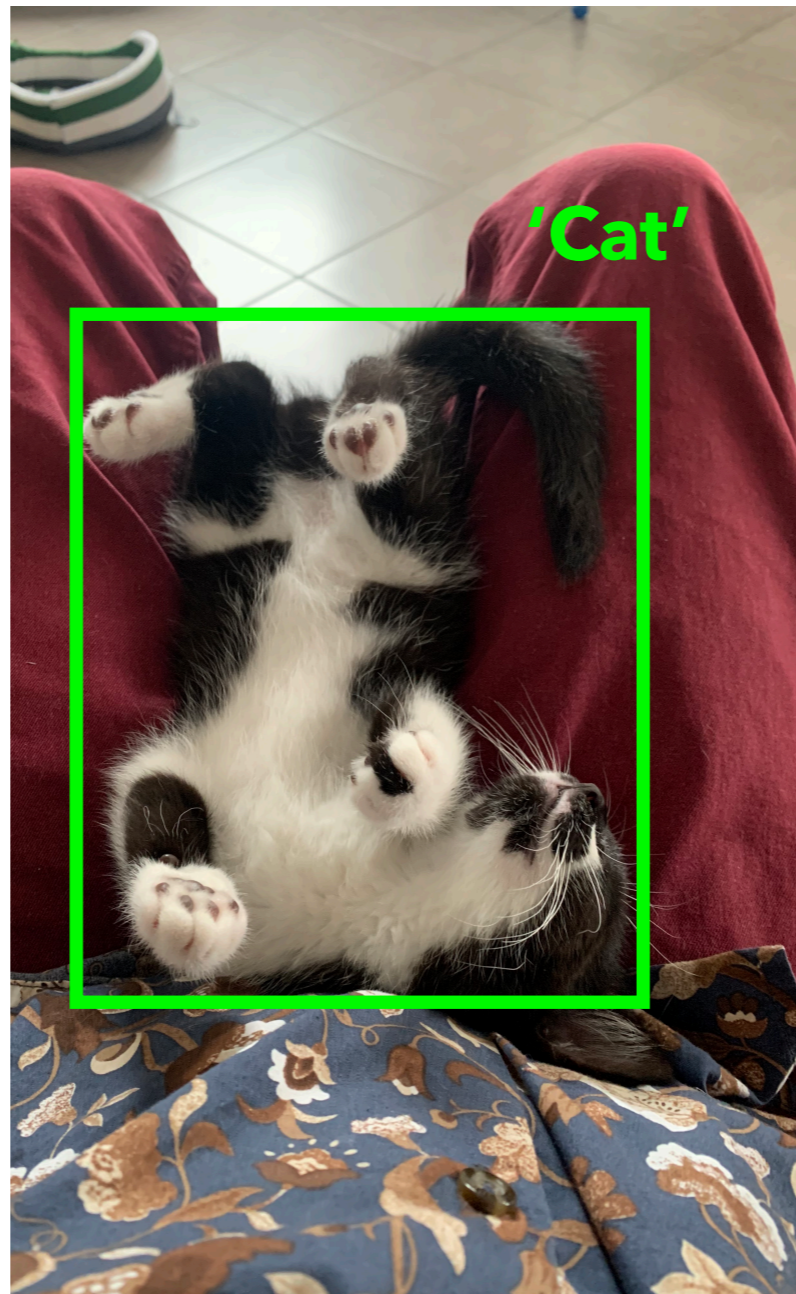
Source : <https://developersbreach.com/convolution-neural-network-deep-learning/>

Are CNNs shift-invariant?



My cat Ada

Are CNNs shift-invariant?



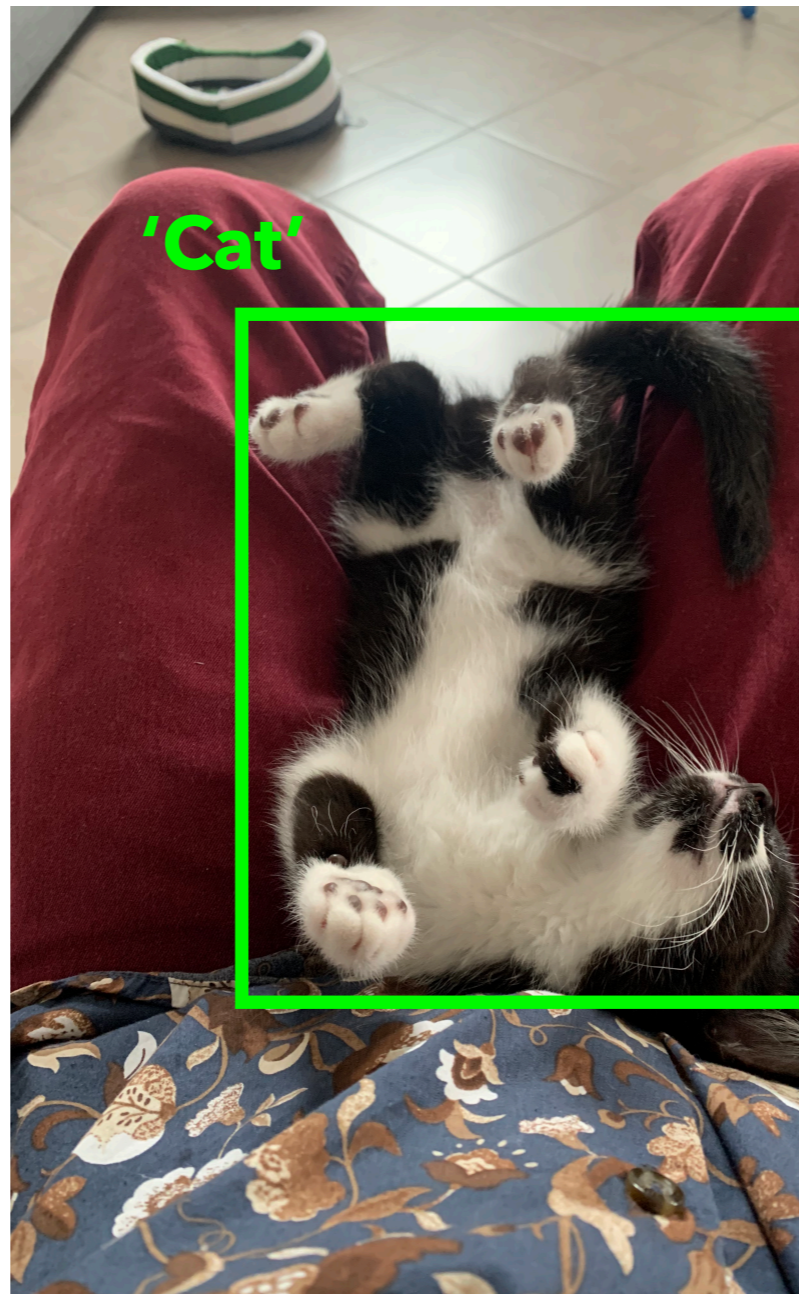
Are CNNs shift-invariant?



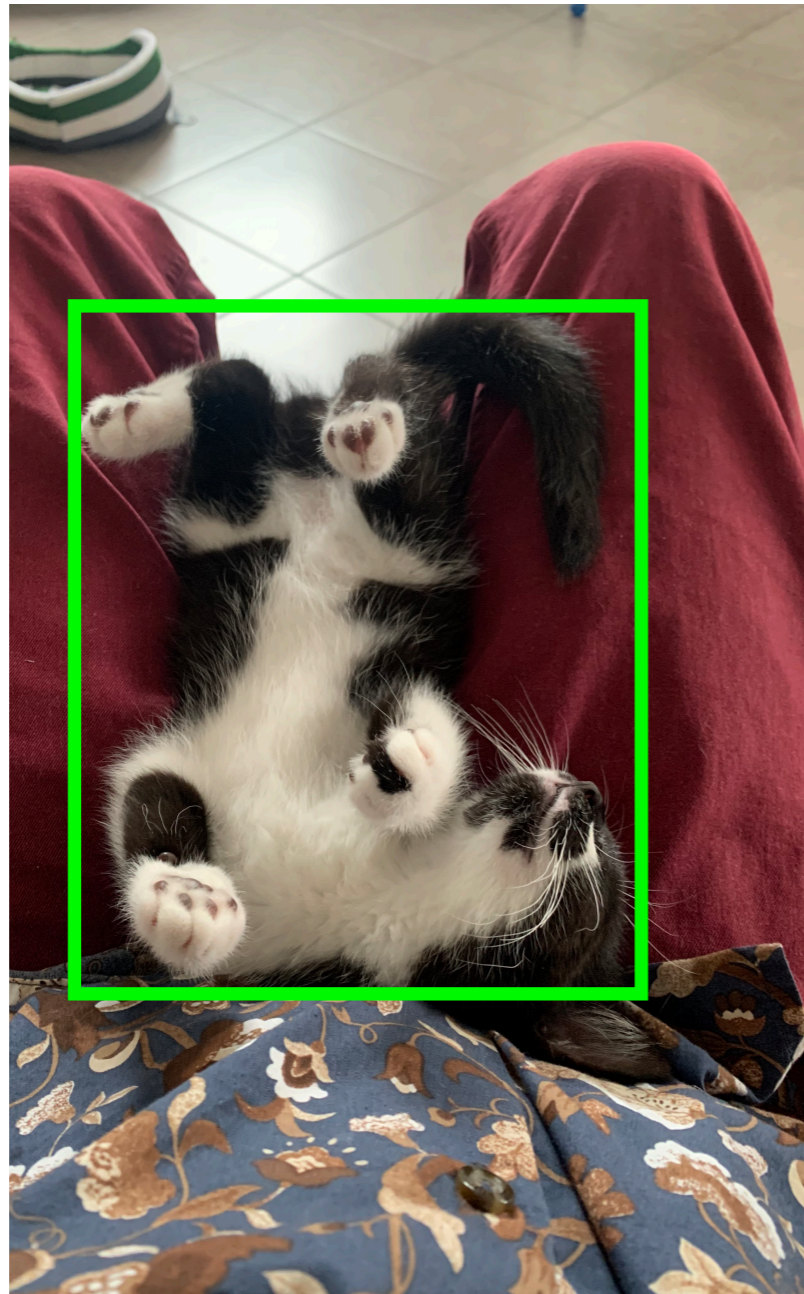
Are CNNs shift-invariant?



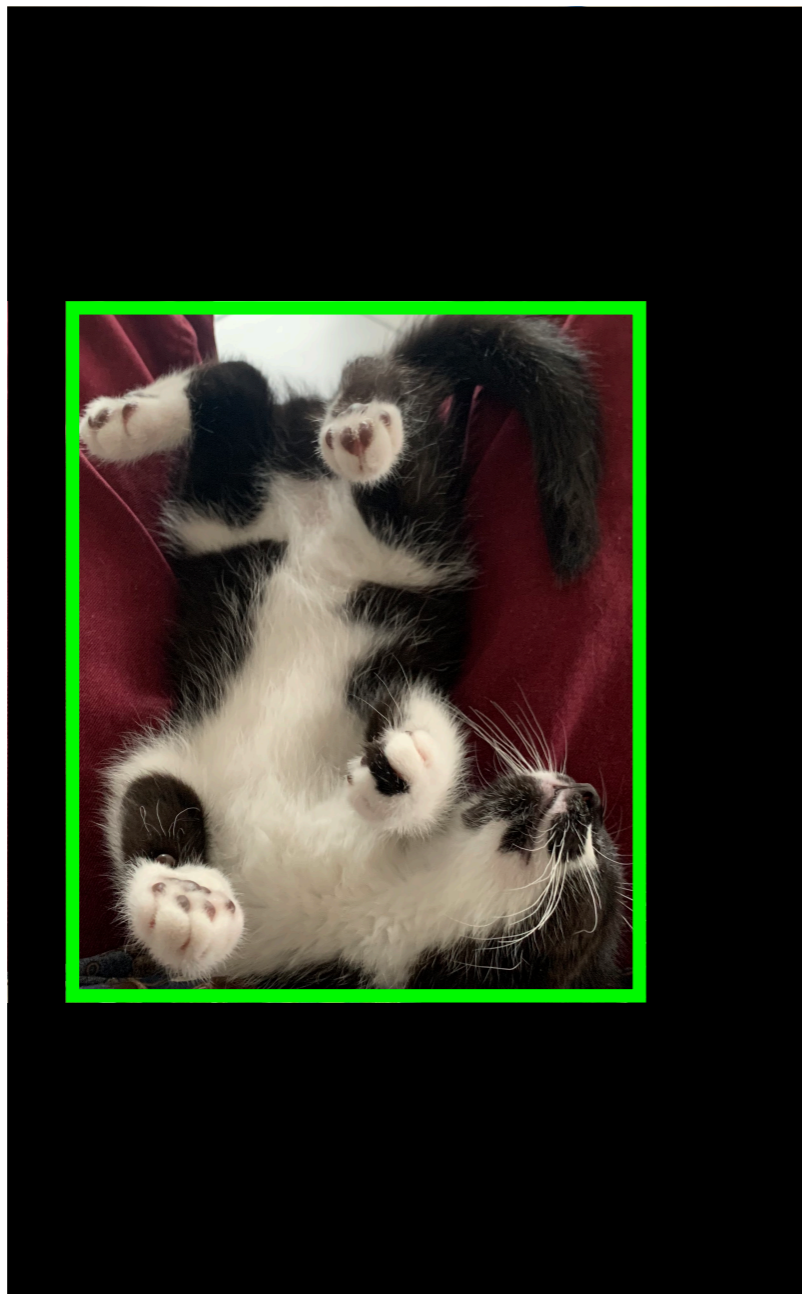
Are CNNs shift-invariant?



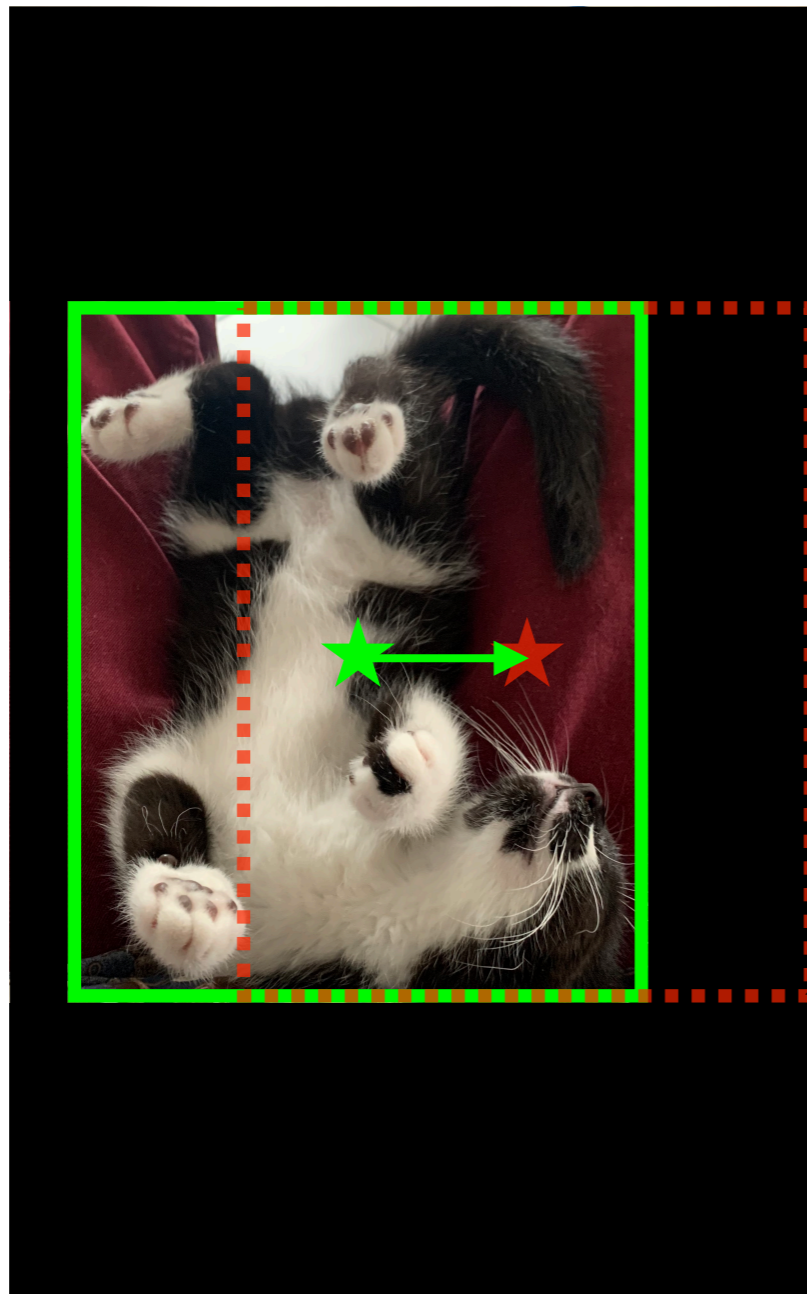
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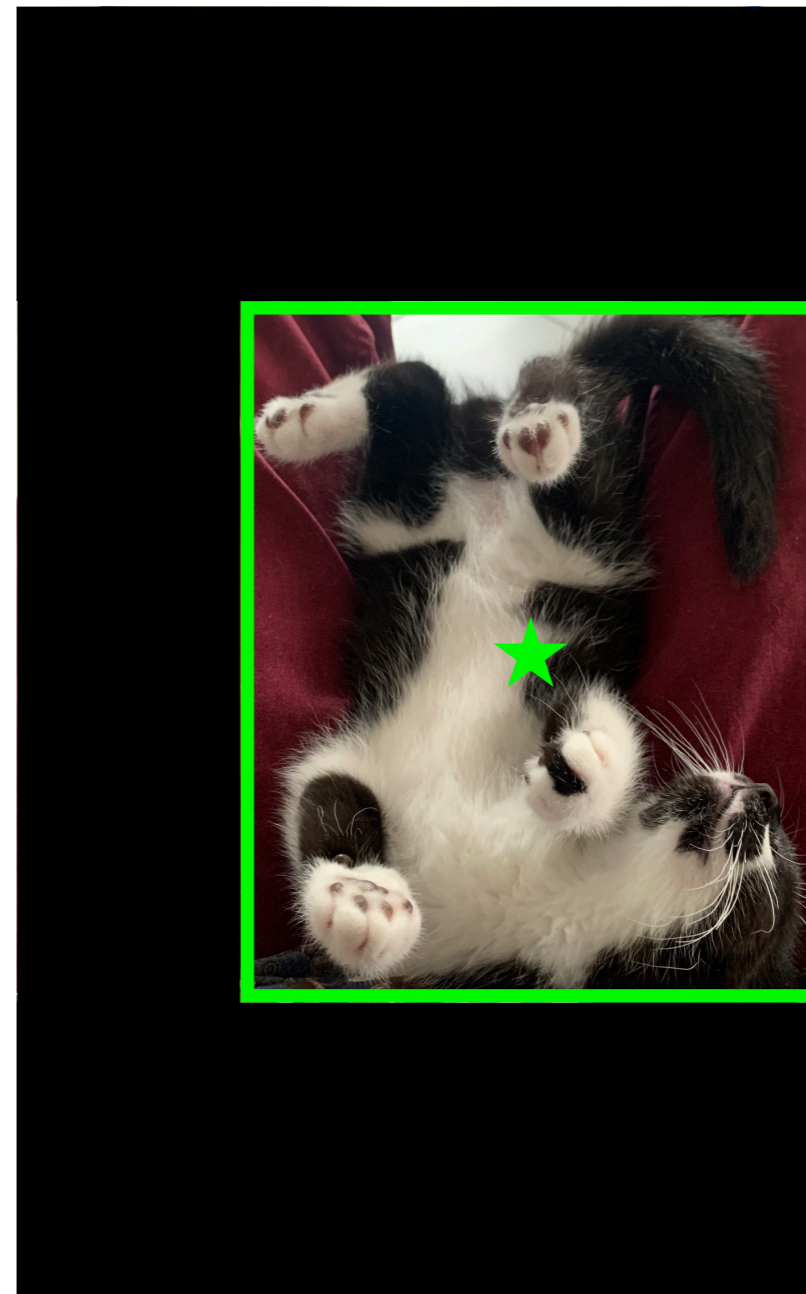
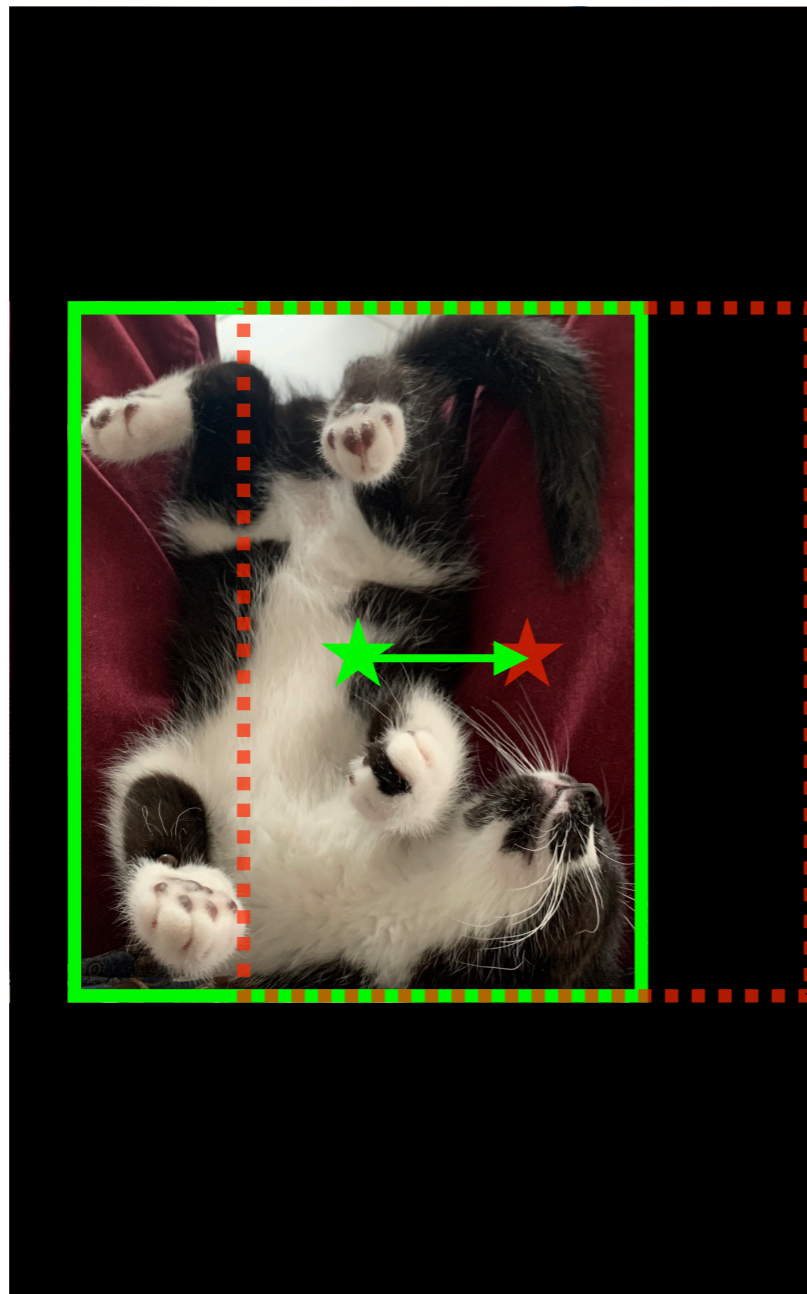
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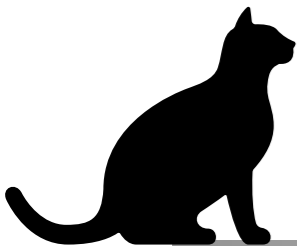
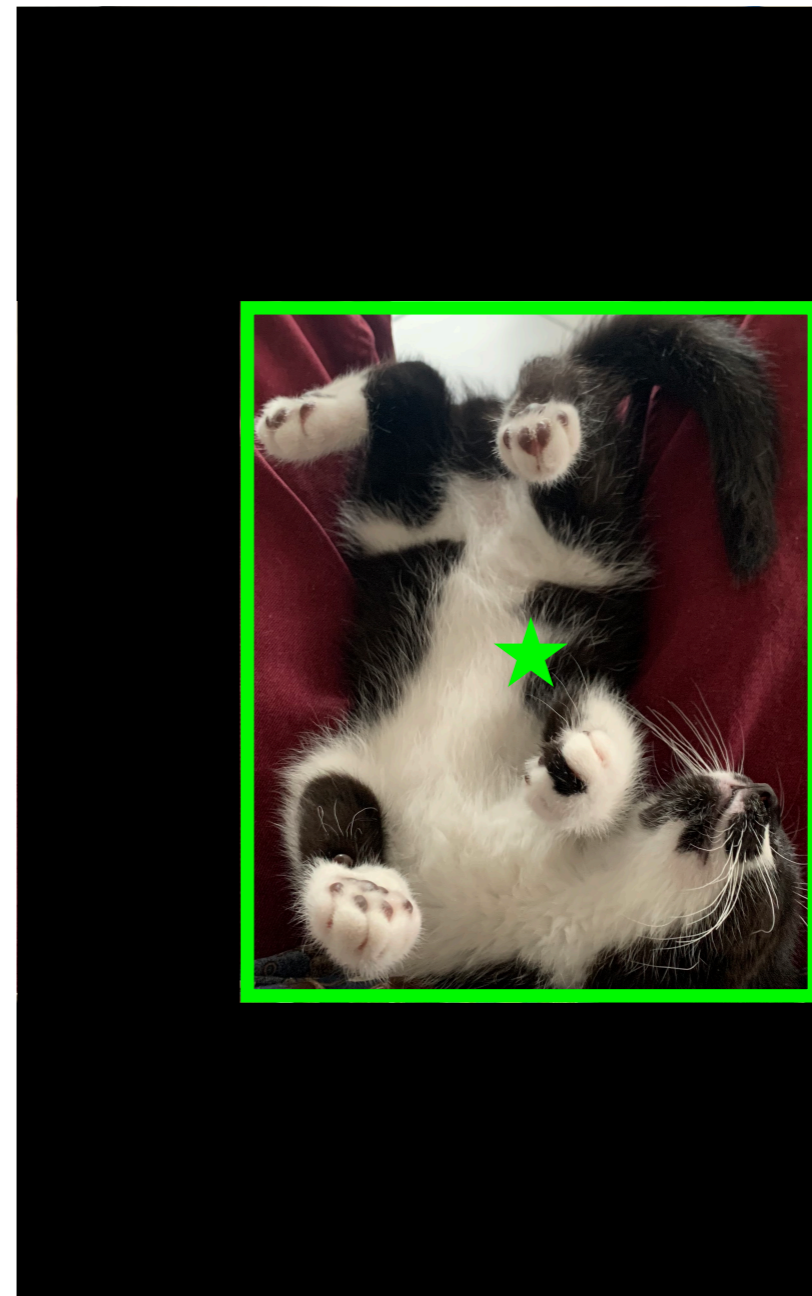
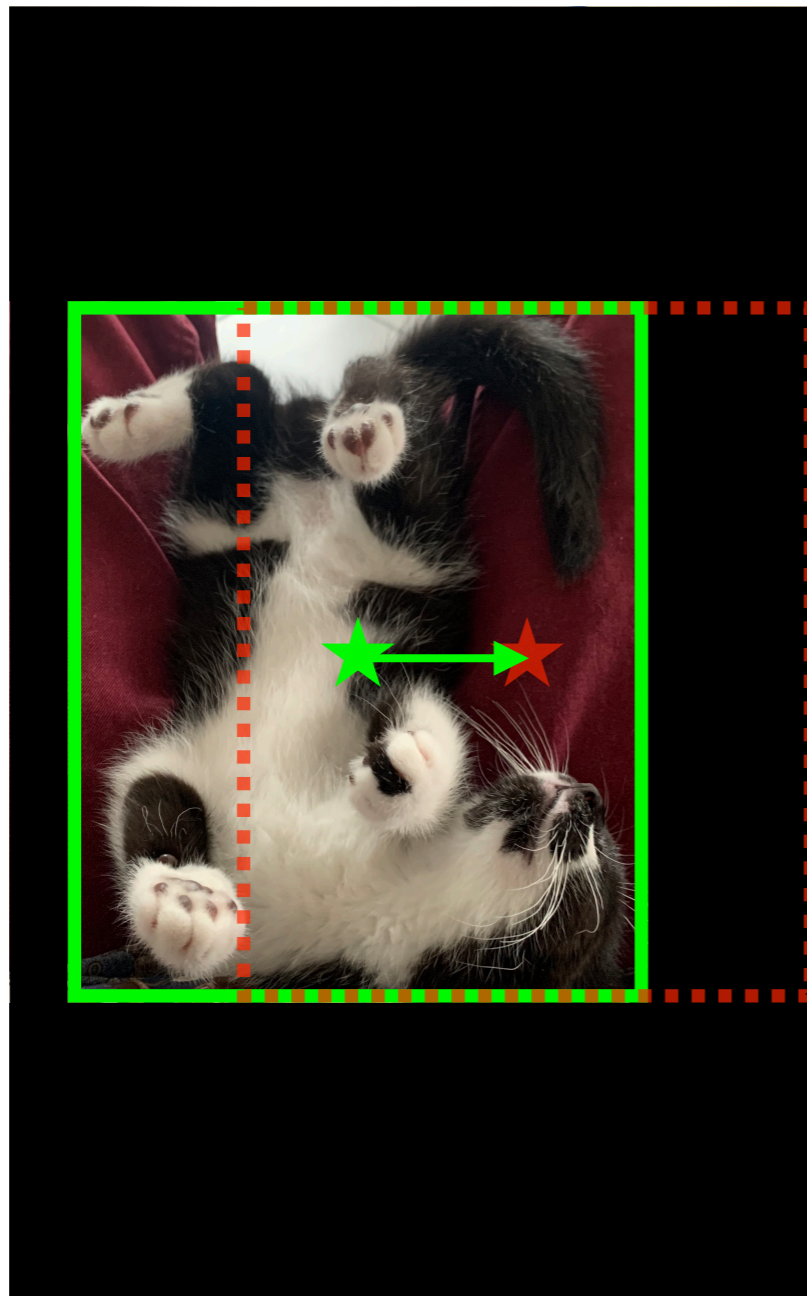
Are CNNs shift-invariant?



Are CNNs shift-invariant?

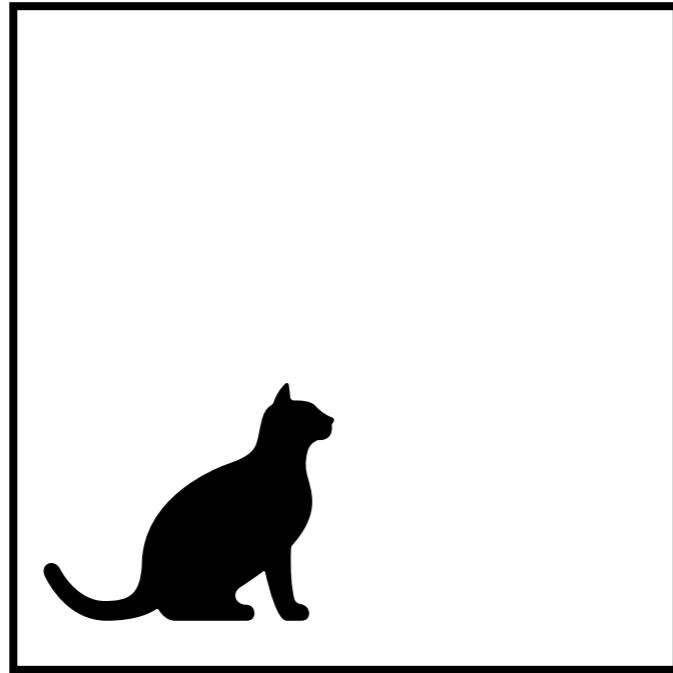


Are CNNs shift-invariant?



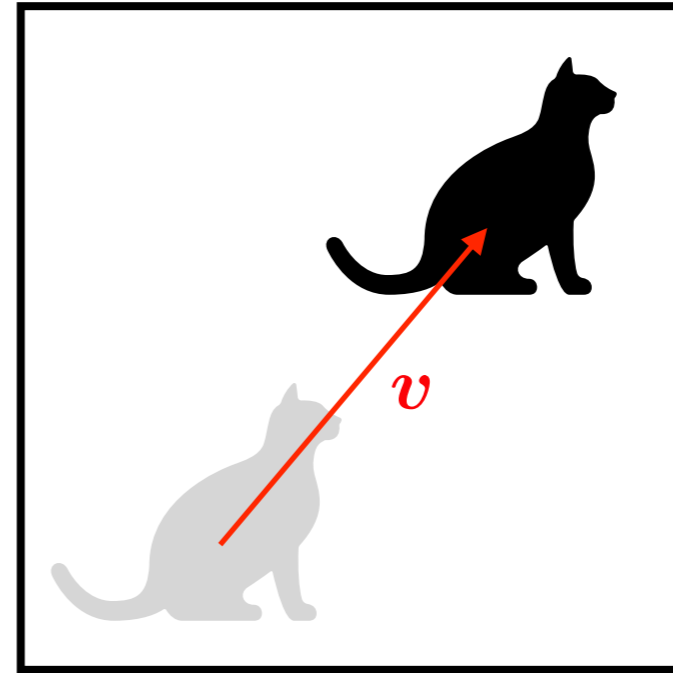
Shift invariance

Input image X



Output $f(X) = 1$

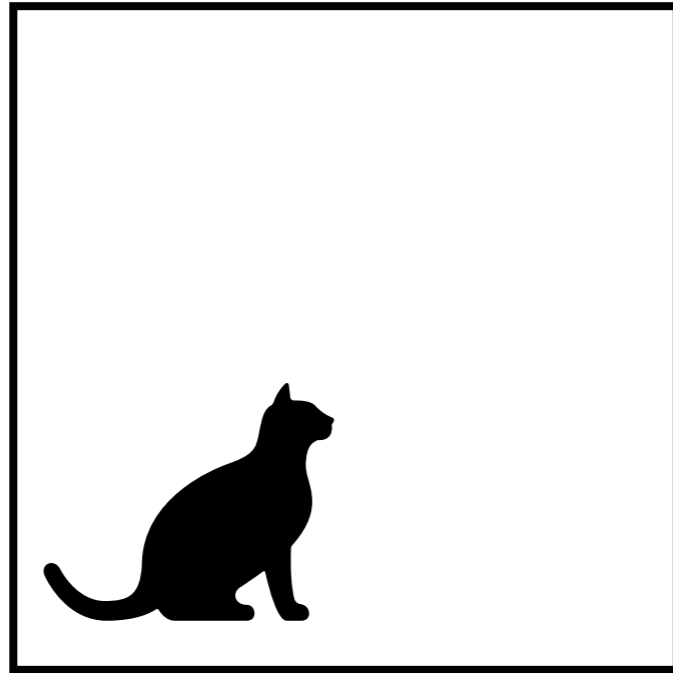
Shifted input $\mathcal{T}_v X$



Output $f(\mathcal{T}_v X) = 1$

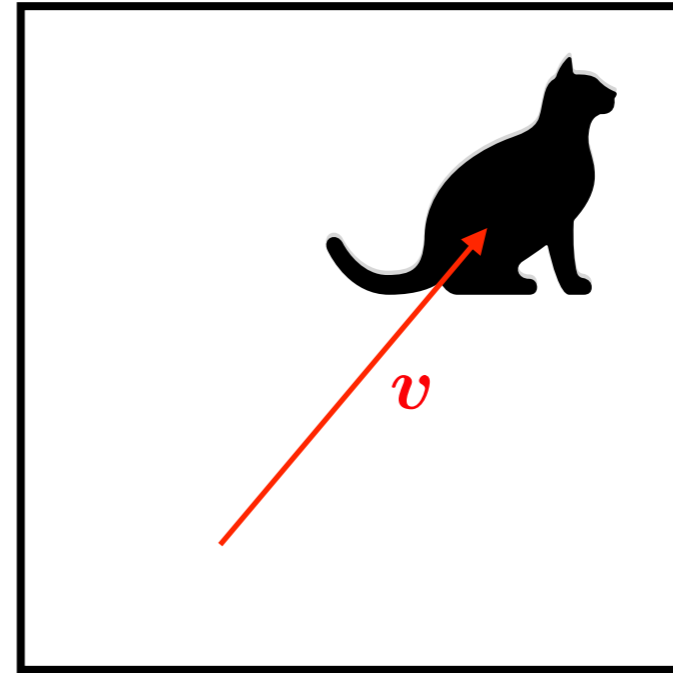
Shift invariance

Input image X



Output $f(X) = 1$

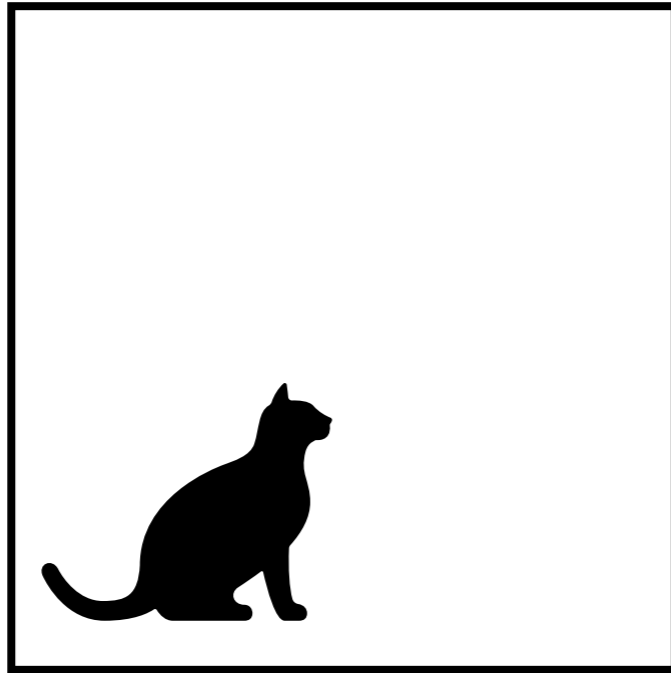
Shifted input $\mathcal{T}_v X$



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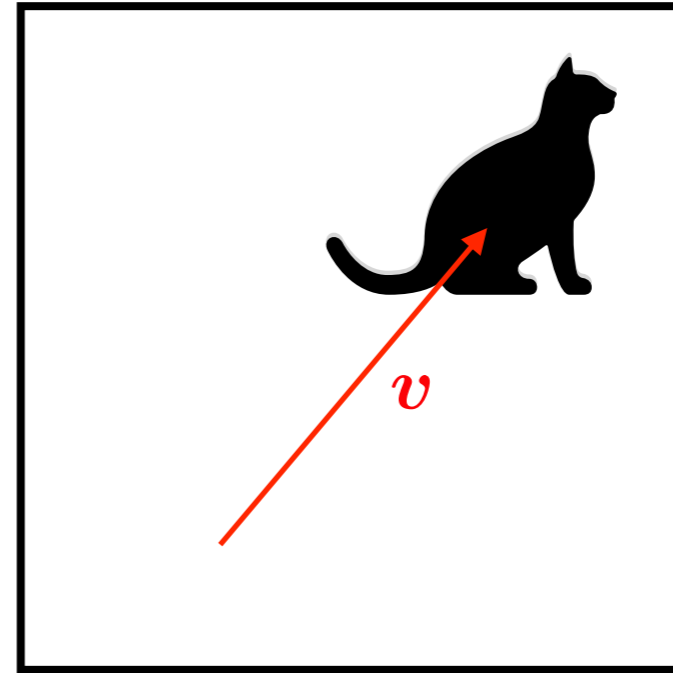
Shift invariance

Input image X



Output $f(X) = 1$

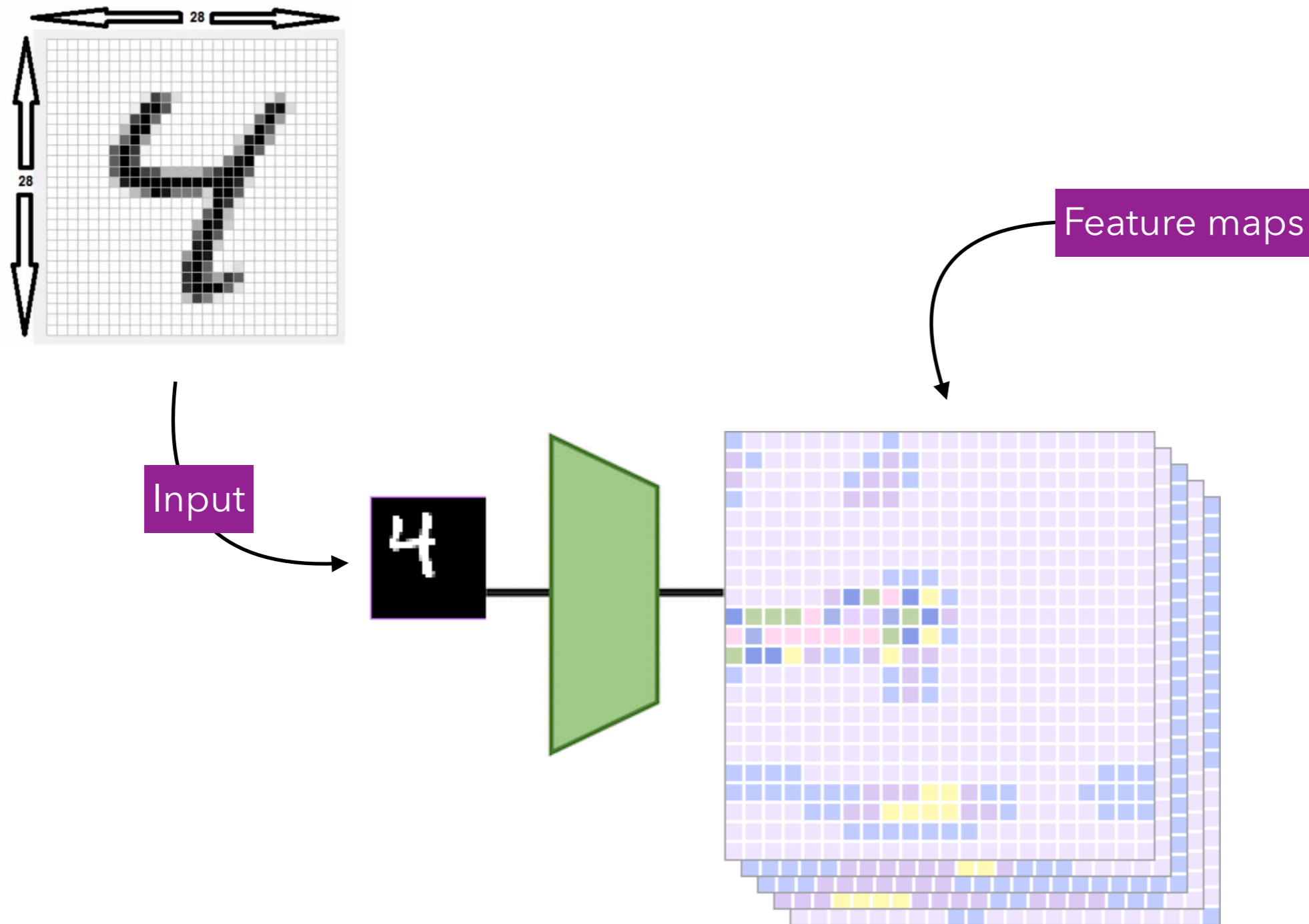
Shifted input $\mathcal{T}_v X$



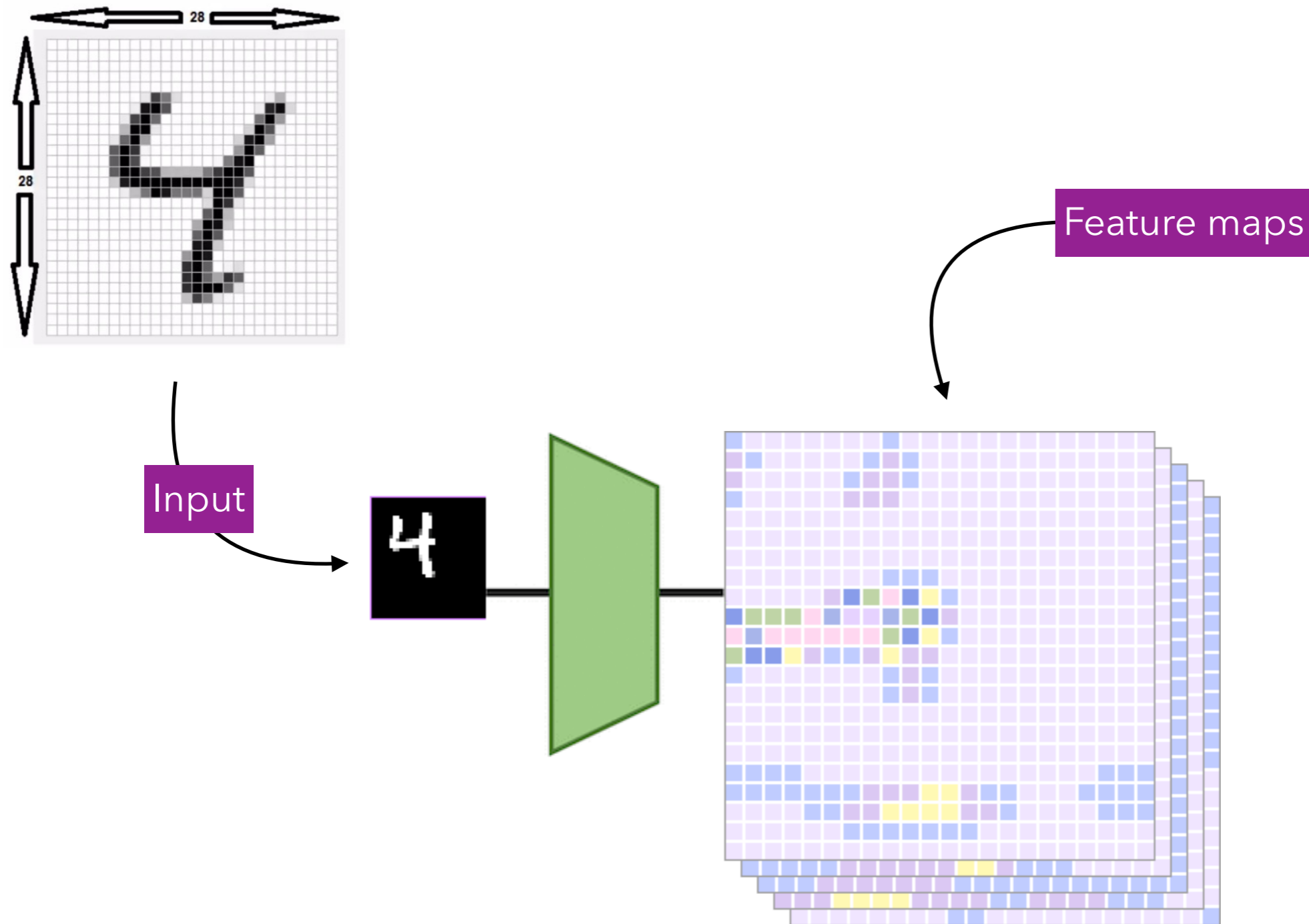
Output $f(\mathcal{T}_v X) = 1$

Shift invariance \neq Equivariance

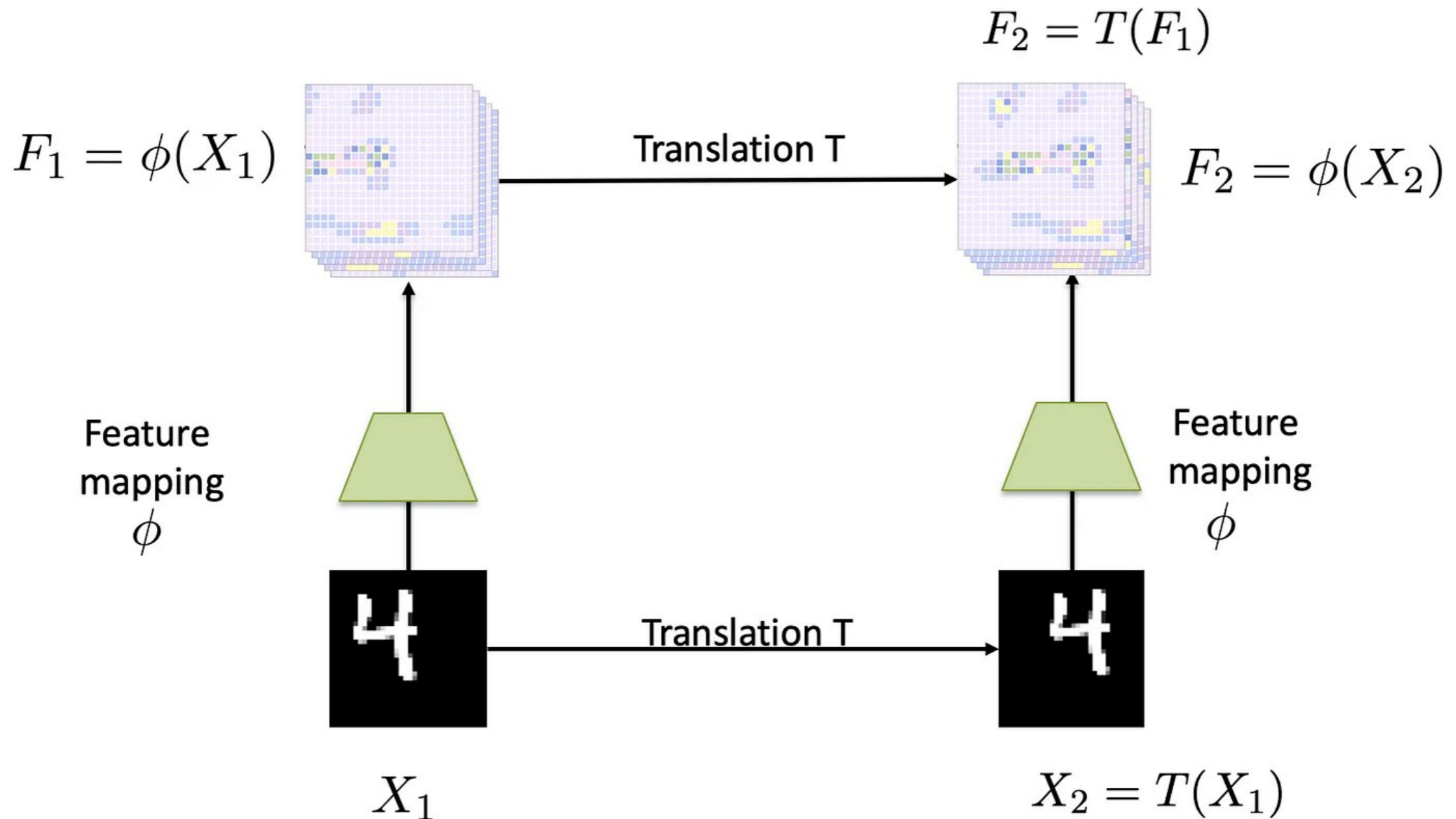
Shift equivariance



Shift equivariance

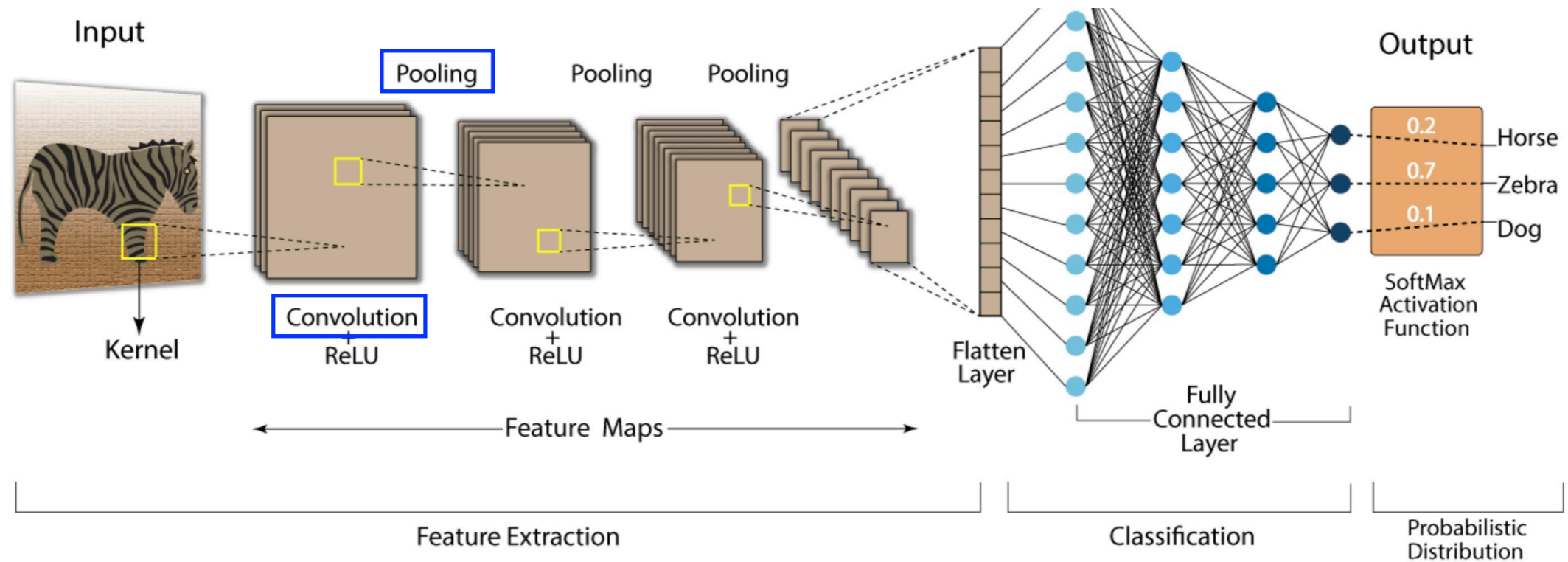


Shift equivariance



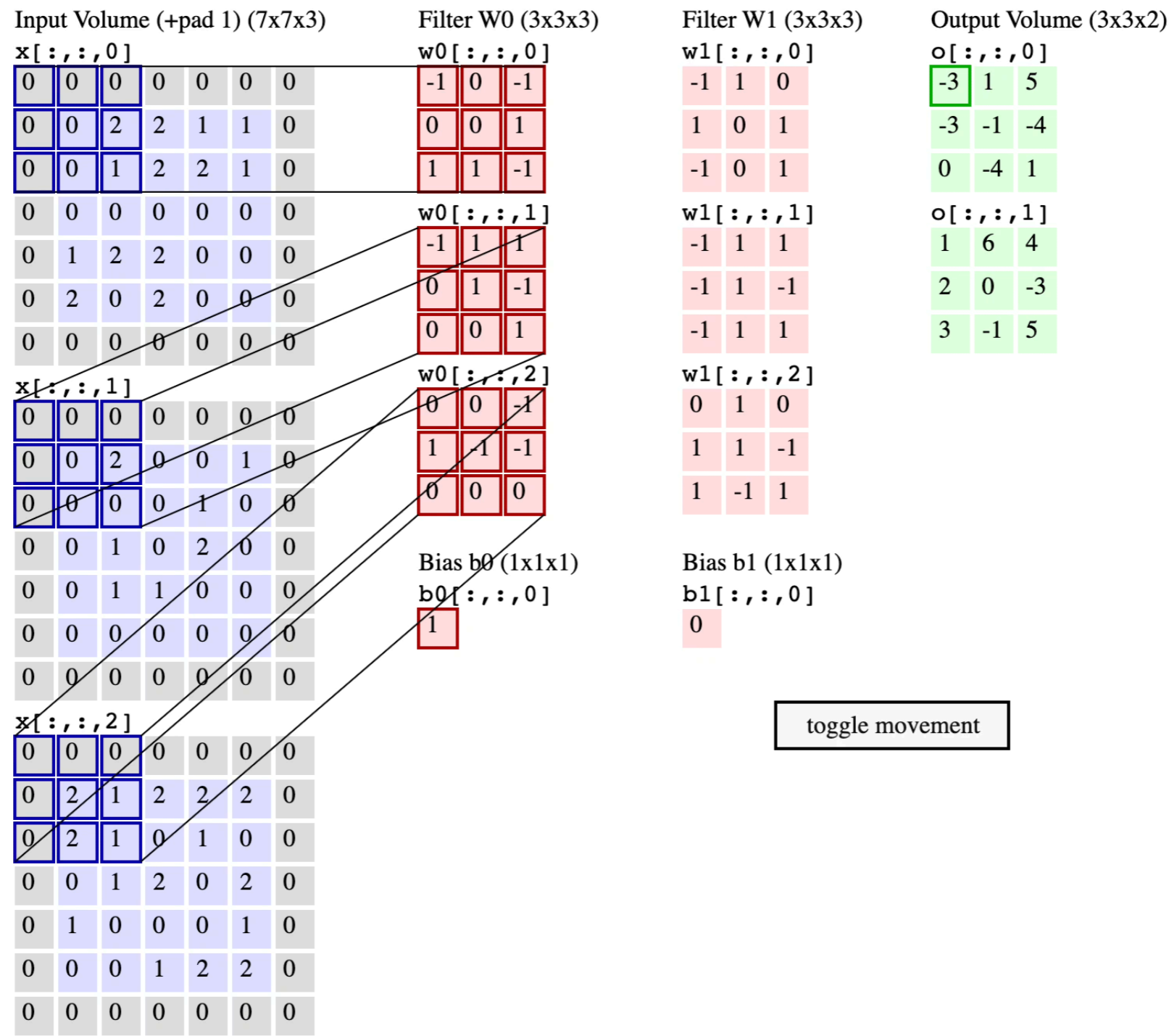
Source : <https://chriswolfvision.medium.com/what-is-translation-equivariance-and-why-do-we-use-convolutions-to-get-it-6f18139d4c59>

Convolution & Max Pooling invariance ?



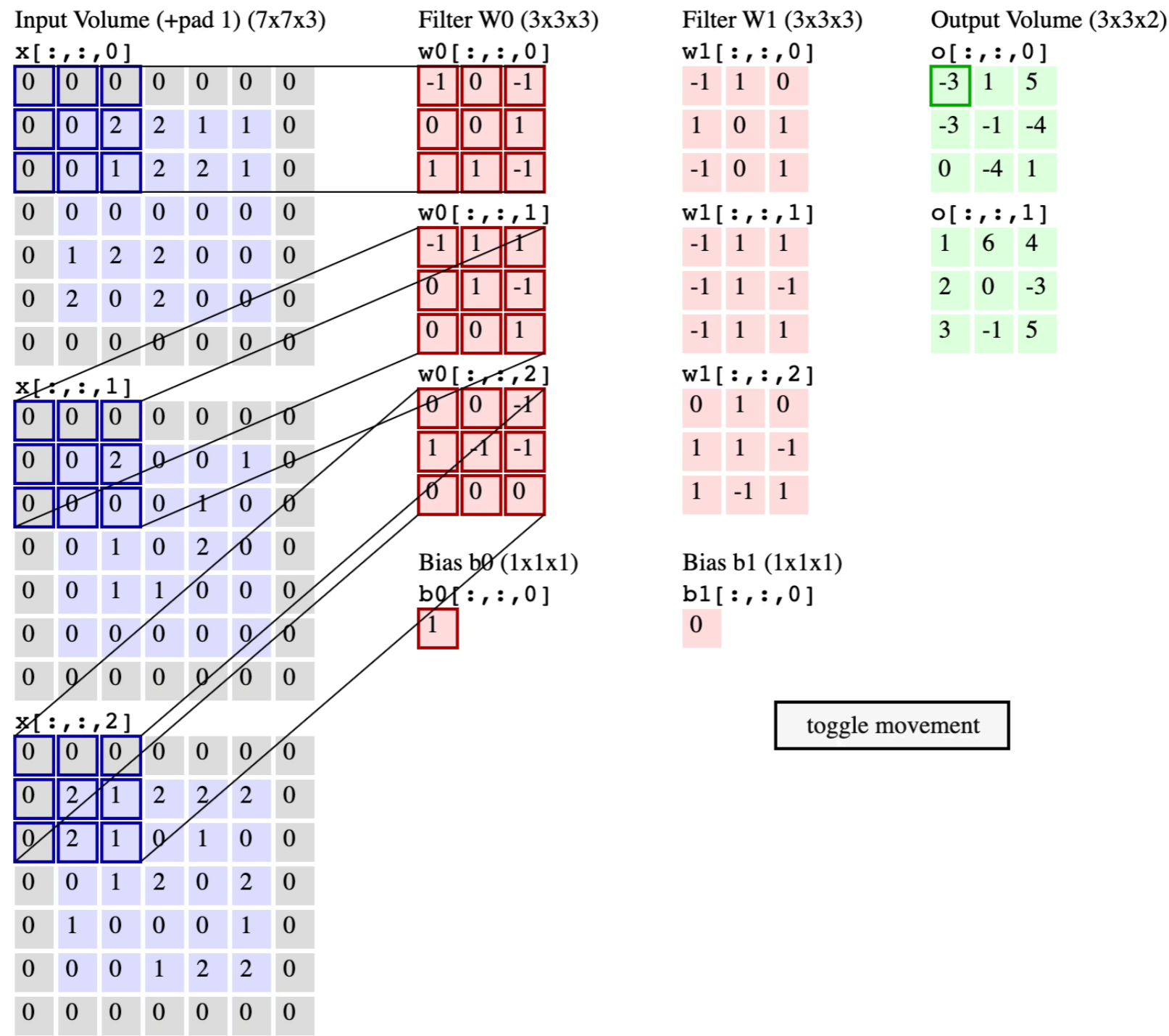
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Convolutional layers in CNN



Source : <https://cs231n.github.io/convolutional-networks/>

Convolutional layers in CNN

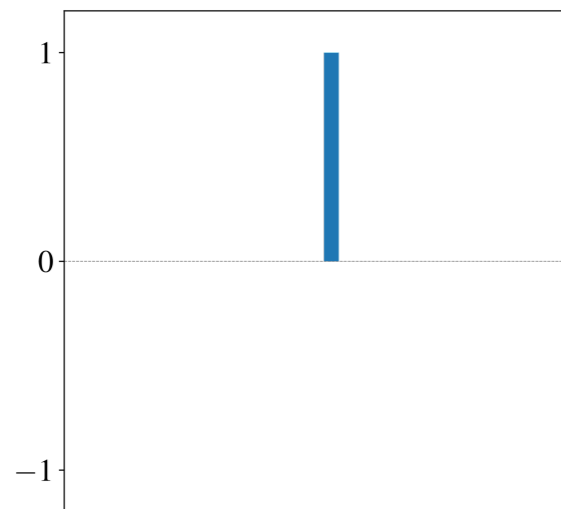


Source : <https://cs231n.github.io/convolutional-networks/>

Convolutions are shift-equivariant

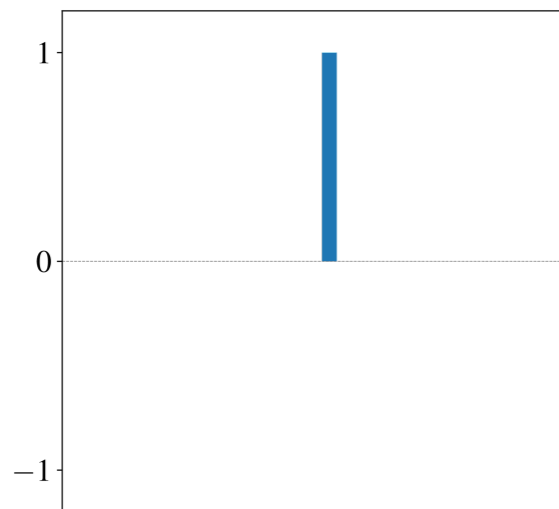


Convolutions are shift-equivariant



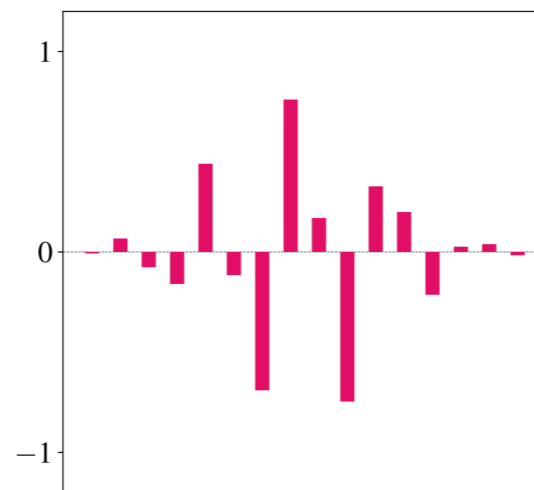
Input signal

Convolutions are shift-equivariant



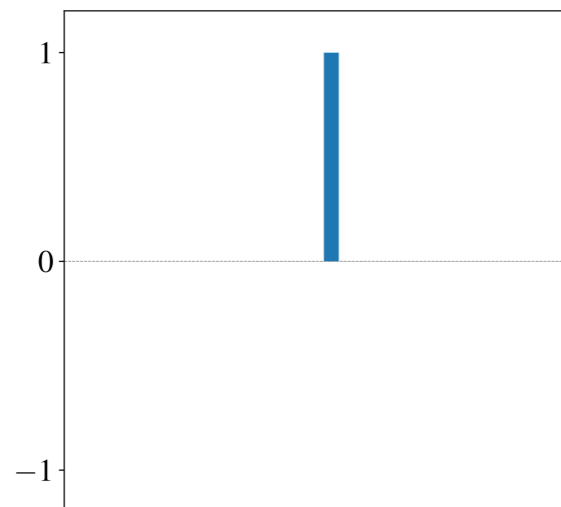
Input signal

*



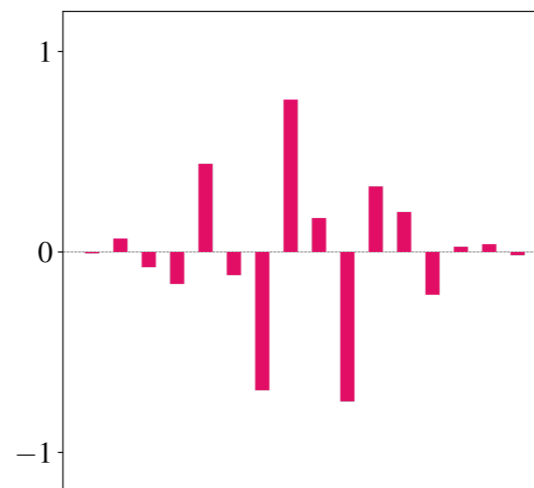
Band-pass
convolution kernel

Convolutions are shift-equivariant



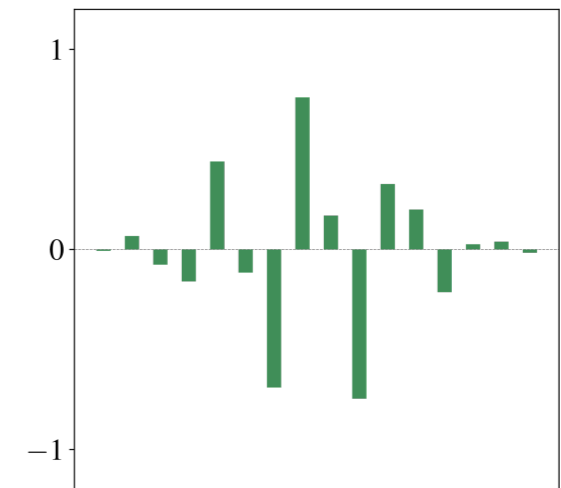
Input signal

*



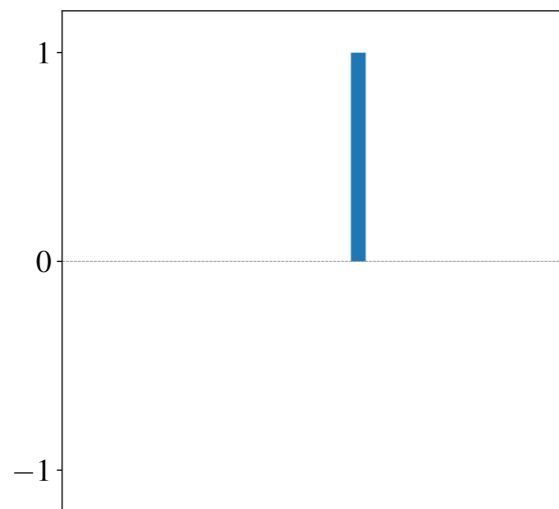
Band-pass
convolution kernel

=



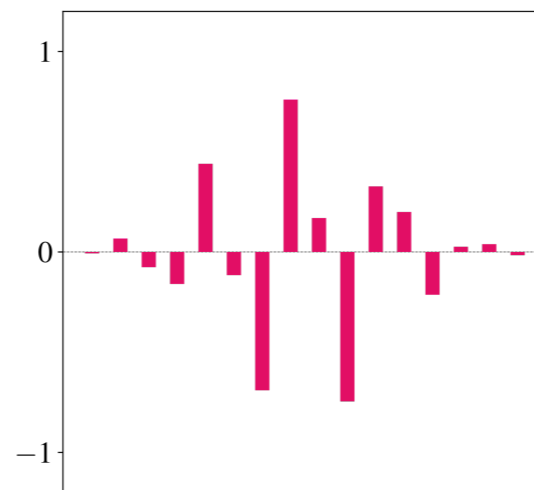
Output signal

Convolutions are shift-equivariant



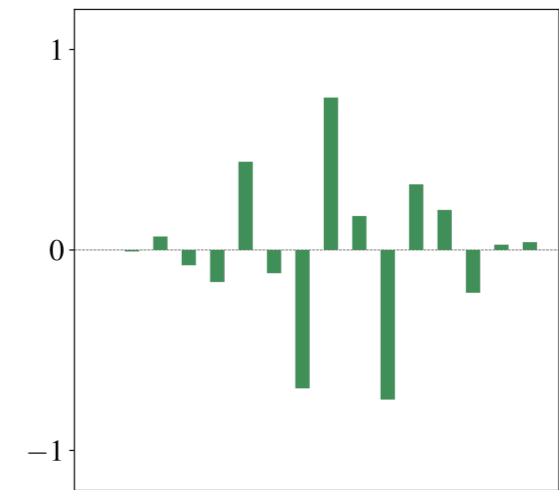
Input signal

*



Band-pass
convolution kernel

=

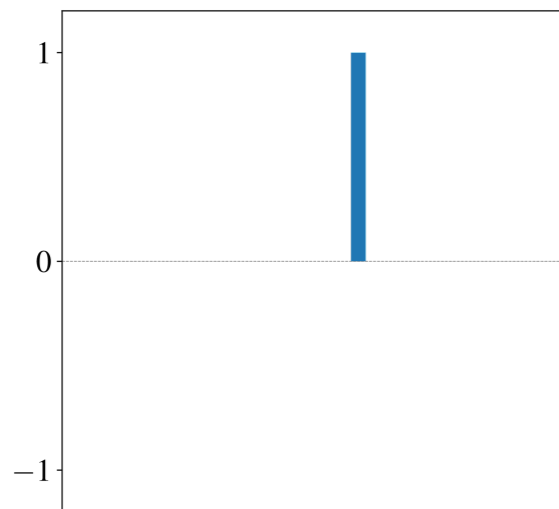


Output signal

Convolutions are shift-equivariant

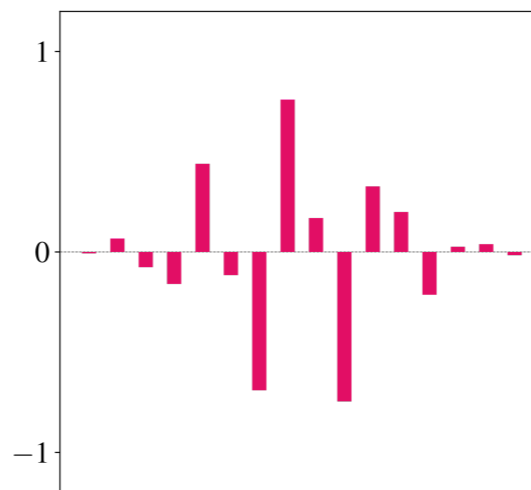


Shift equivariance, or covariance



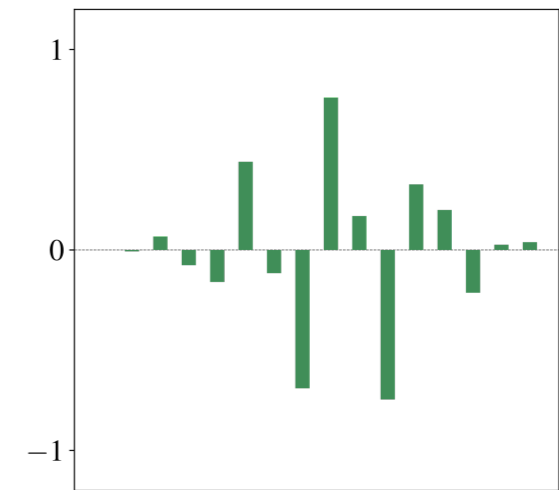
Input signal

*



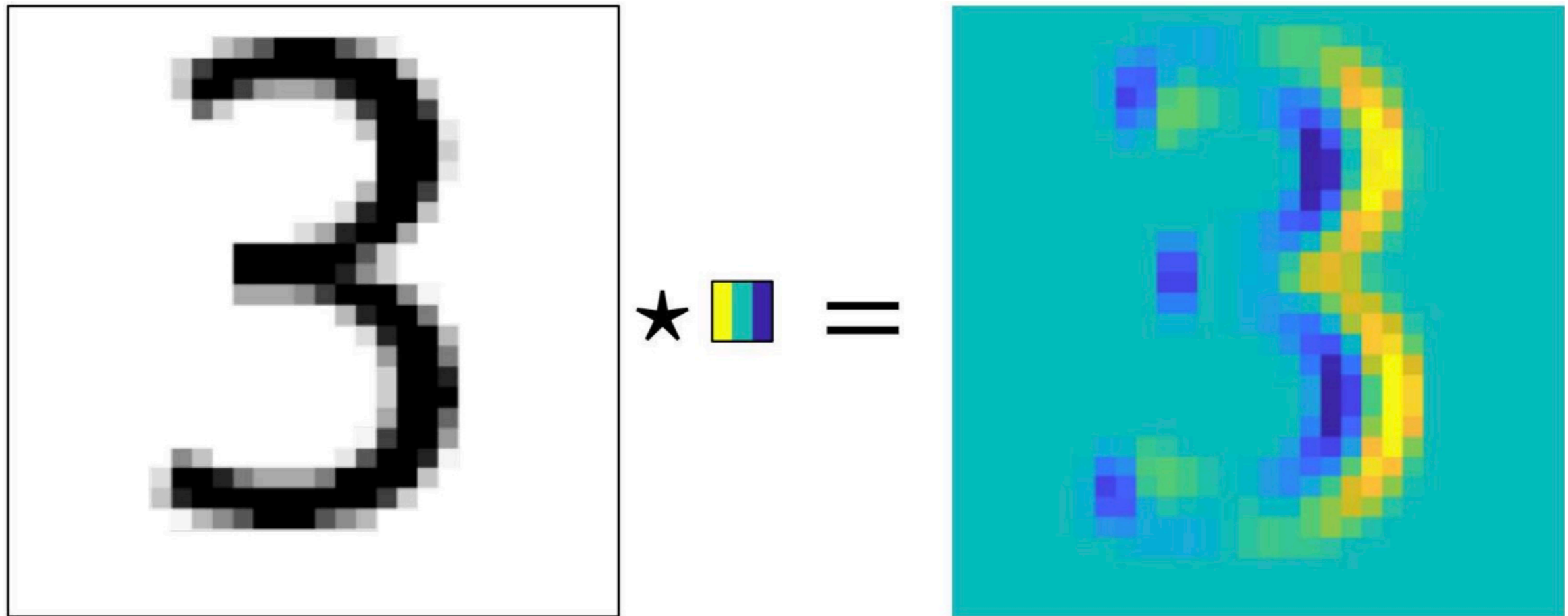
Band-pass convolution kernel

=



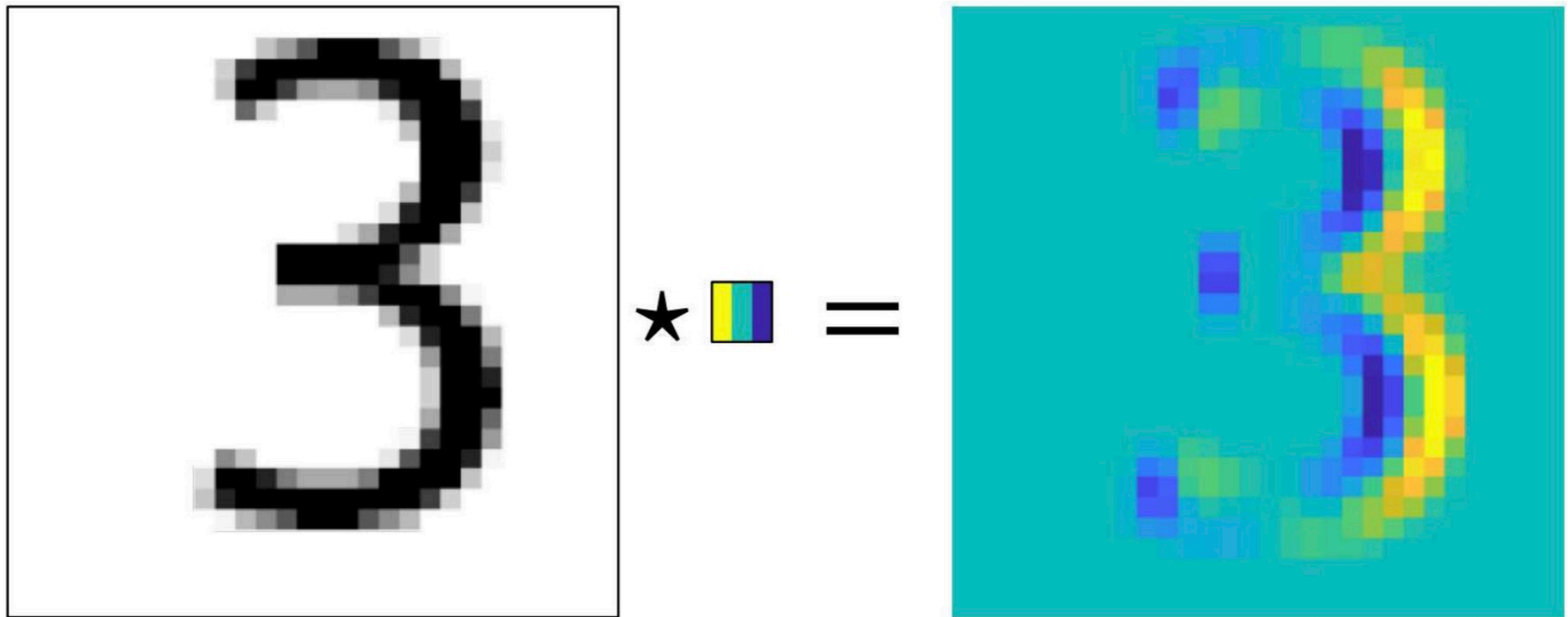
Output signal

Convolutions are shift-equivariant



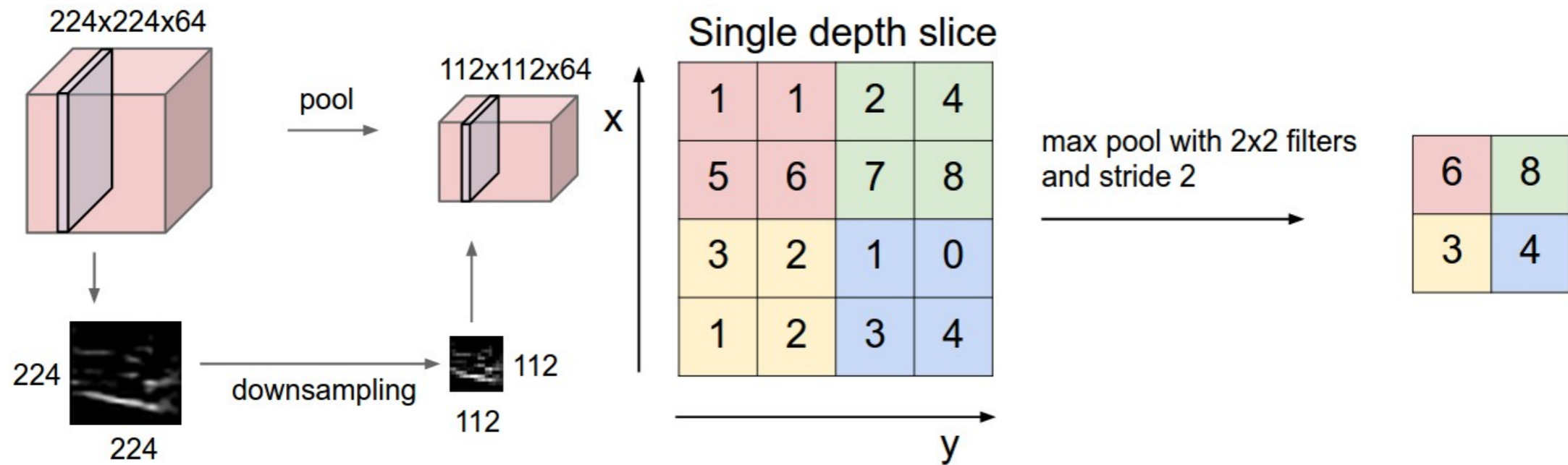
Source : <https://www.doc.ic.ac.uk/~bkainz/teaching/DL/notes/equivariance.pdf>

Convolutions are **shift-equivariant**



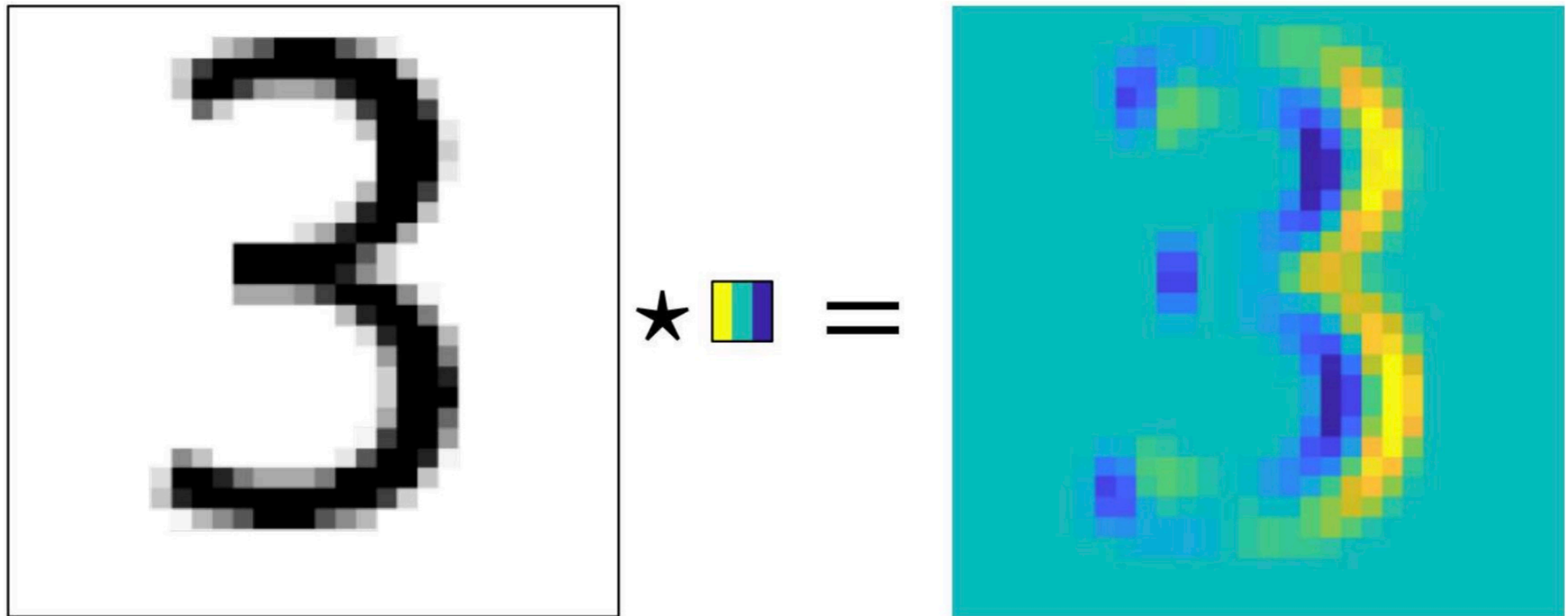
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Max pooling layers



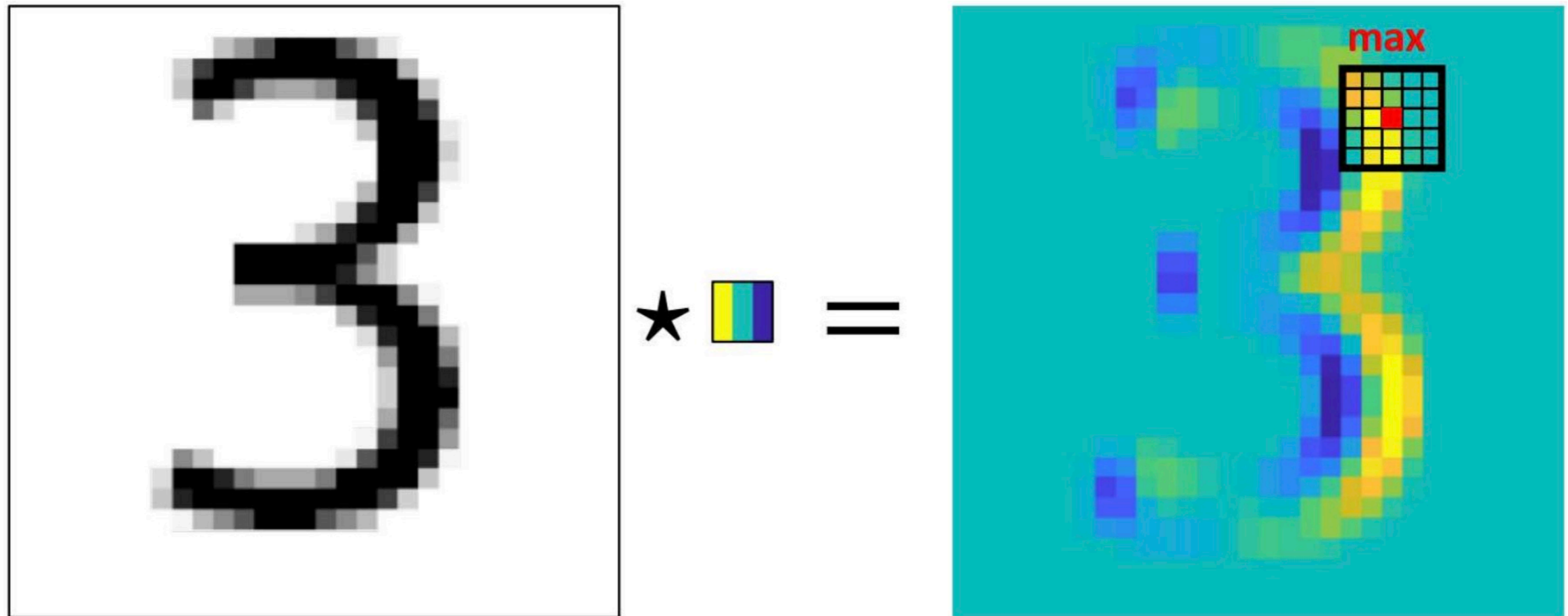
Source : <https://cs231n.github.io/convolutional-networks/>

Convolutions are followed by a max pooling

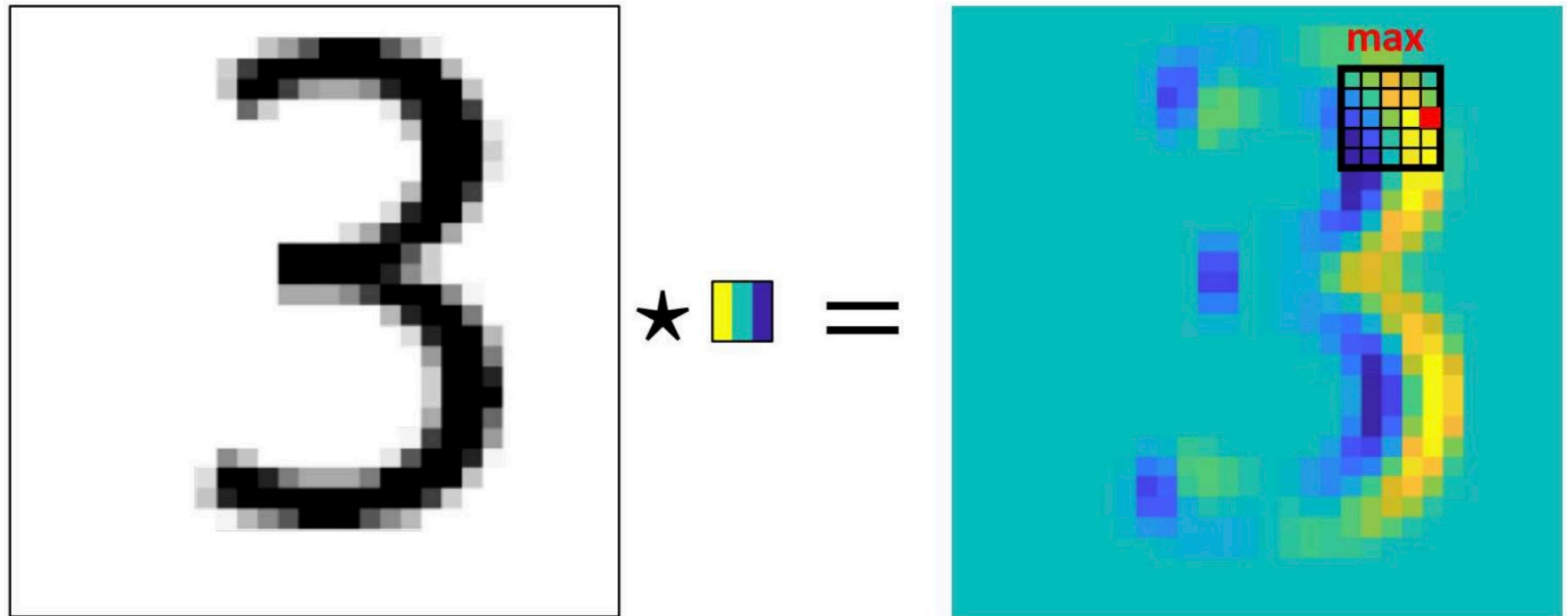


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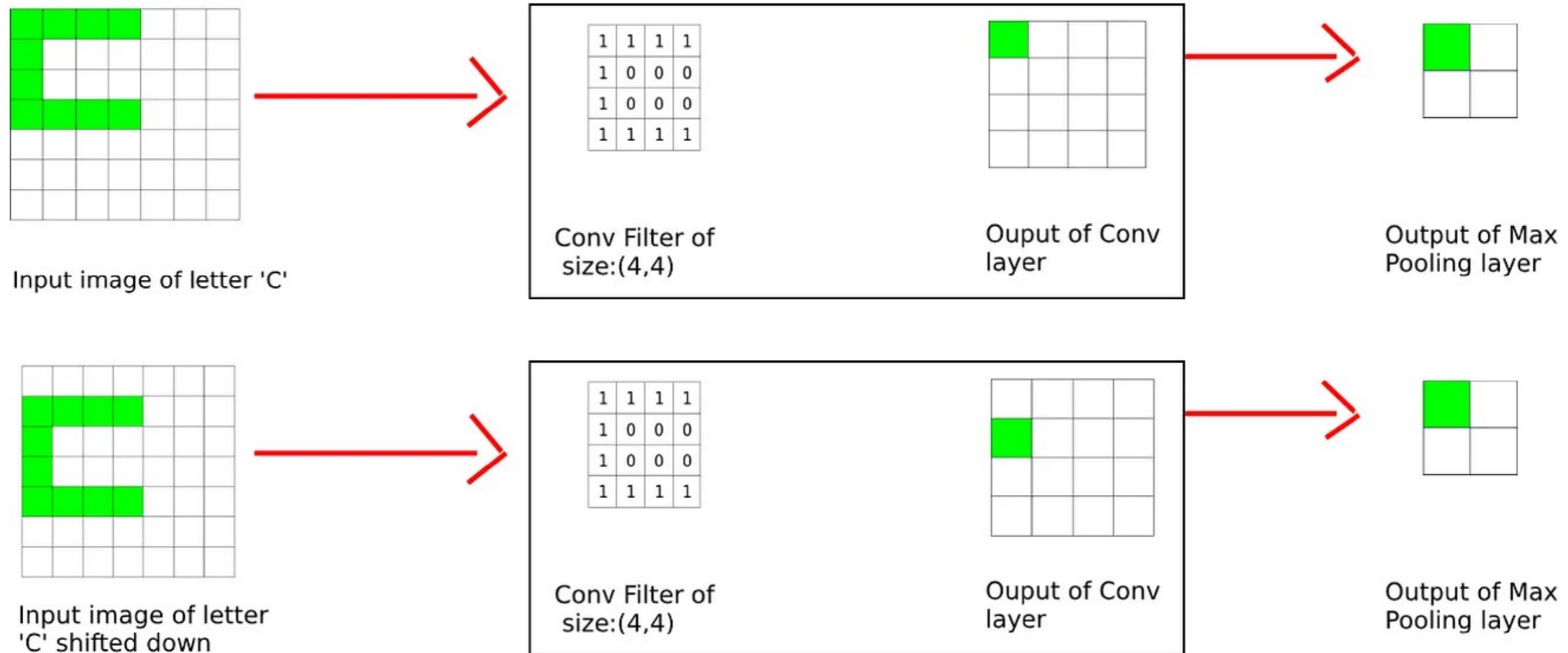
Convolutions are followed by a max pooling



Max pooling builds up shift-invariance



Max pooling builds up **shift-invariance**



Source : <https://divsoni2012.medium.com/translation-invariance-in-convolutional-neural-networks-61d9b6fa03df>

Invariance studies in CNN

Invariance studies in CNN

- The **scattering transform** builds shift-invariant feature vectors:

$$\Phi(x) := S_J x = \begin{pmatrix} x \star \phi_{2^J} \\ |x \star \psi_{\lambda_1}| \star \phi_{2^J} \\ \||x \star \psi_{\lambda_1}| \star \psi_{\lambda_2}| \star \phi_{2^J} \\ \|\|x \star \psi_{\lambda_1}| \star \psi_{\lambda_2}| \star \psi_{\lambda_3}| \star \phi_{2^J} \\ \vdots \end{pmatrix}_{\lambda_1, \lambda_2, \lambda_3, \dots}$$

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J. Bruna and S. Mallat, "Invariant scattering convolution networks," IEEE Trans. Pattern Anal. Mach. Intell., vol. 35, no. 8, pp. 1872-1886, 2013.

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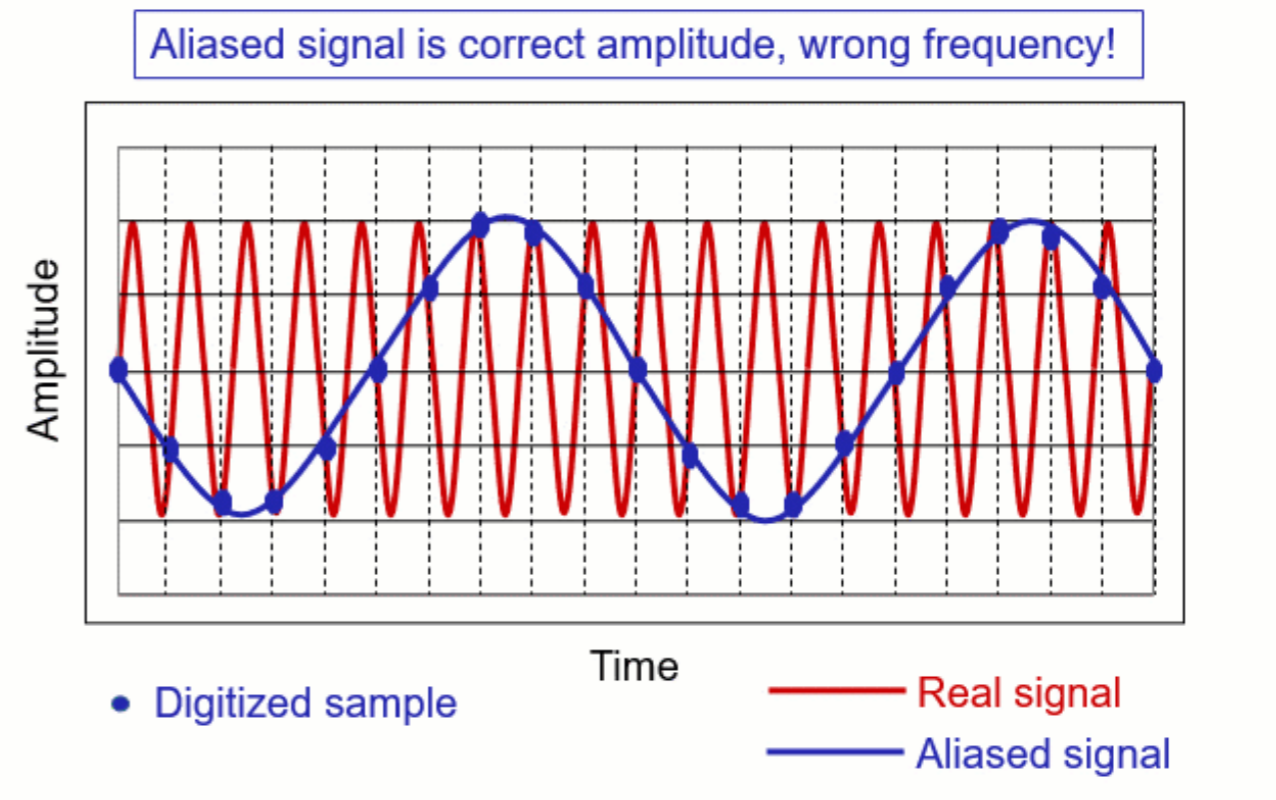
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Invariance studies in CNN

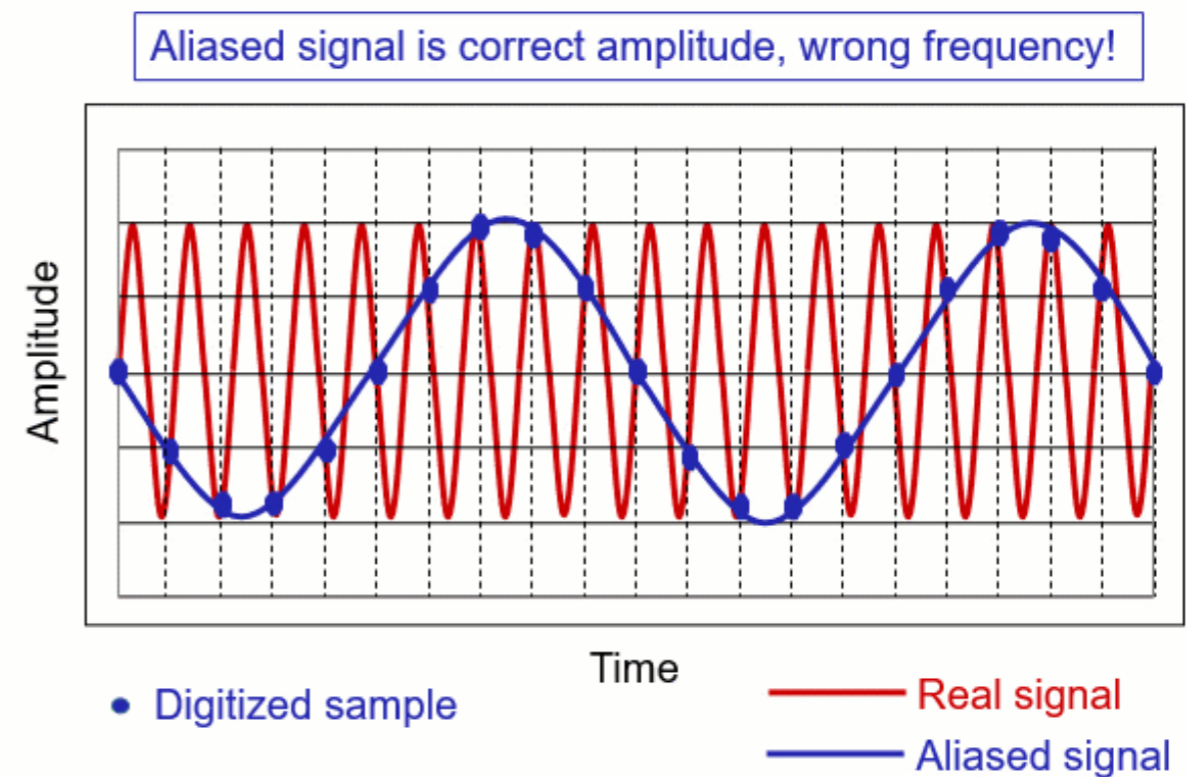
- These results do not fully extend to the ***discrete framework***



Source : <https://community.sw.siemens.com/s/article/data-acquisition-anti-aliasing-filters>

Invariance studies in CNN

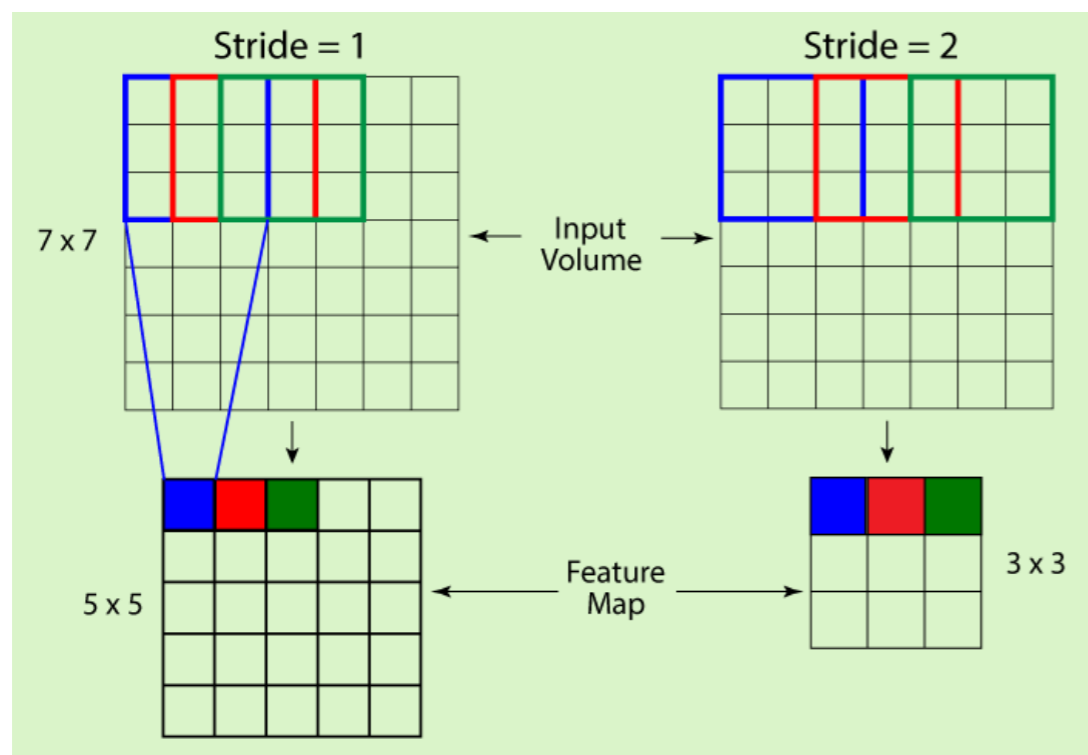
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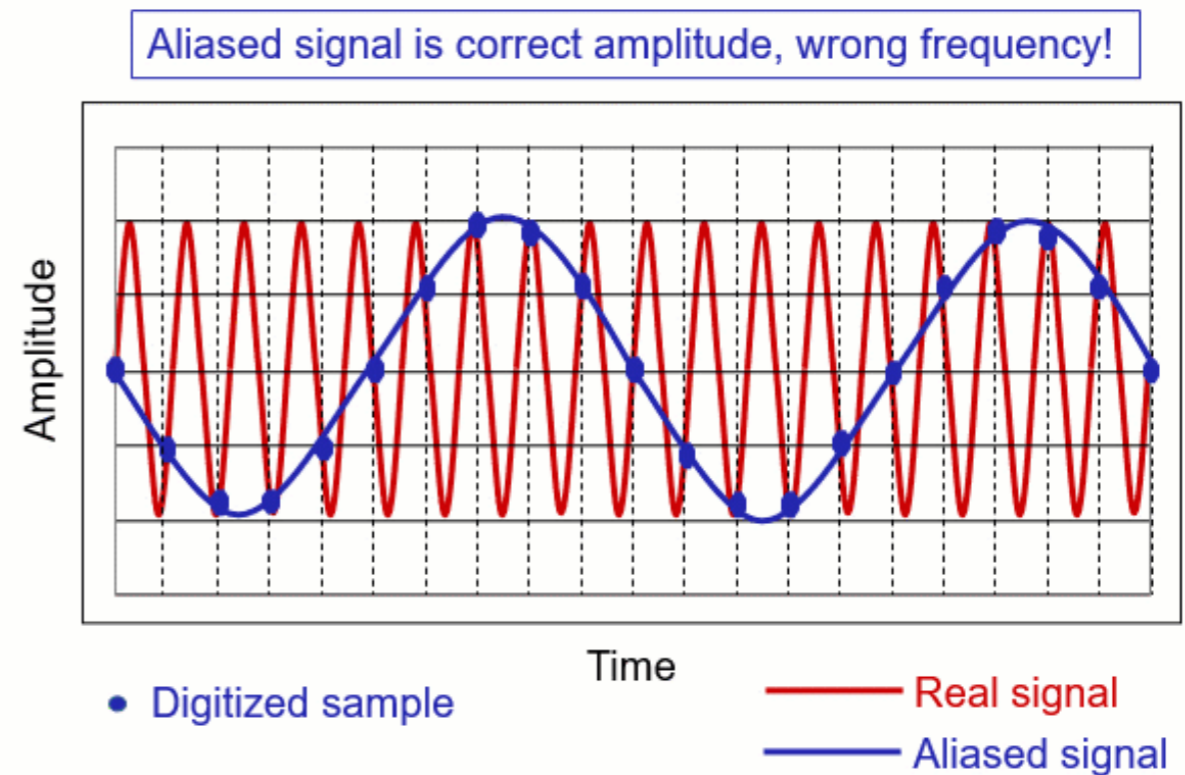
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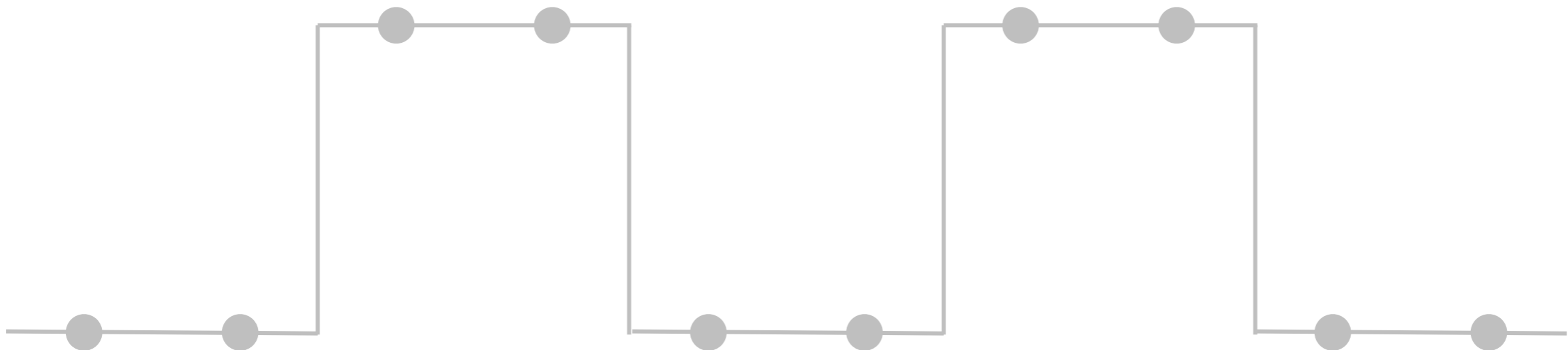
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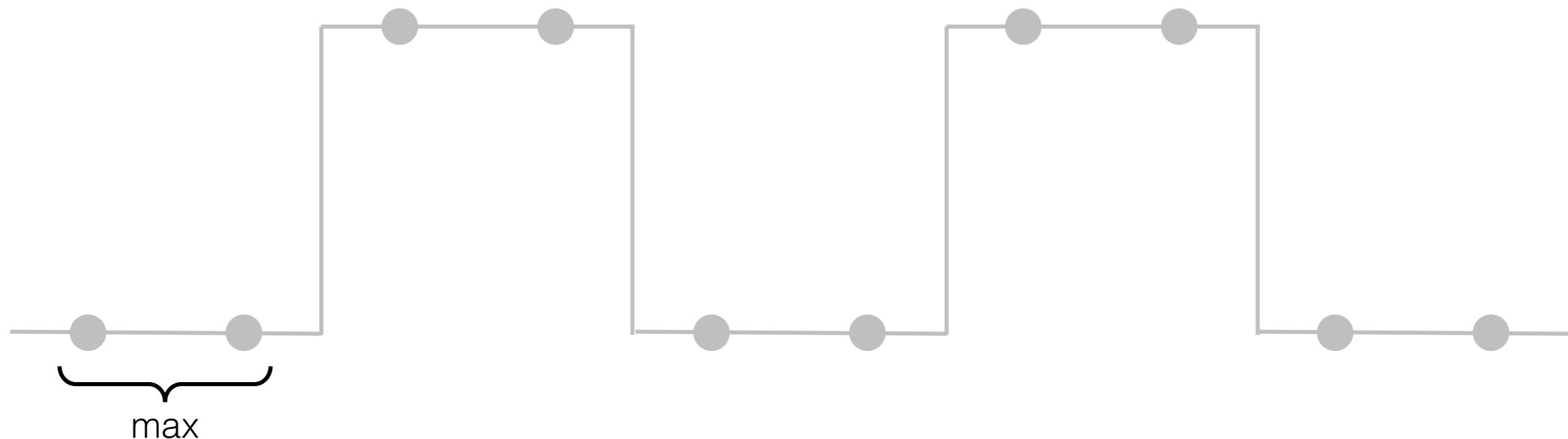


R. Zhang, Making Convolutional Networks Shift-Invariant Again, in International Conference on Machine Learning, 2019.

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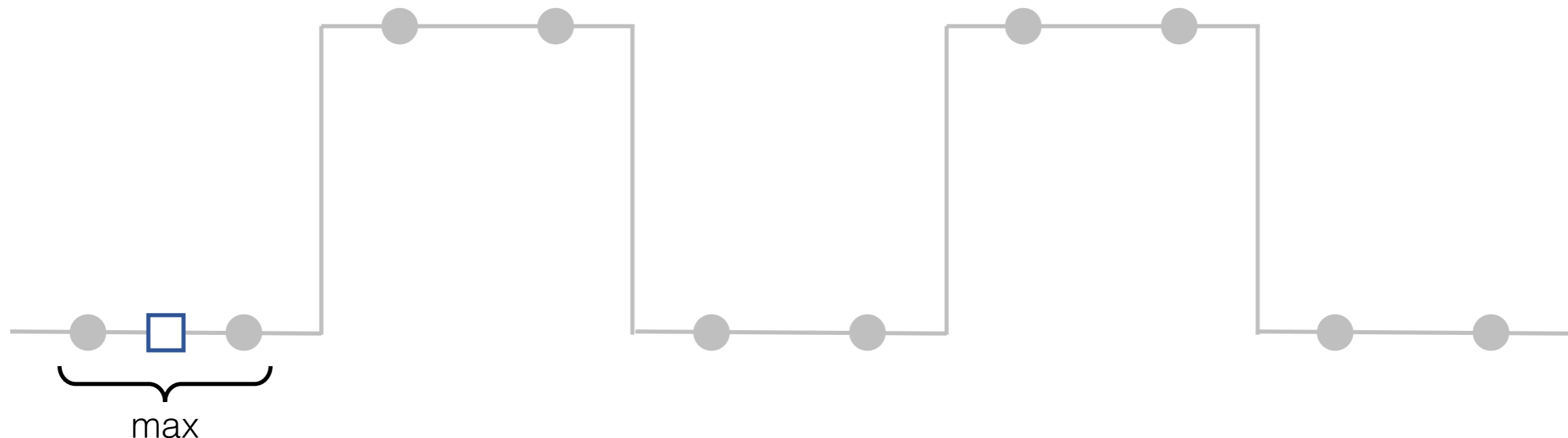


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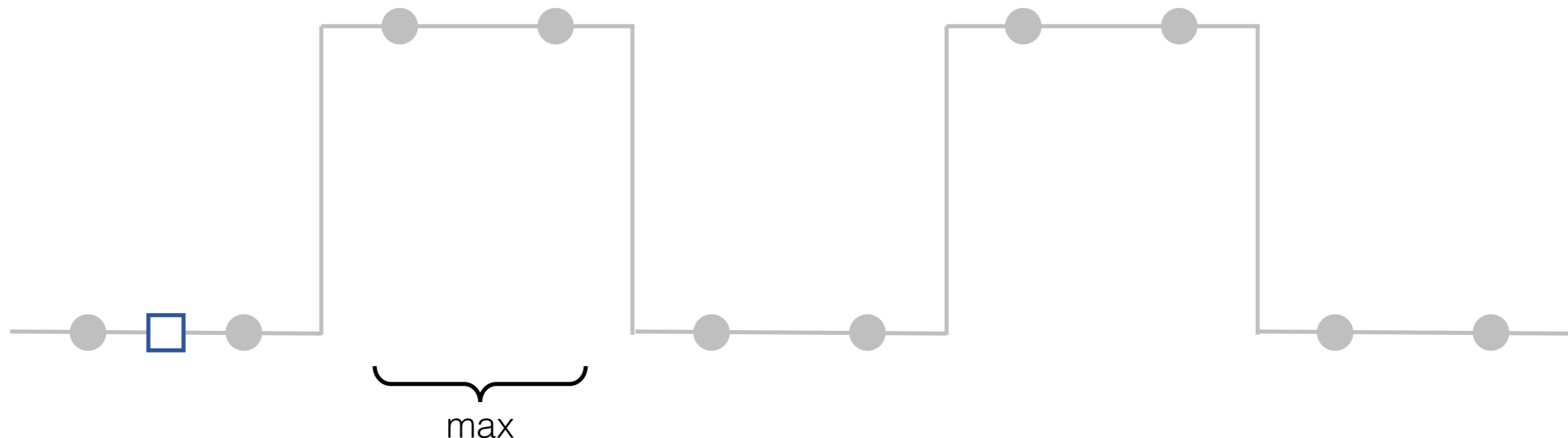


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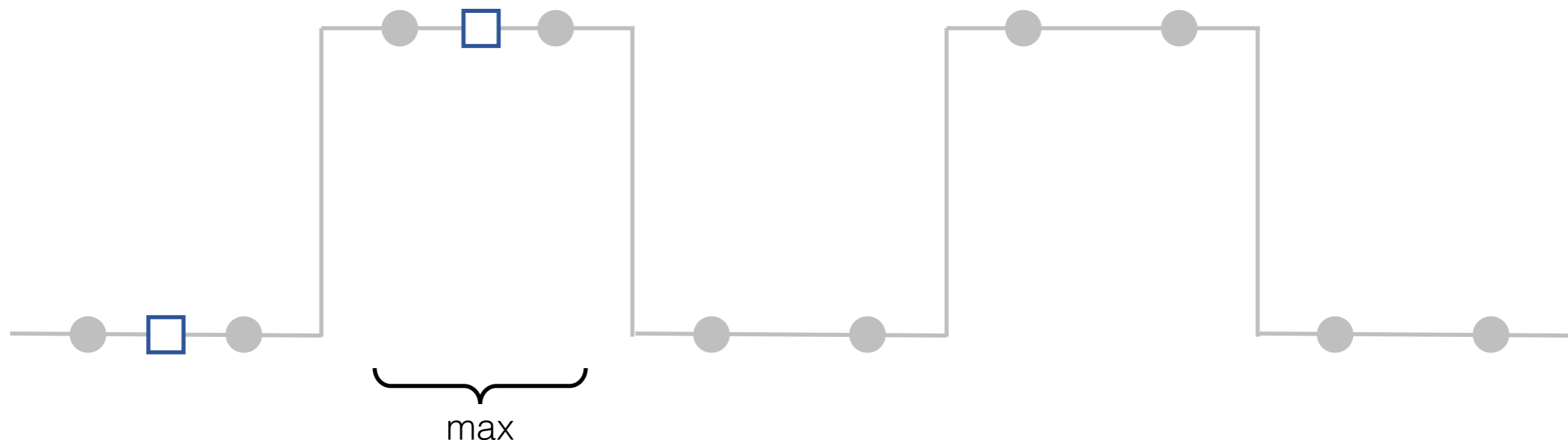


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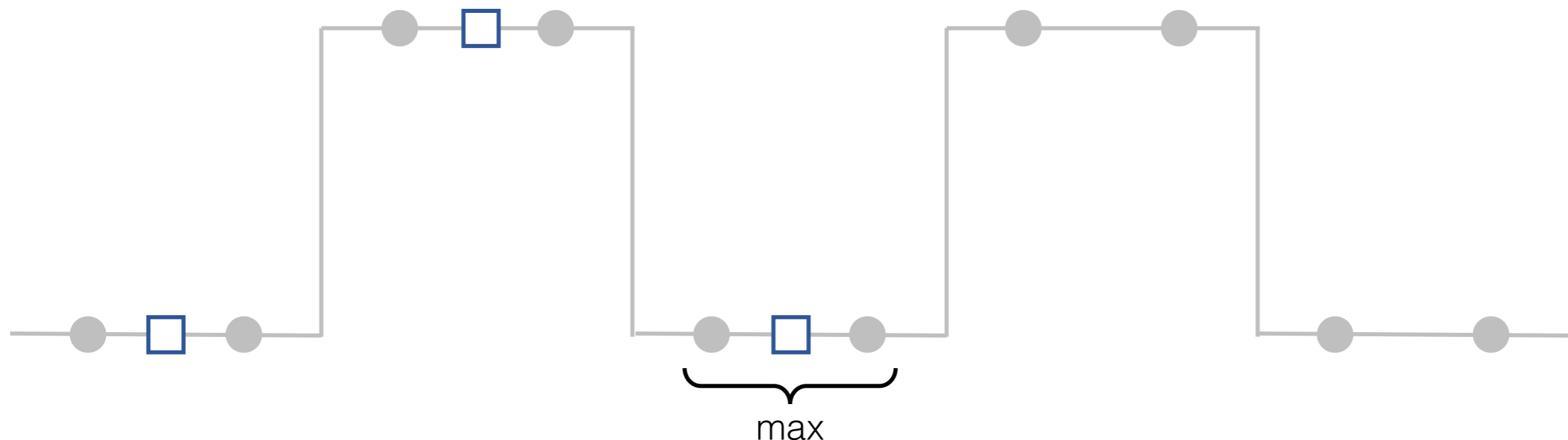


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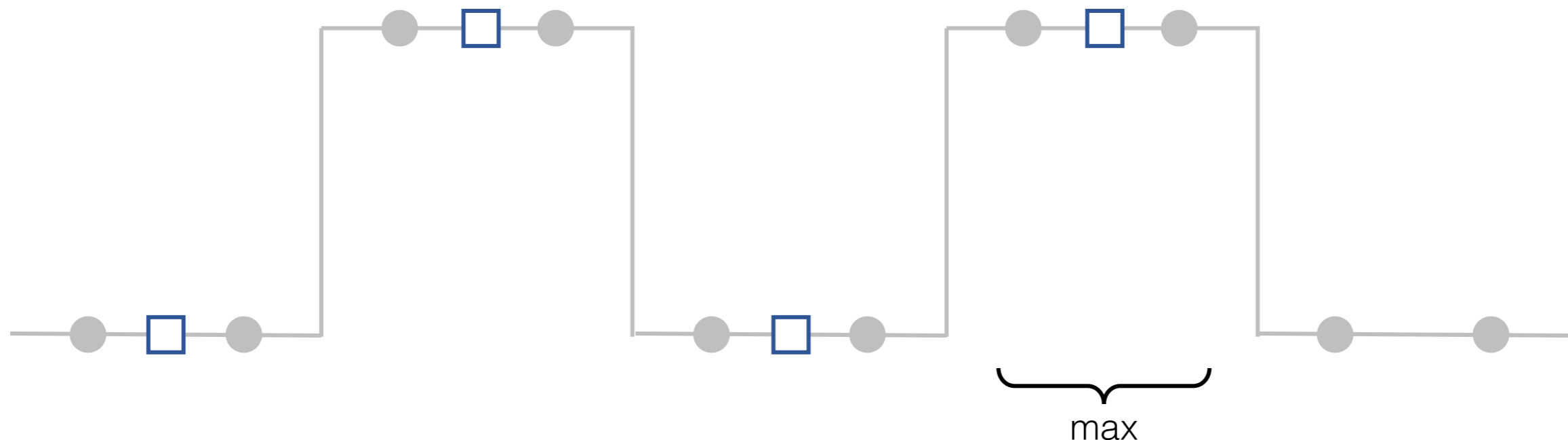


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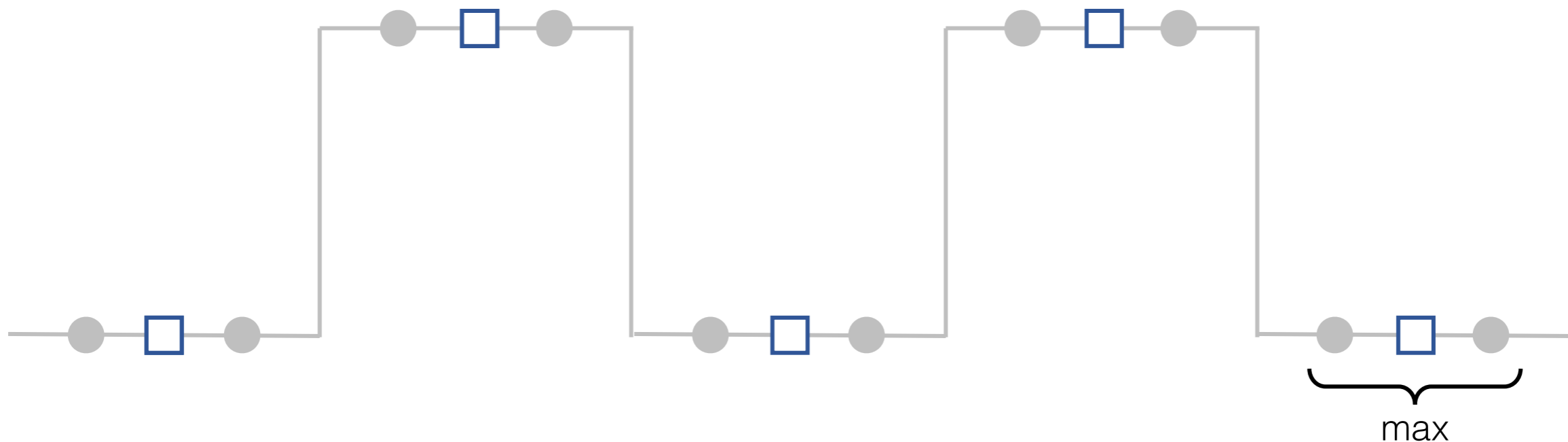


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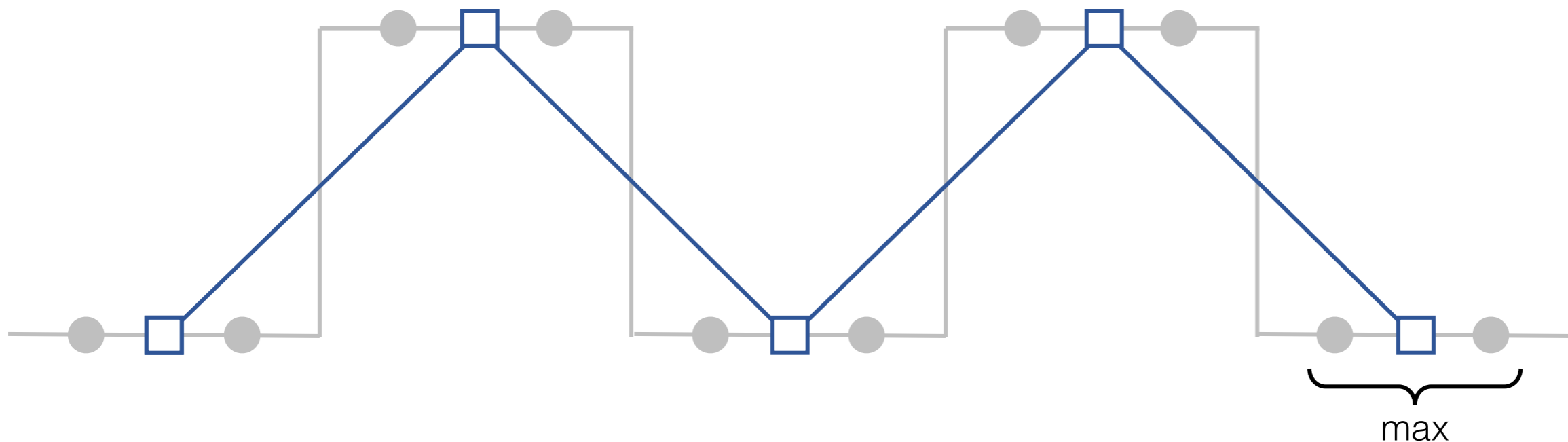


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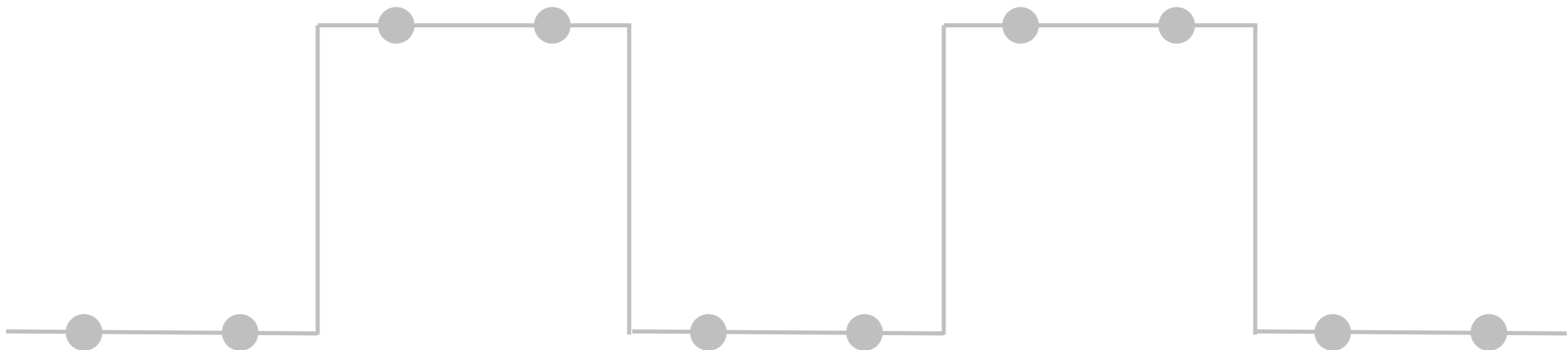


R. Zhang, Making Convolutional Networks Shift-Invariant Again, in International Conference on Machine Learning, 2019.

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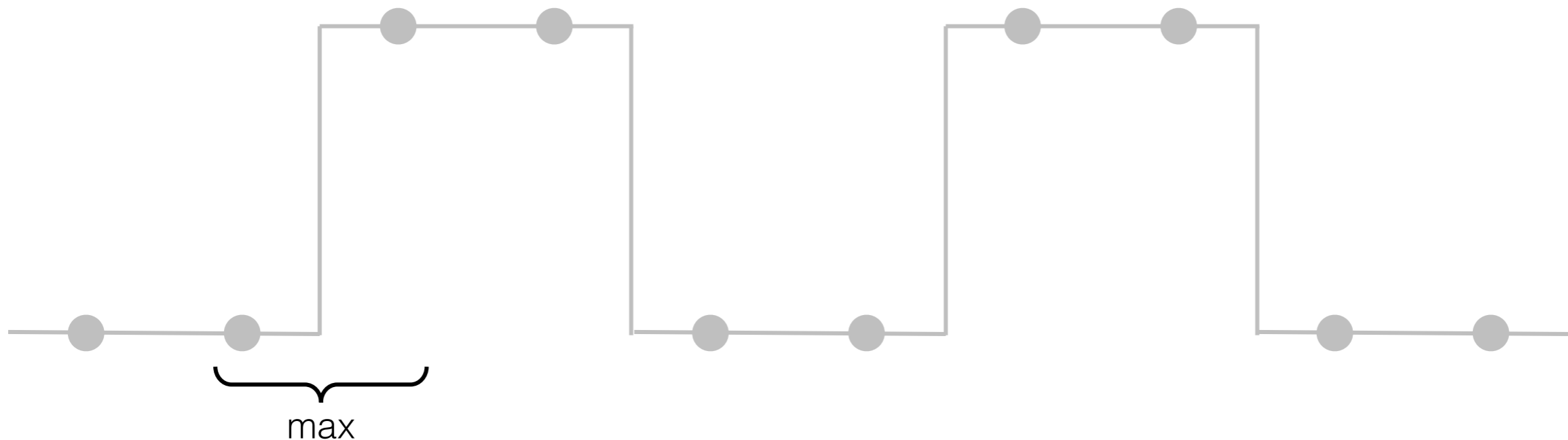


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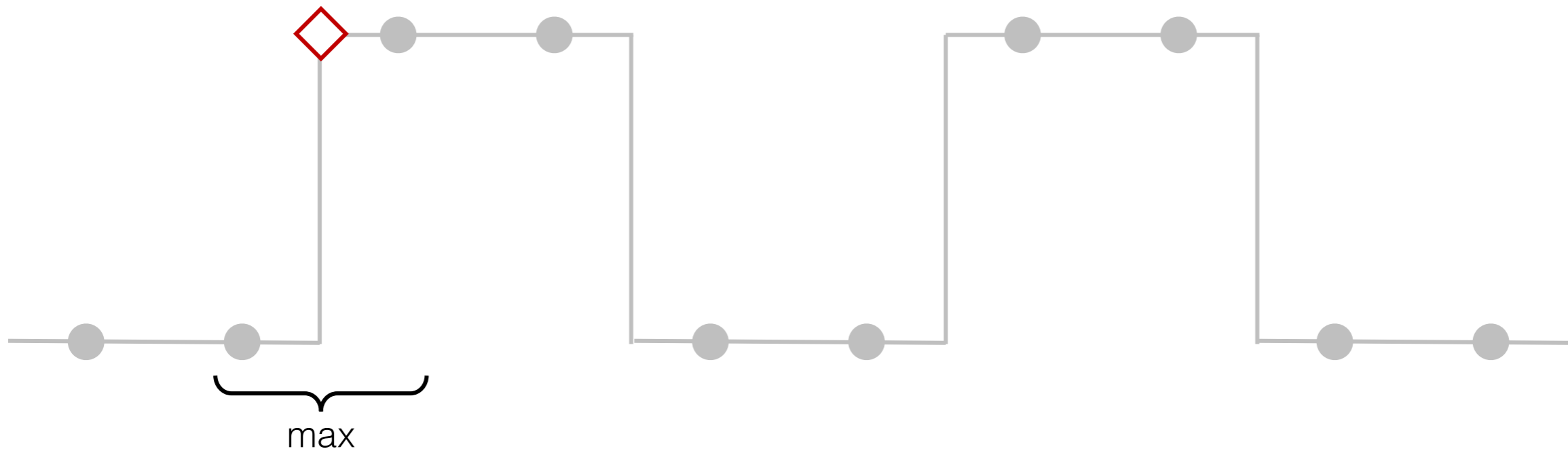


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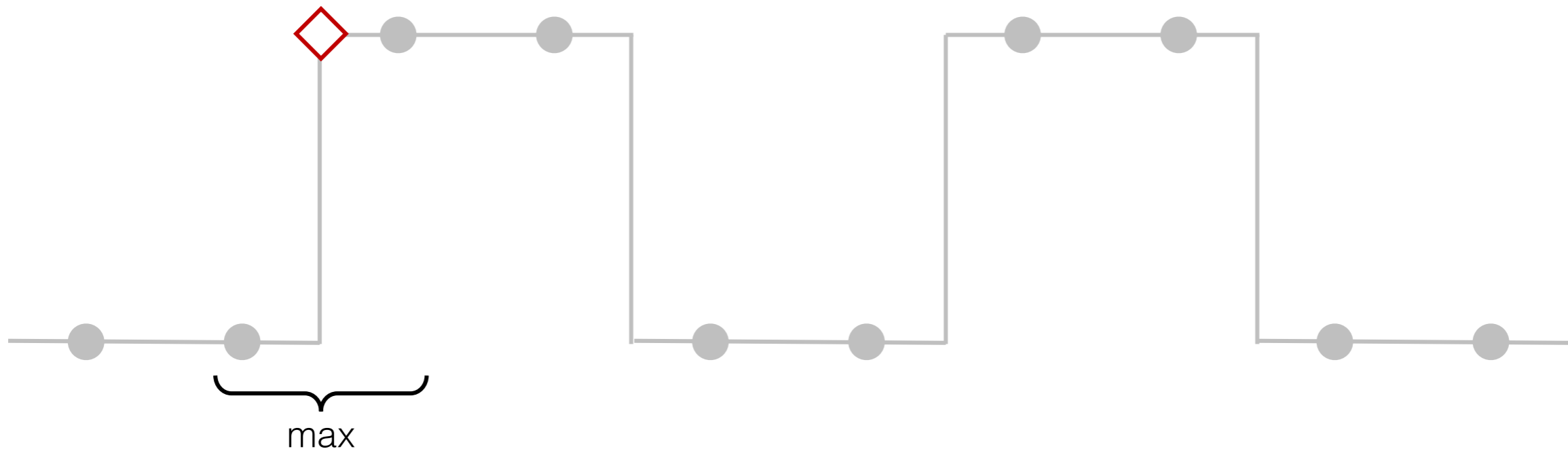


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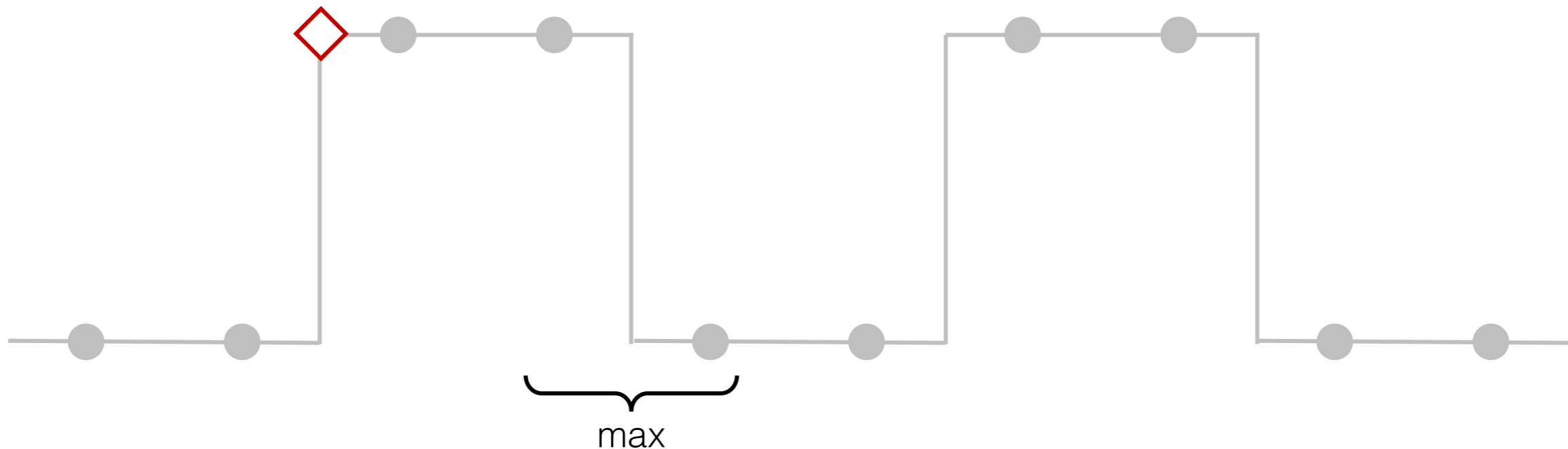


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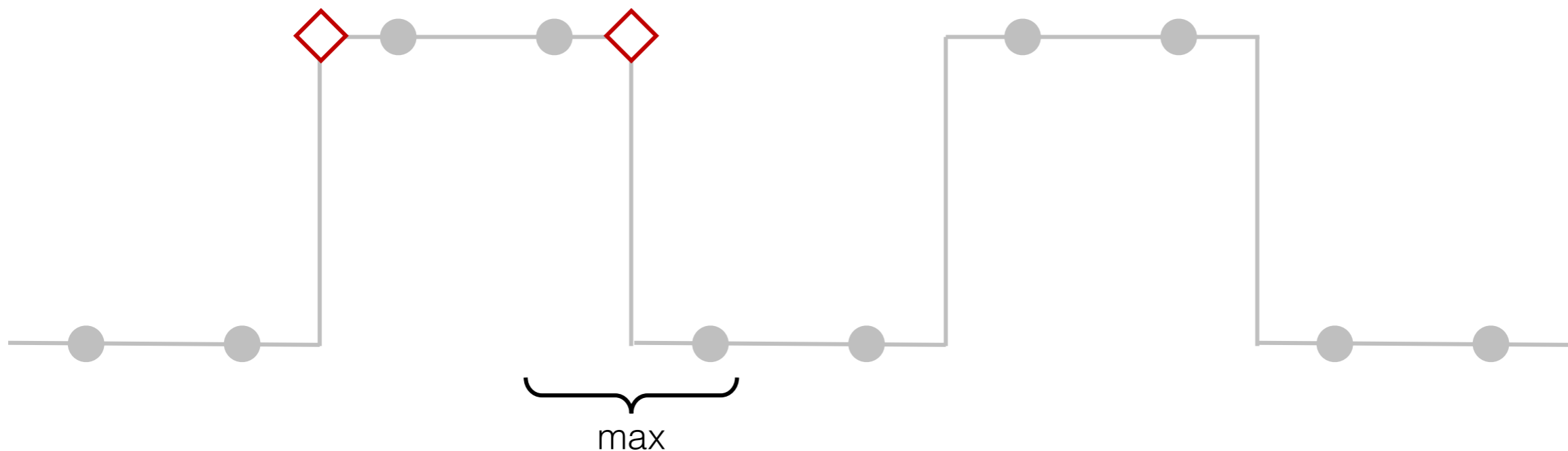


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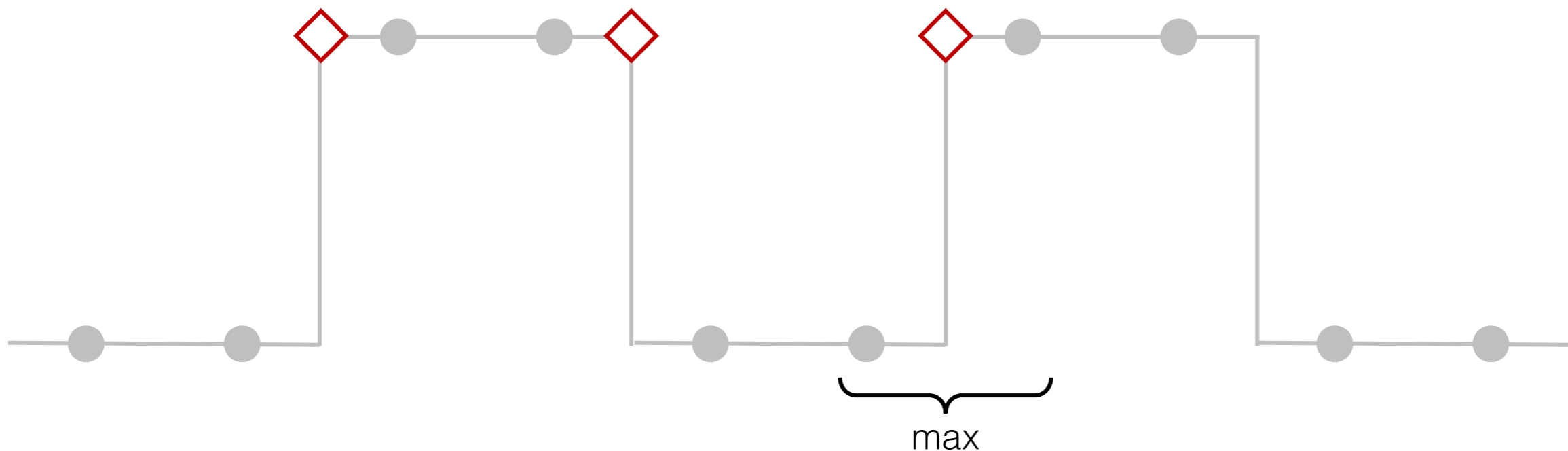


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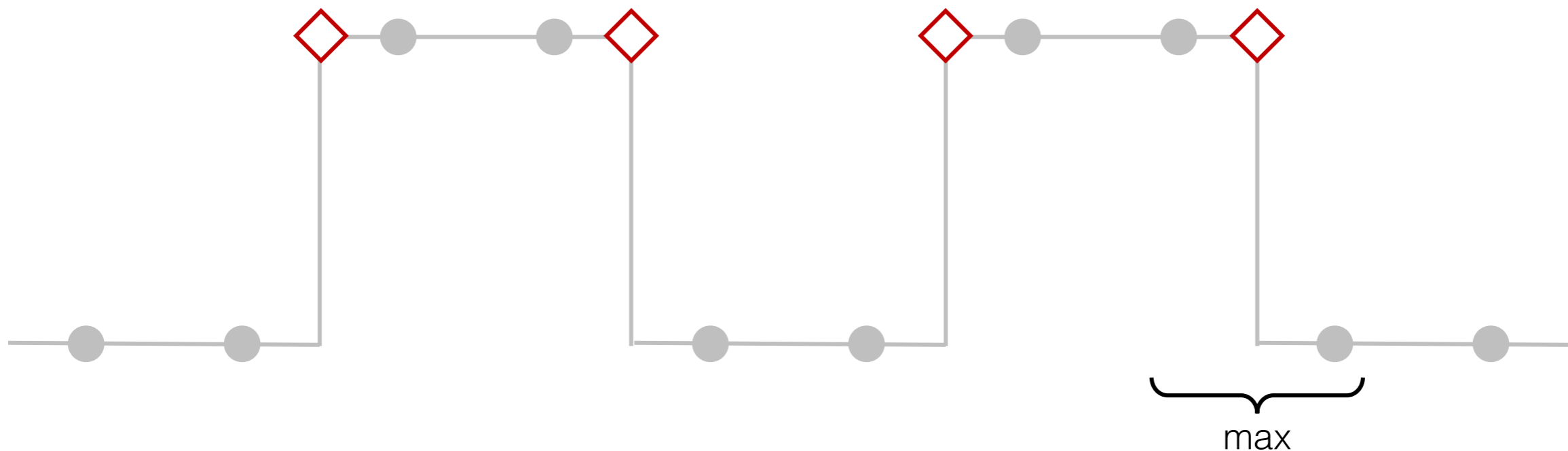


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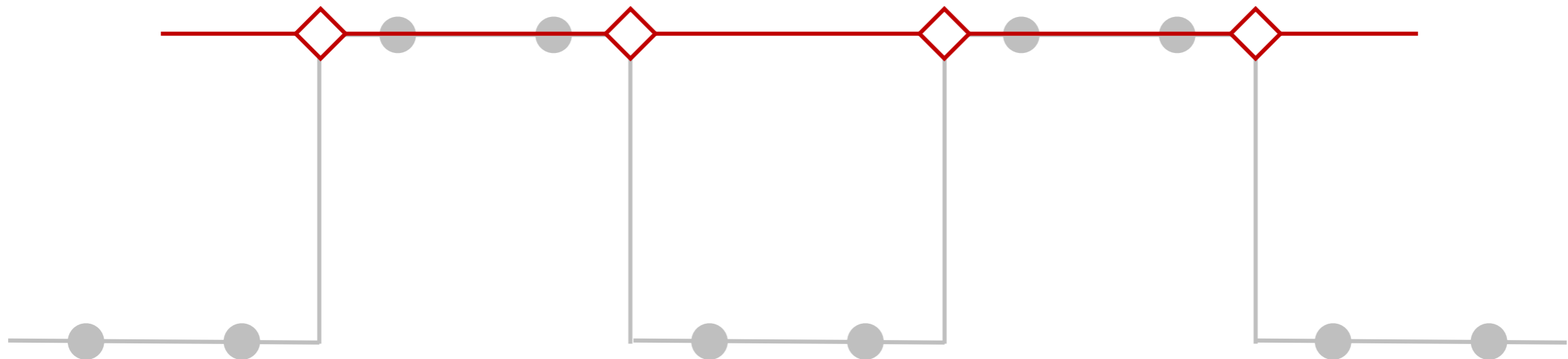


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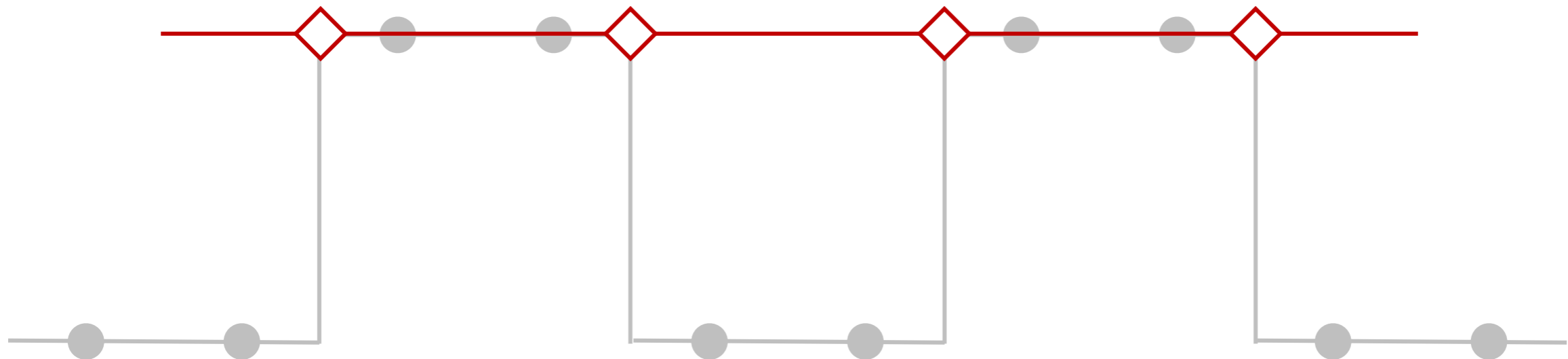


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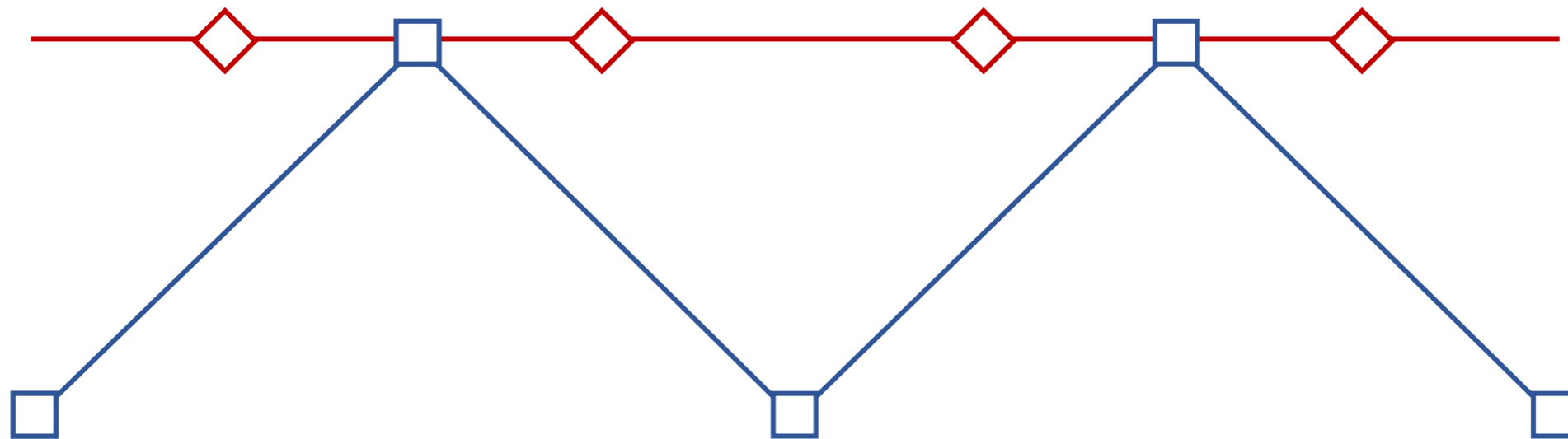


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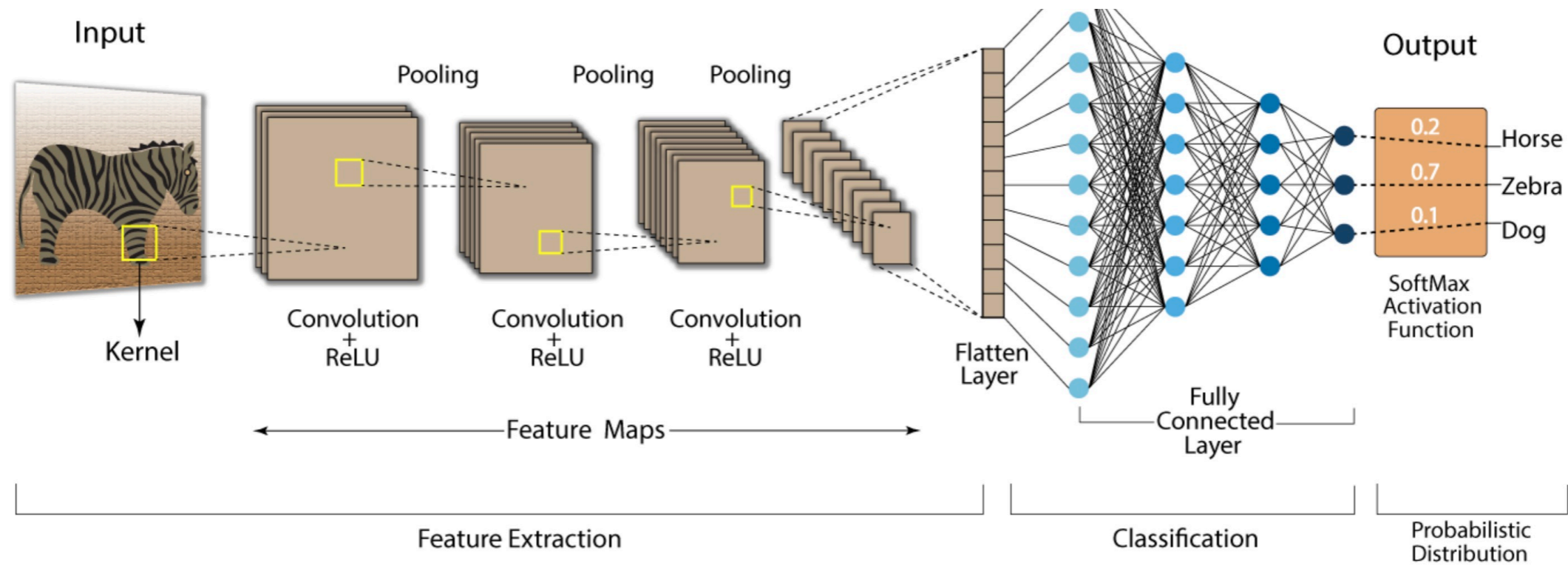
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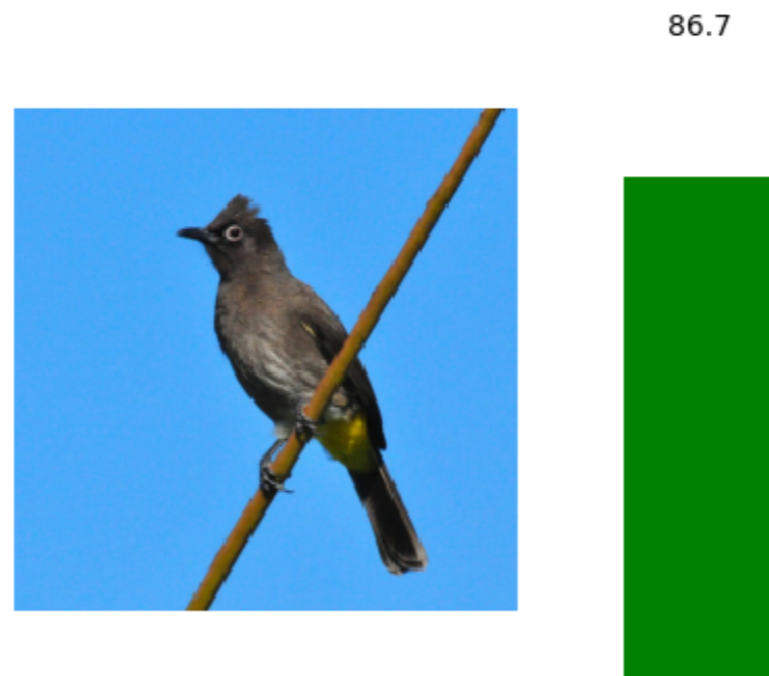
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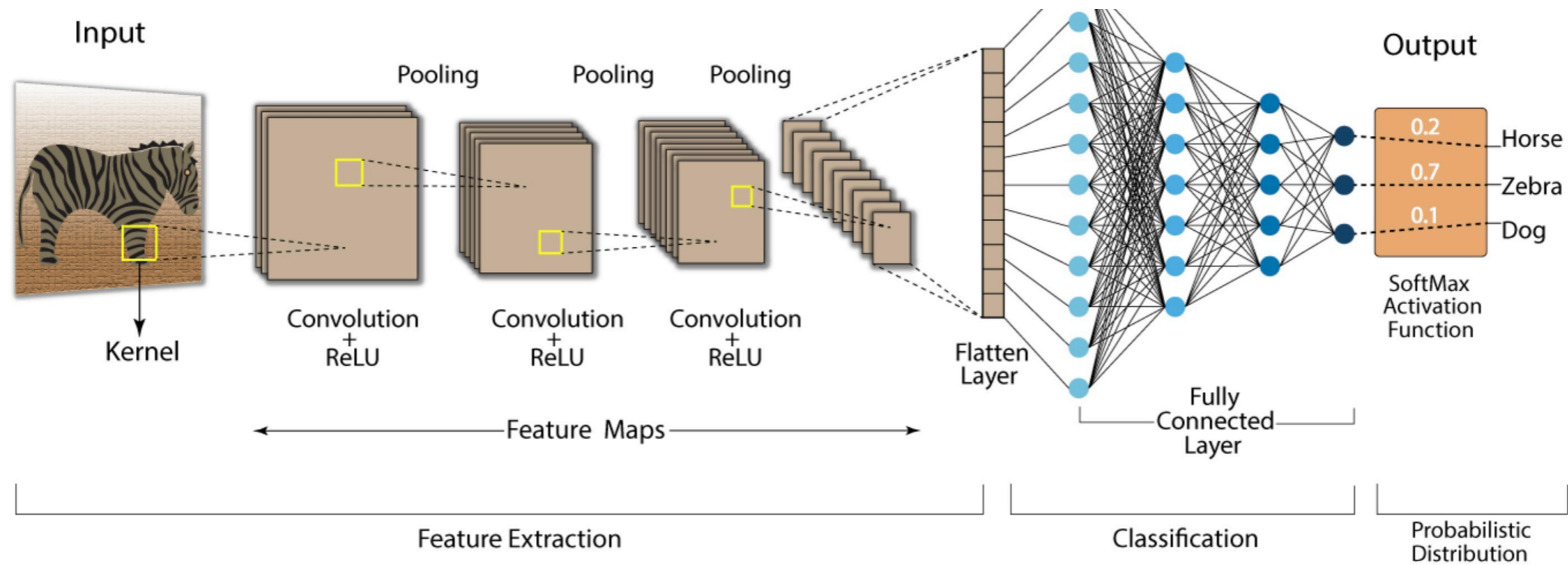
Aliasing breaks shift-invariance



Source : <https://developersbreach.com/convolution-neural-network-deep-learning/>



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46.3



Blind spots in the shift-invariance studies

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- The **effect of the max pooling operator on network stability** under small input shifts has not been investigated, particularly when used in combination with Gabor-like convolutions.

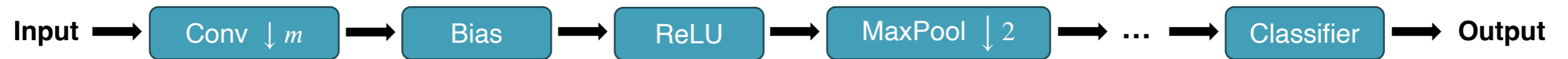
Blind spots in the shift-invariance studies

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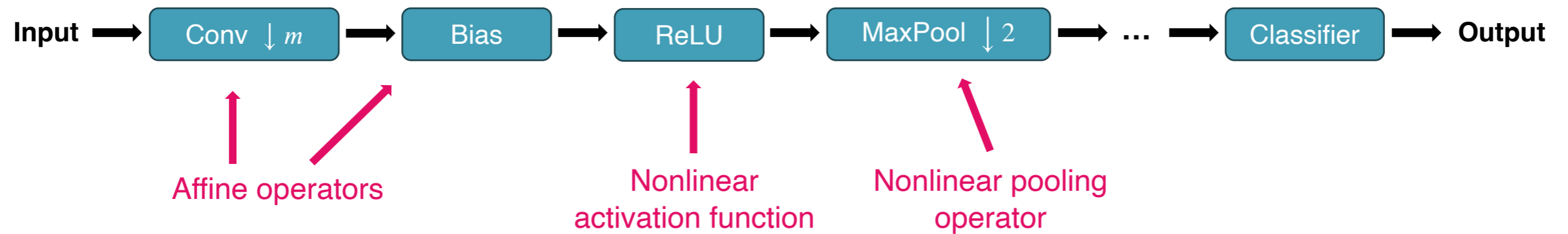
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- In the discrete case, the presence of subsampled convolutions with oriented band-pass filters can lead to aliasing artifacts. To our knowledge, **the literature lacks theoretical studies that take these aliasing effects into account.**
- Although extensive studies have been conducted on complex-valued convolutions followed by modulus, **a link is missing to extend these results to standard CNNs**, which implement real-valued convolutions and spatial pooling operators.

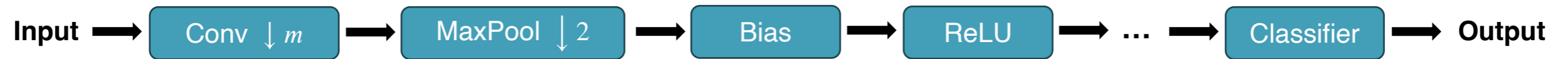
Focus on the first layer



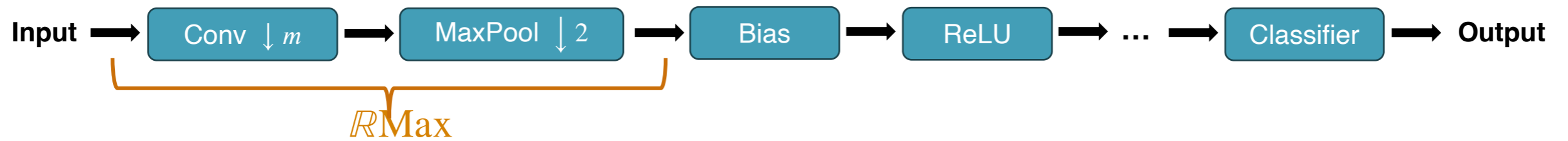
Focus on the first layer



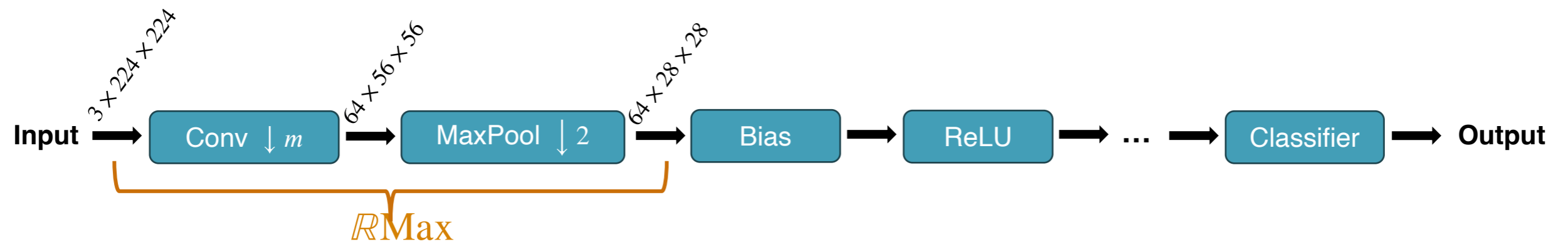
Focus on the first layer



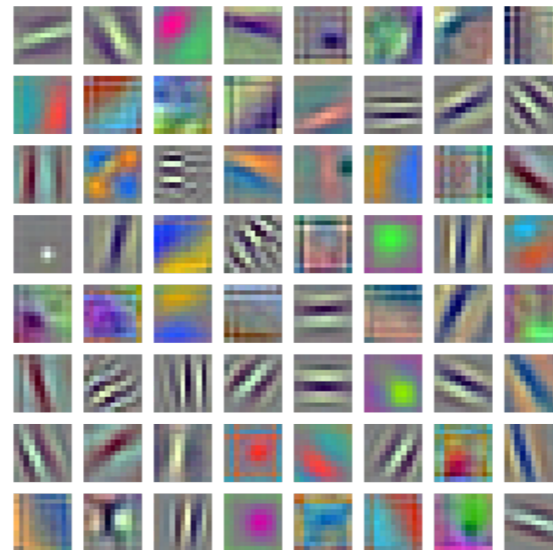
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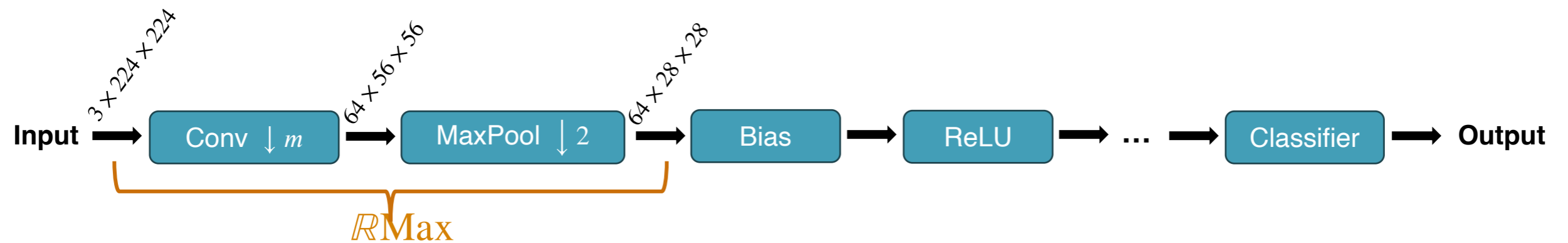
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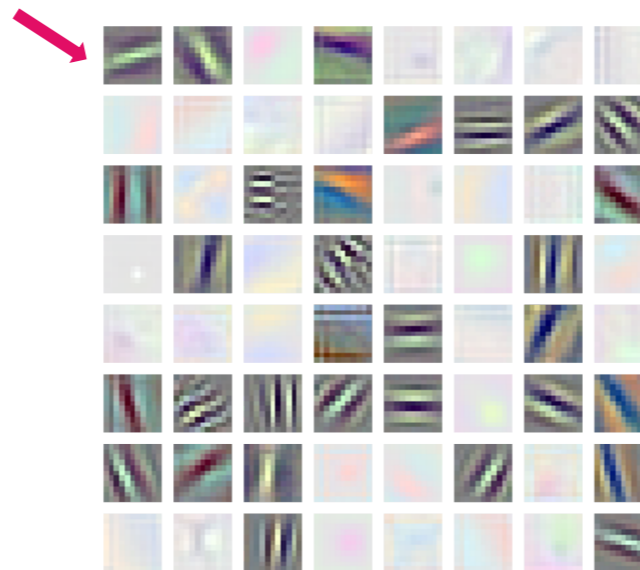
Example: AlexNet
(2012)



Focus on the first layer

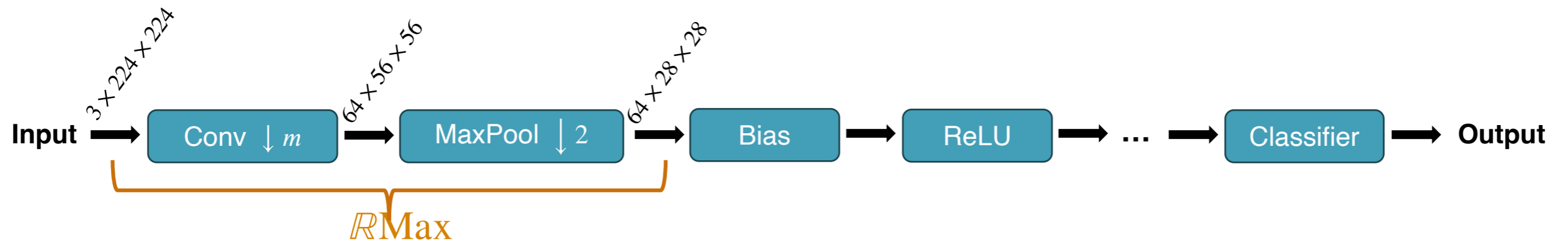


Band-pass “Gabor-like” filters

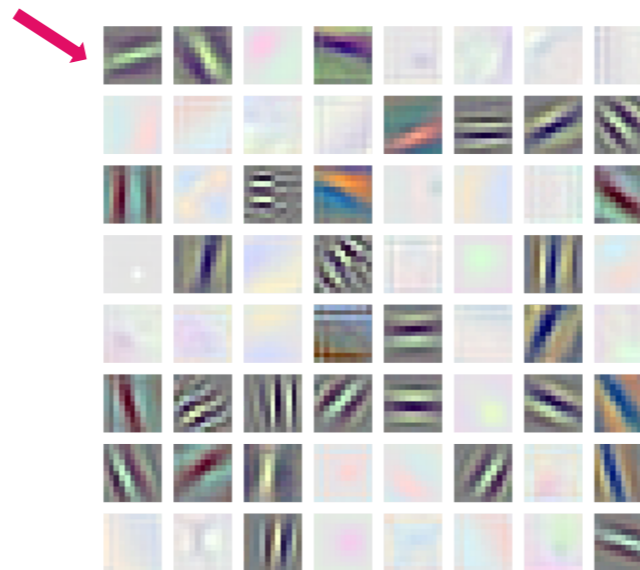


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Band-pass “Gabor-like” filters

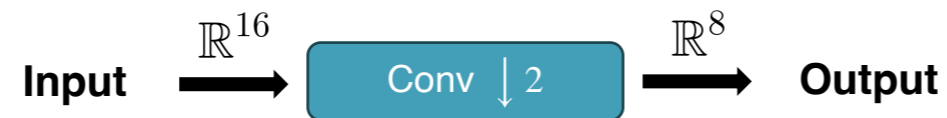


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(2012)

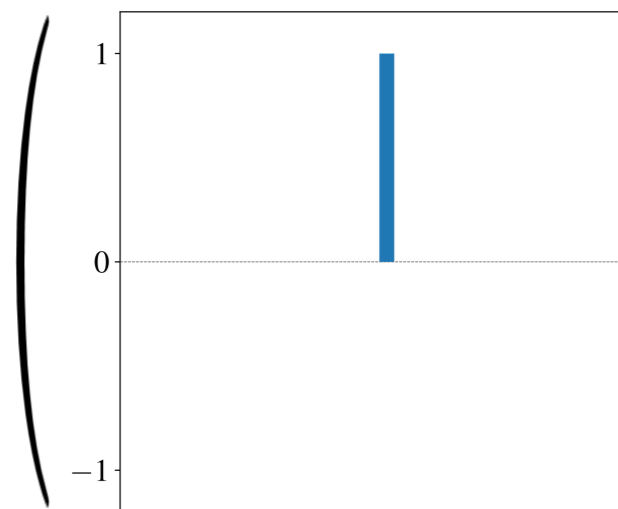
Rai, Mehang, and Pablo Rivas. "A review of convolutional neural networks and Gabor filters in object recognition." *2020 International Conference on Computational Science and Computational Intelligence (CSCI)*. IEEE, 2020.

Yosinski J, Clune J, Bengio Y, and Lipson H. How transferable are features in deep neural networks? In *Advances in Neural Information Processing Systems 27 (NIPS '14)*, NIPS Foundation, 2014.

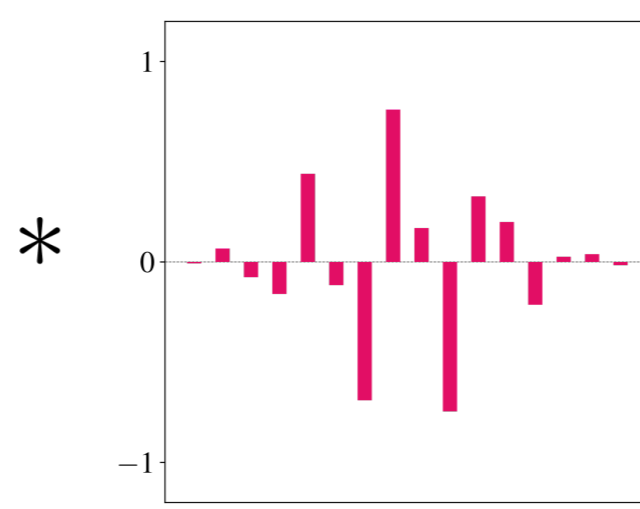
Subsampled convolutions, a real problem!



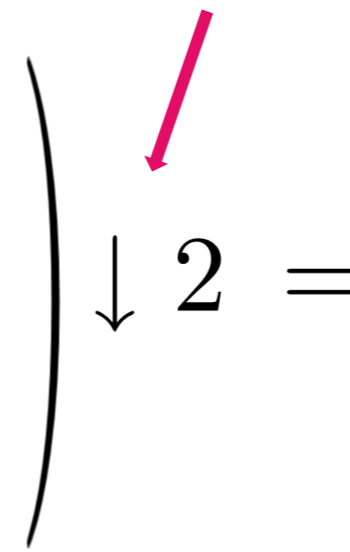
Subsampled convolution
(retains one value out of two)



Input signal

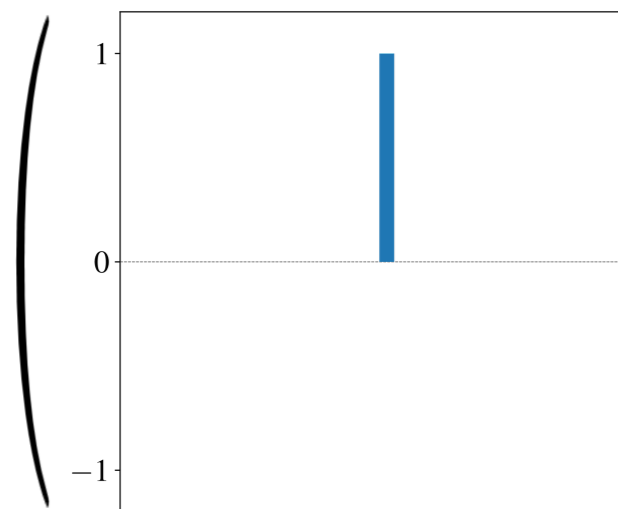
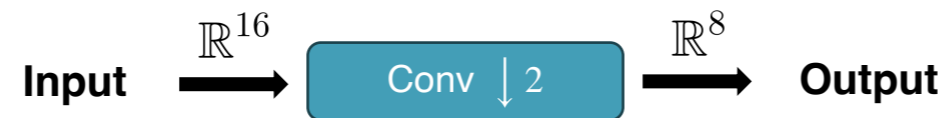


Band-pass
convolution kernel

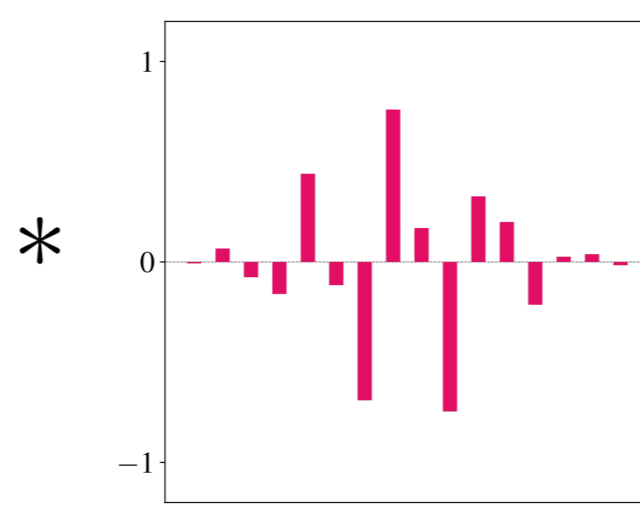


Output signal

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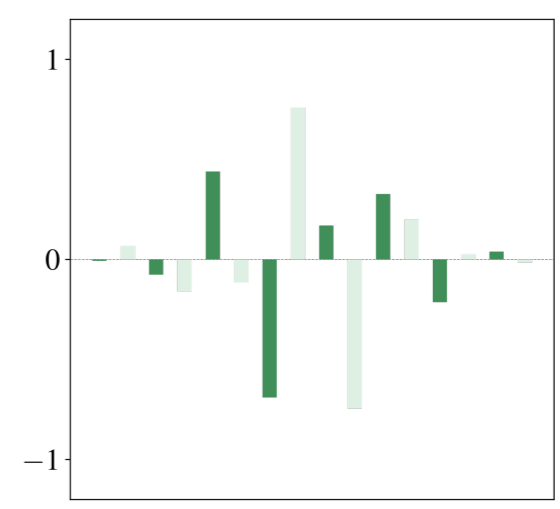


Input signal



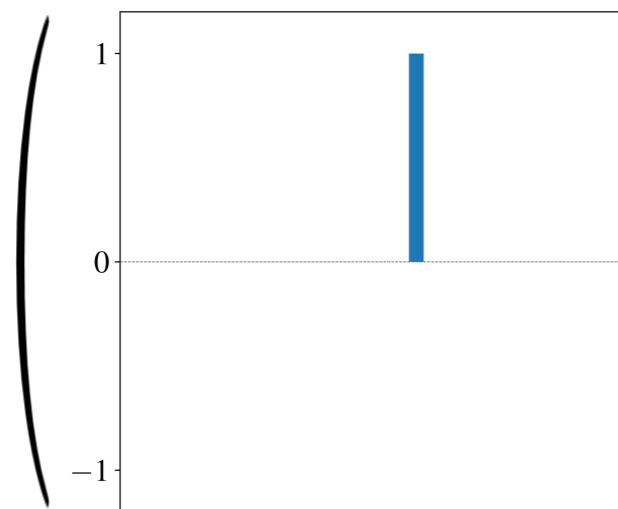
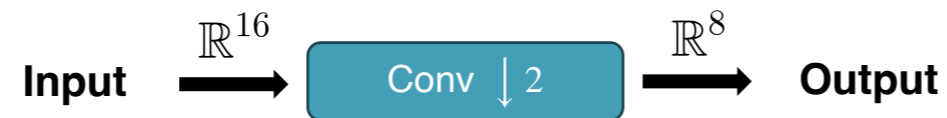
Band-pass convolution kernel

$\downarrow 2 =$

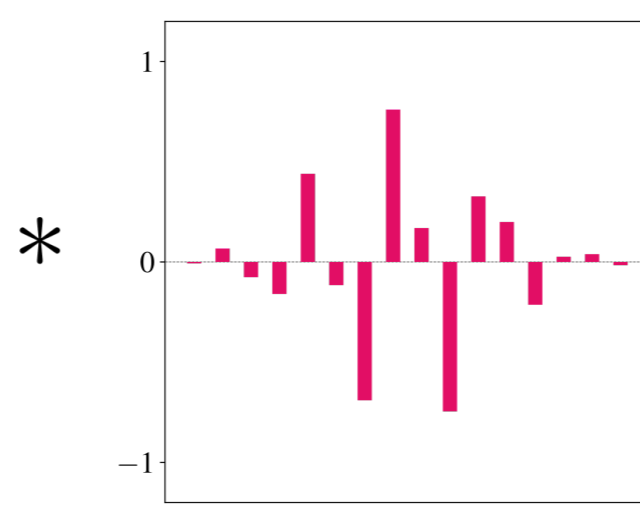


Output signal

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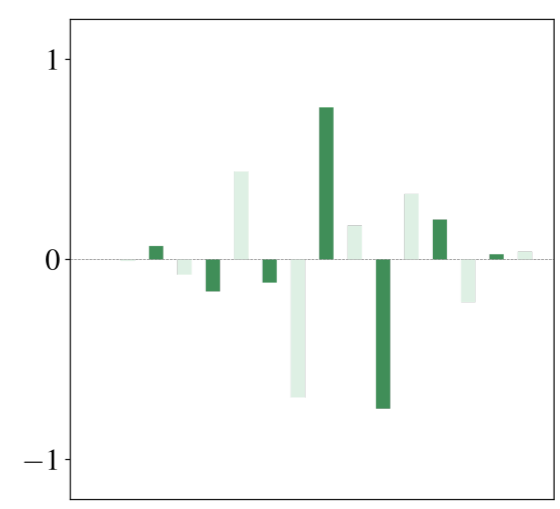


Input signal



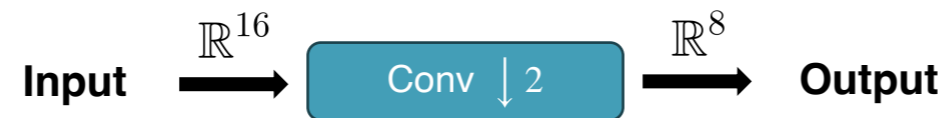
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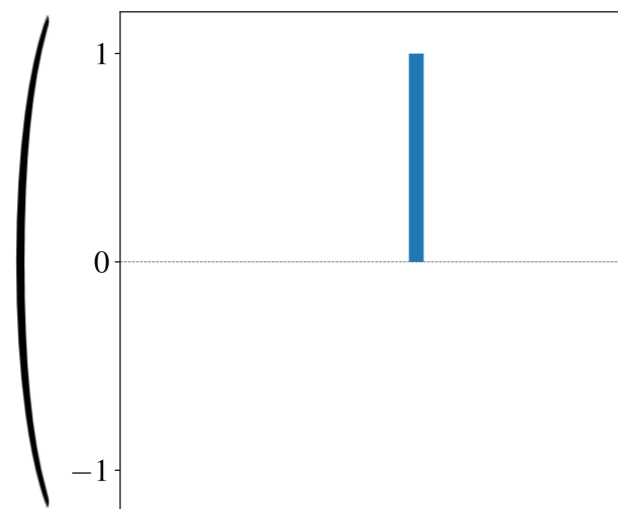


Output signal

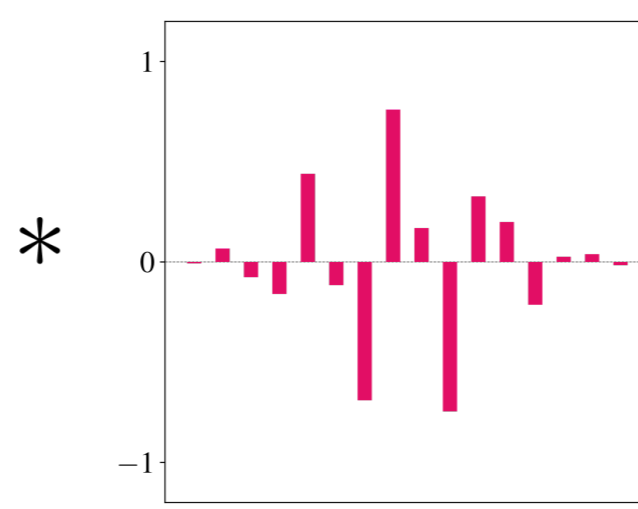
Subsampled convolutions, a real problem!



Subsampling a high-frequency signal
→ **Aliasing effect**
→ **Instability to small input shifts**

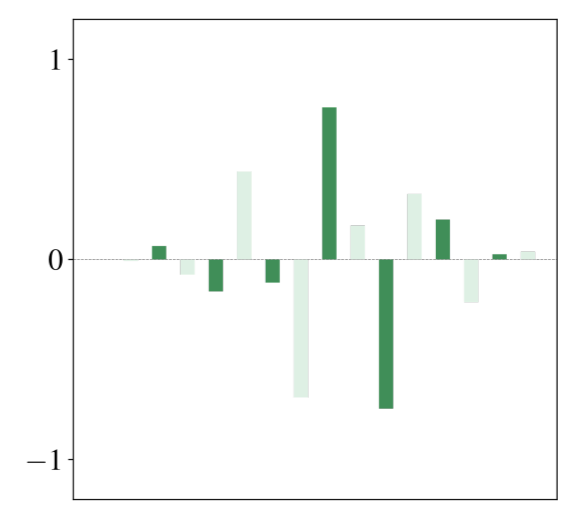


Input signal



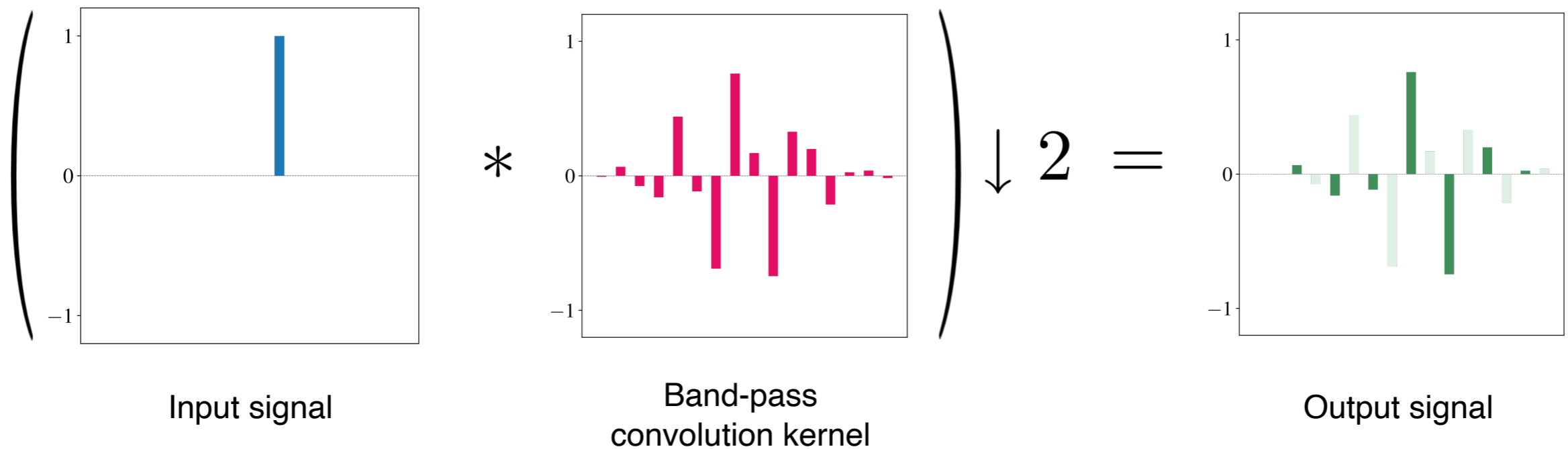
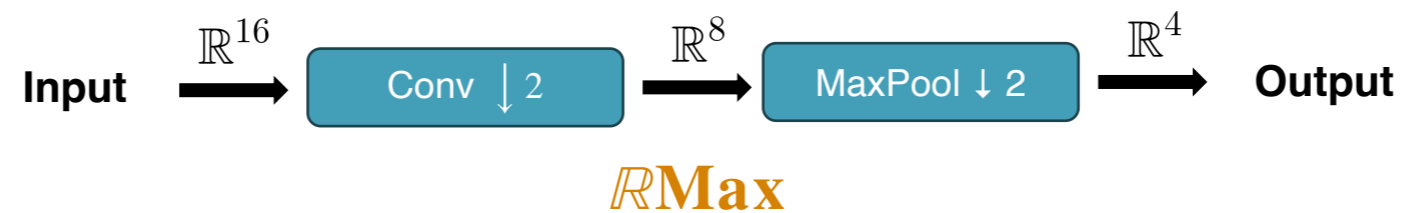
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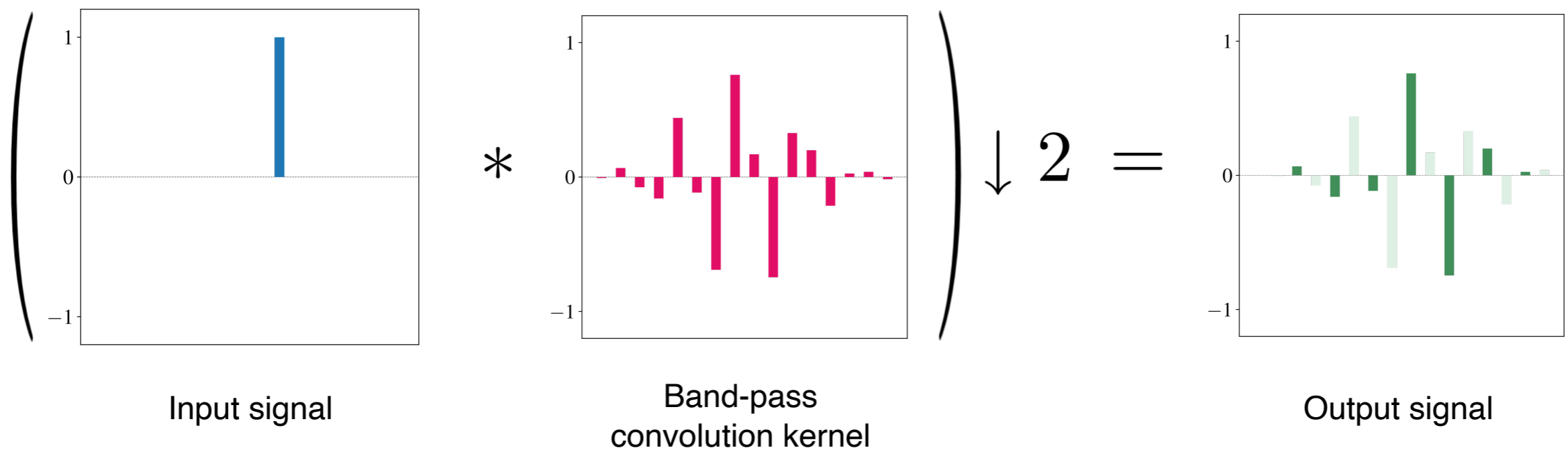
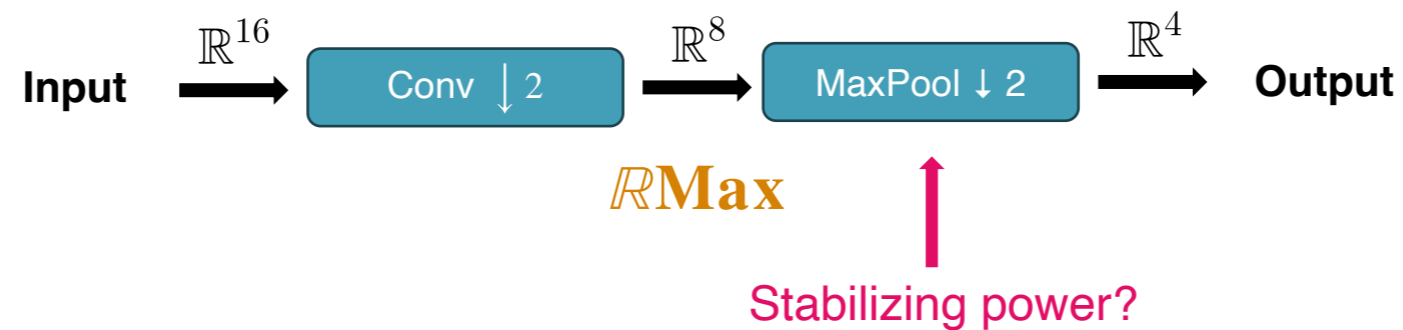


Output signal

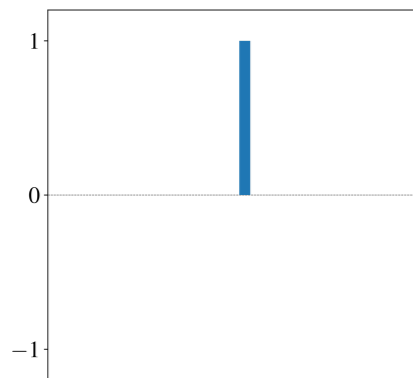
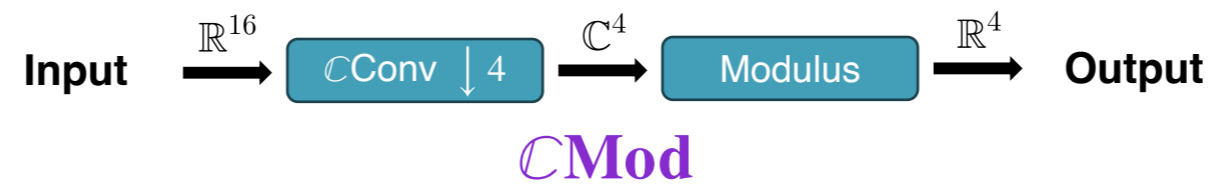
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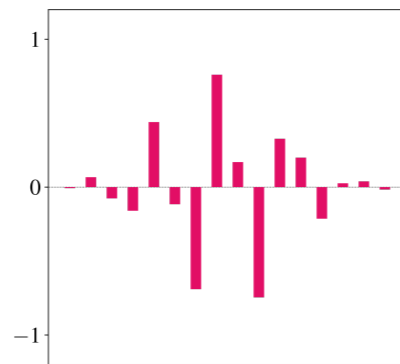


Complex-valued convolutions at rescue

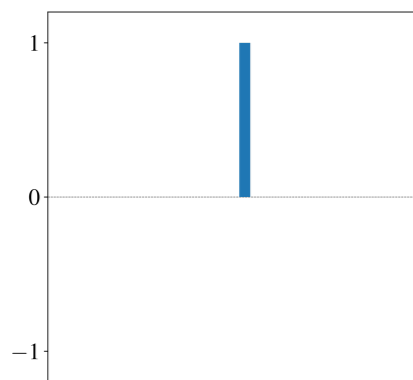
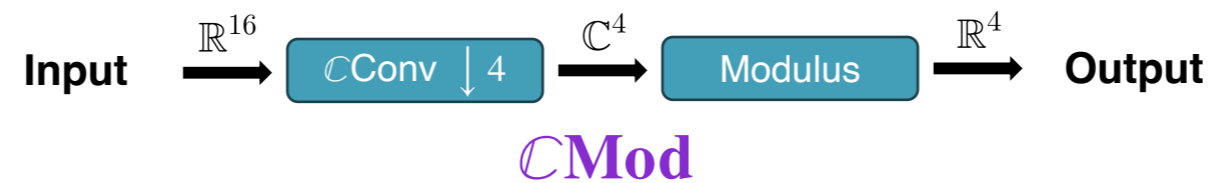


Input signal

*

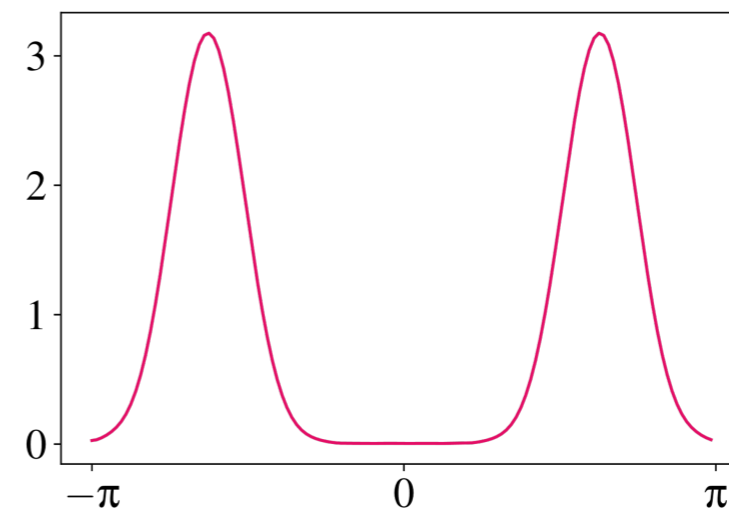
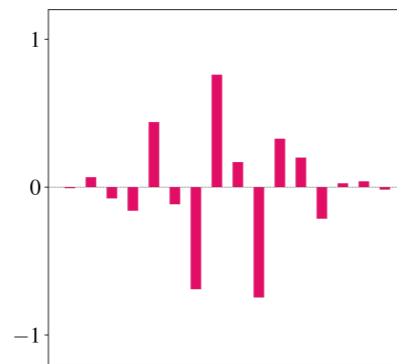


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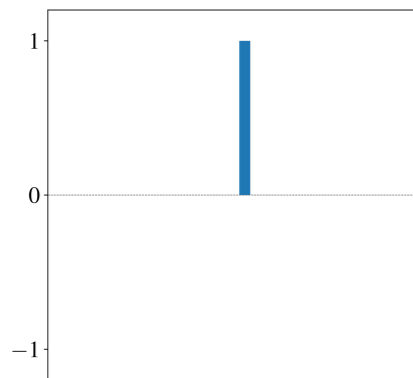
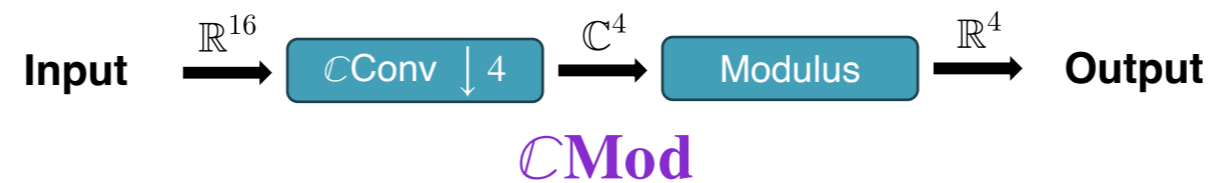
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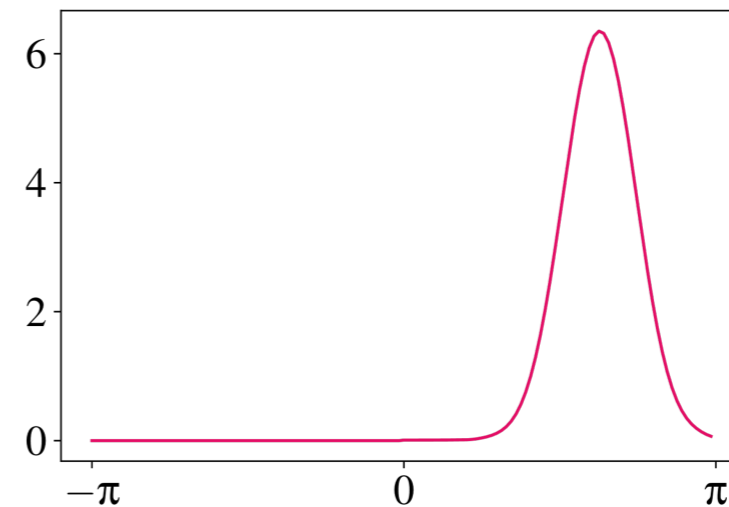
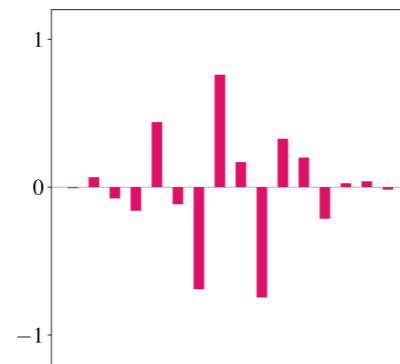
Fourier transform modulus

Complex-valued convolutions at rescue



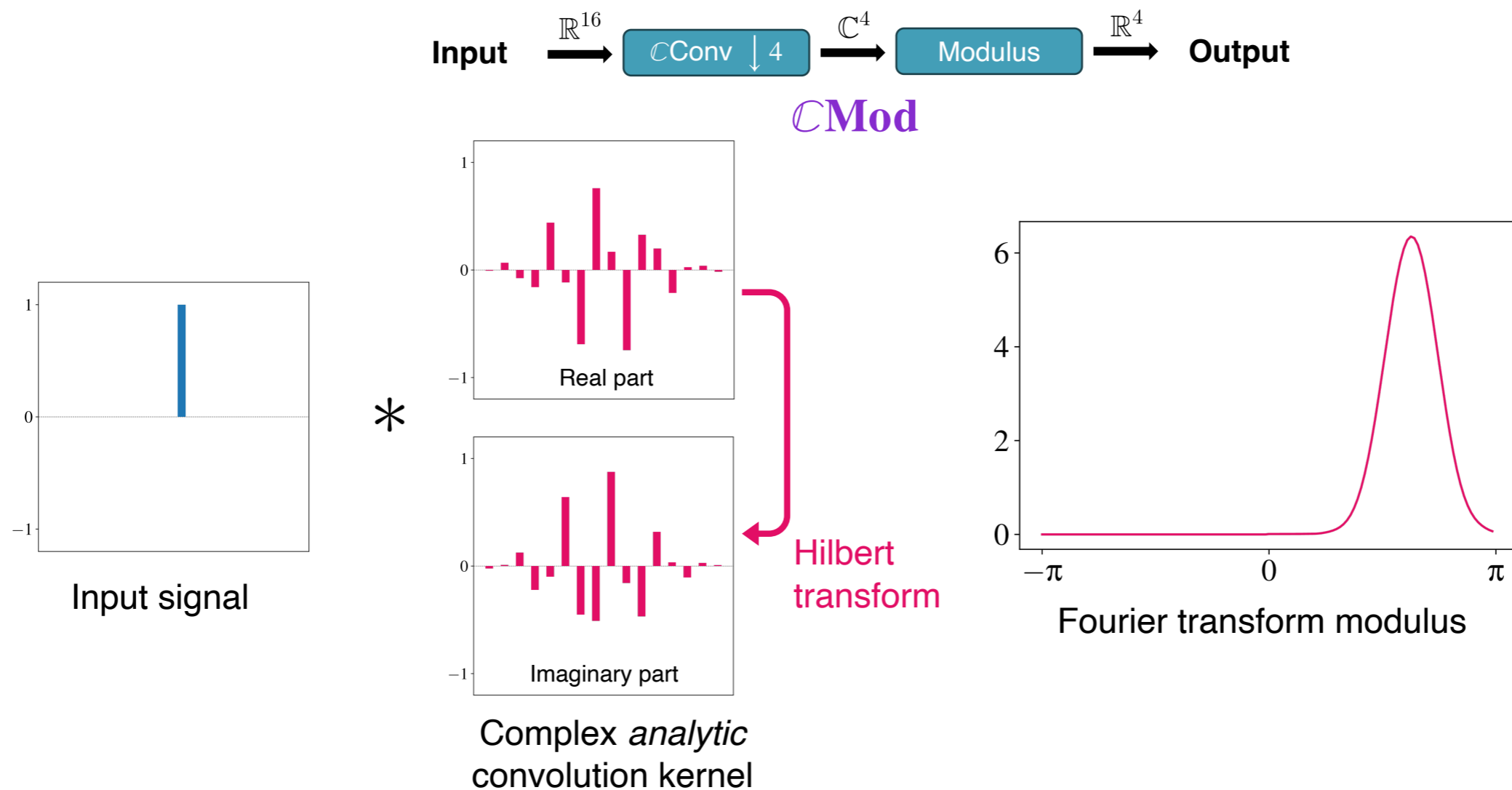
Input signal

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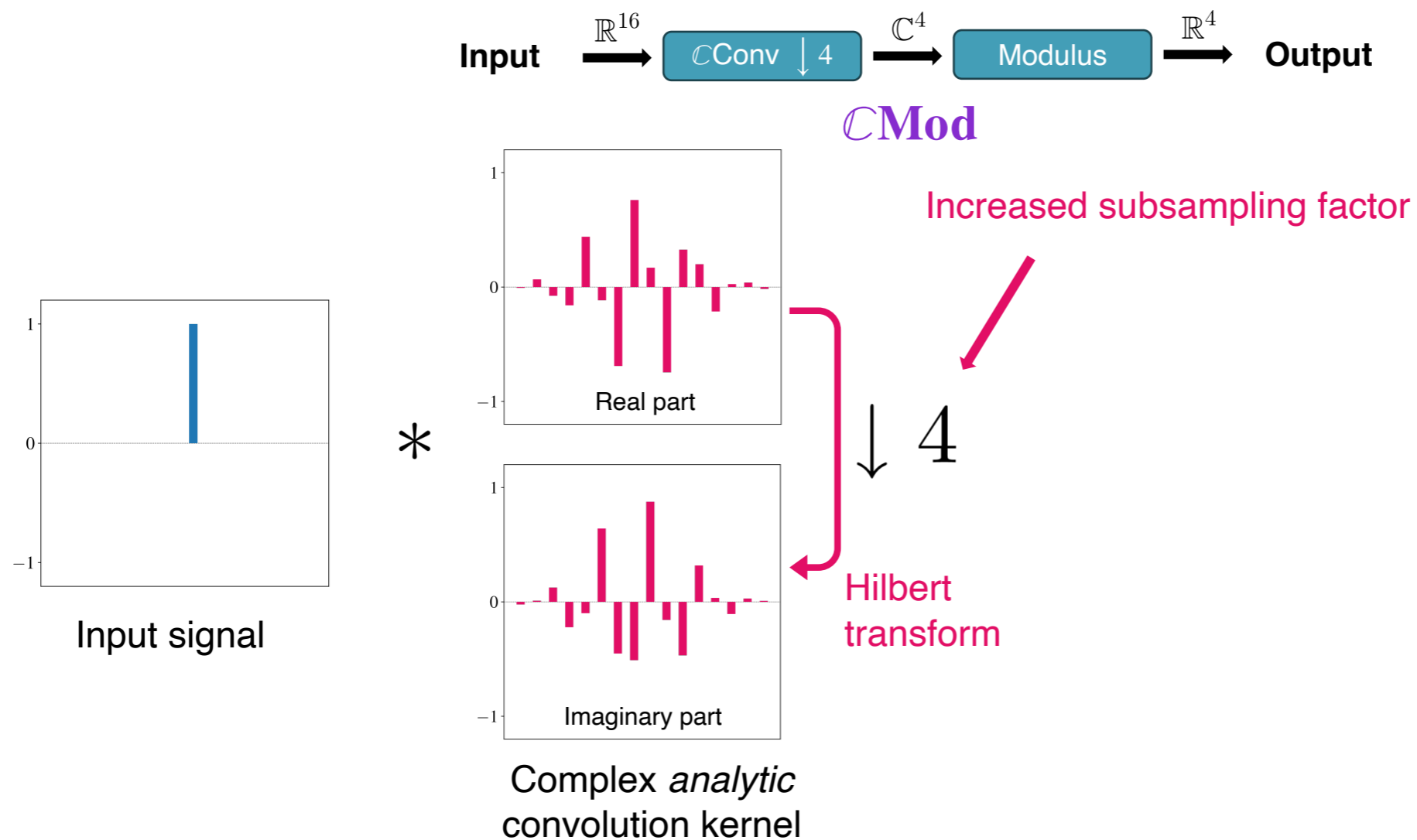


Fourier transform modulus

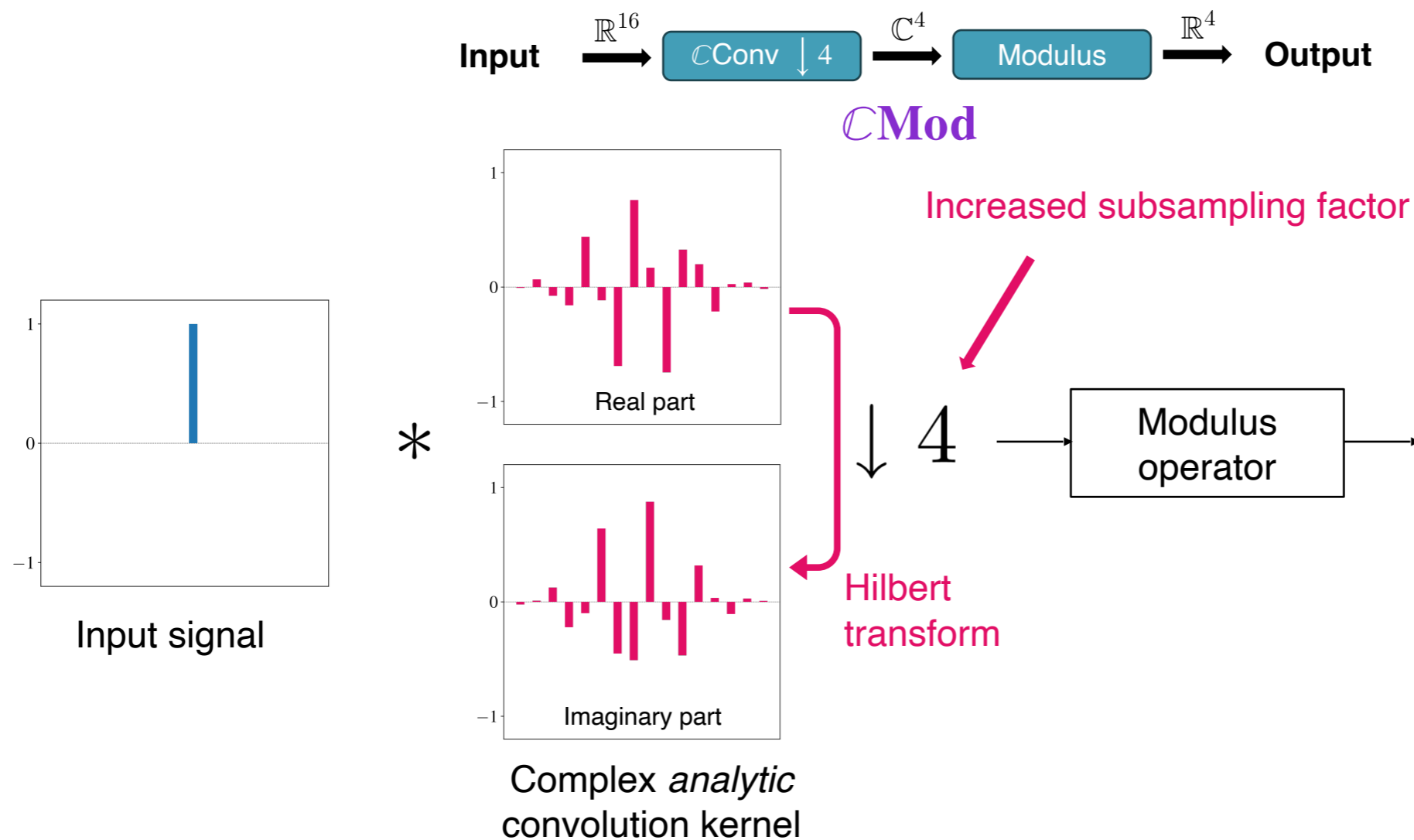
Complex-valued convolutions at rescue



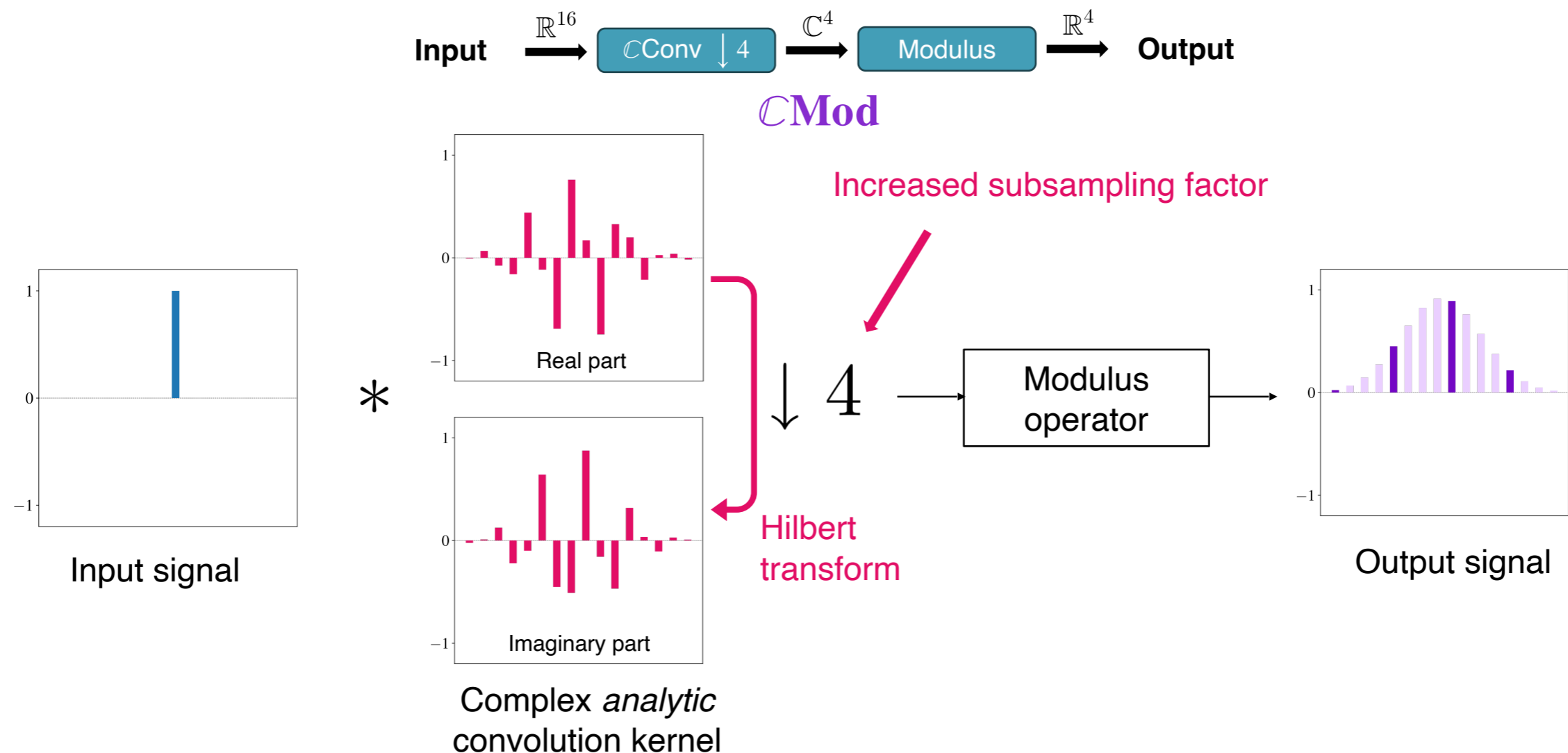
Complex-valued convolutions at rescue



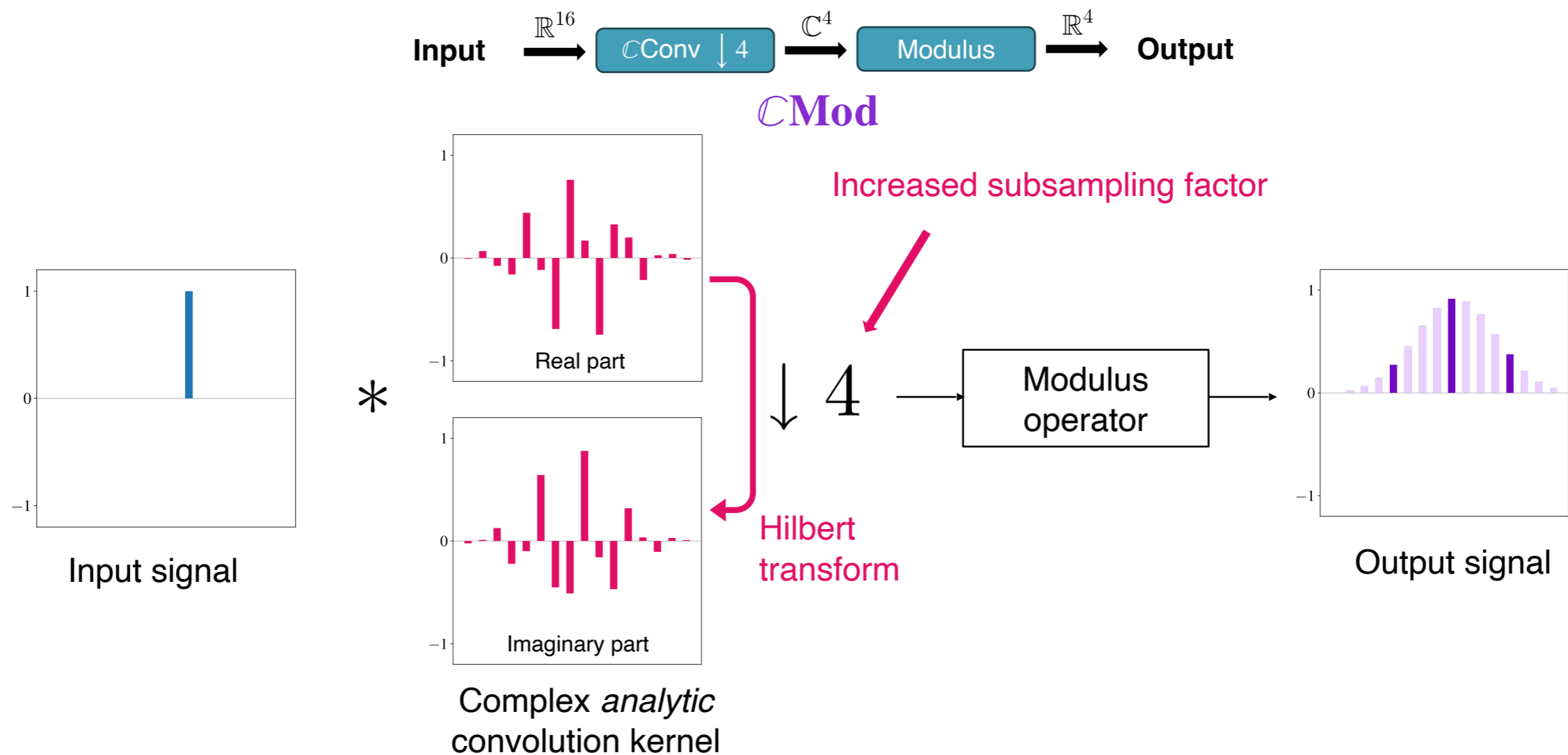
Complex-valued convolutions at rescue



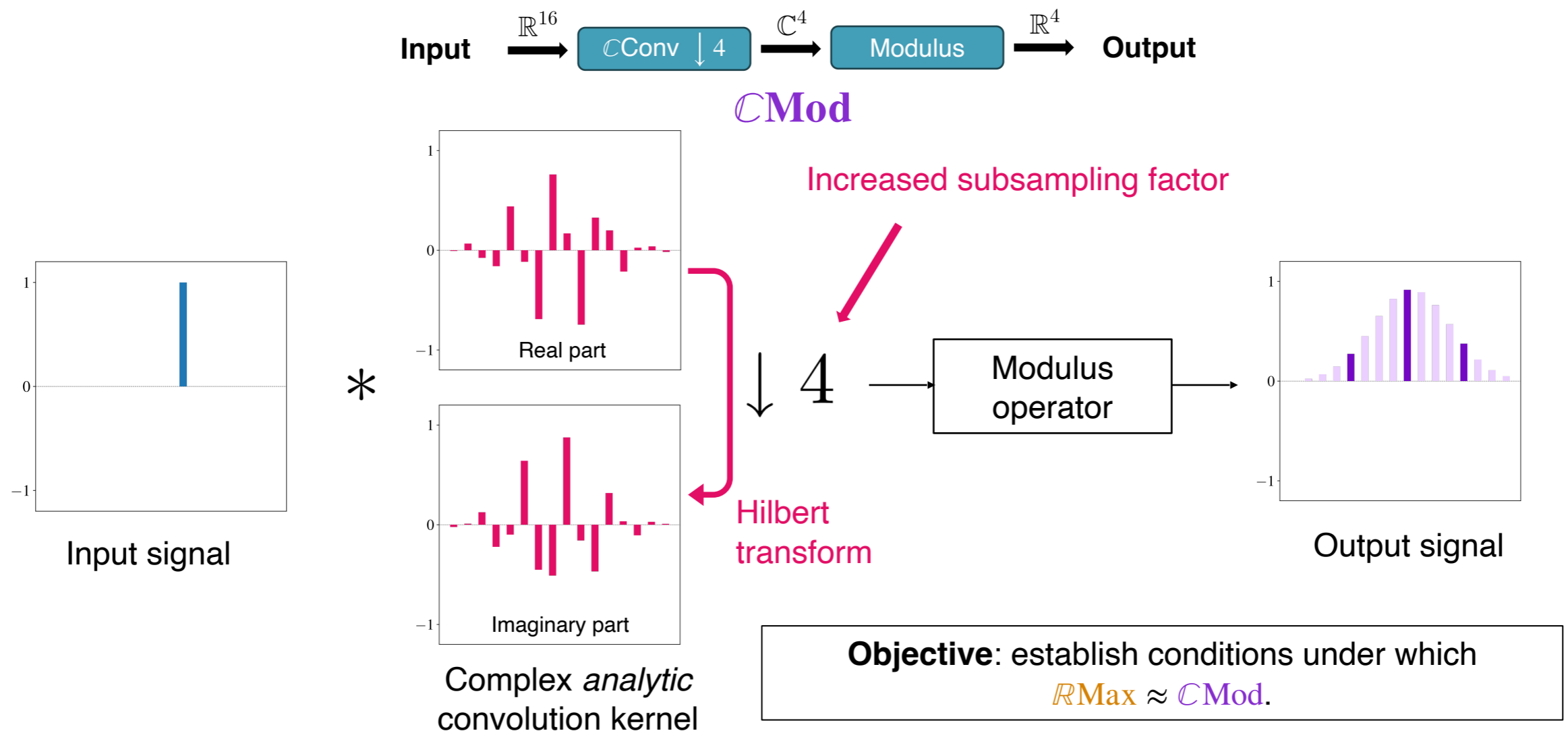
Complex-valued convolutions at rescue



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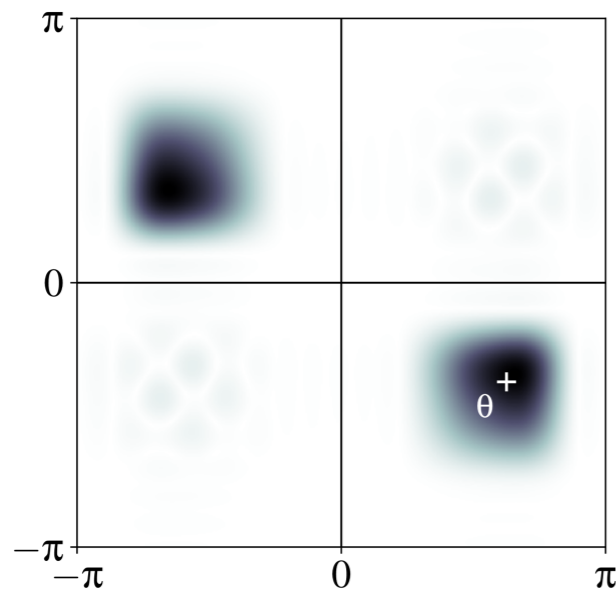
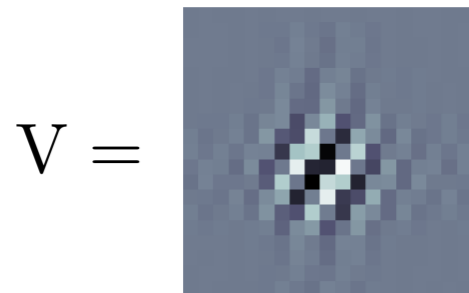


Fundamental hypothesis on filter

- Band-pass, oriented and **analytic** *Gabor-like* filters W

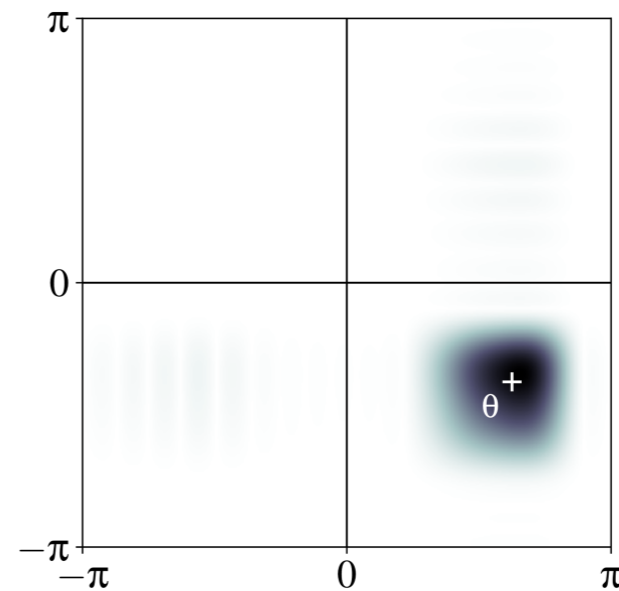
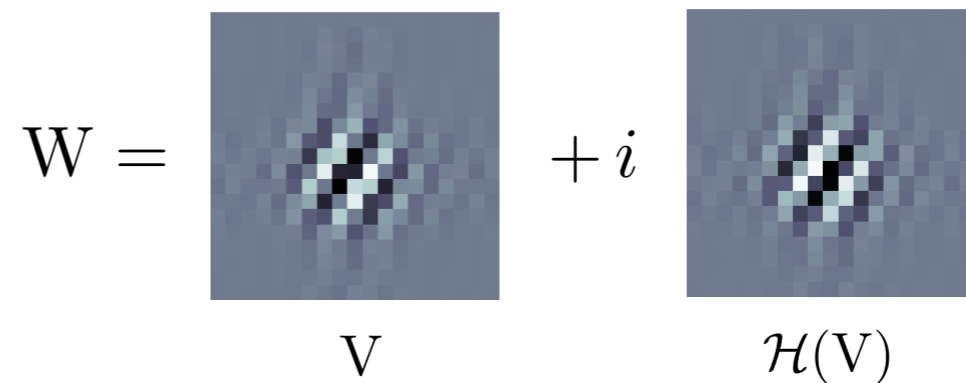
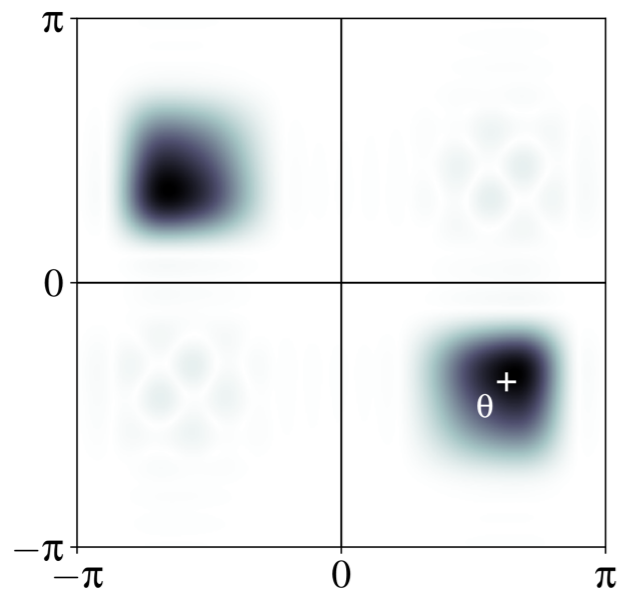
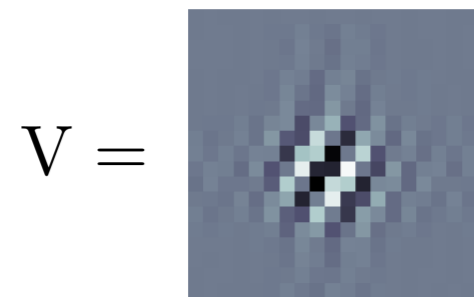
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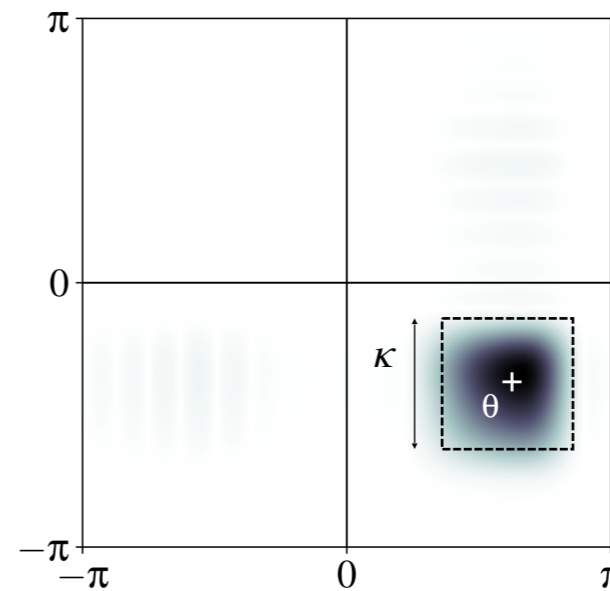
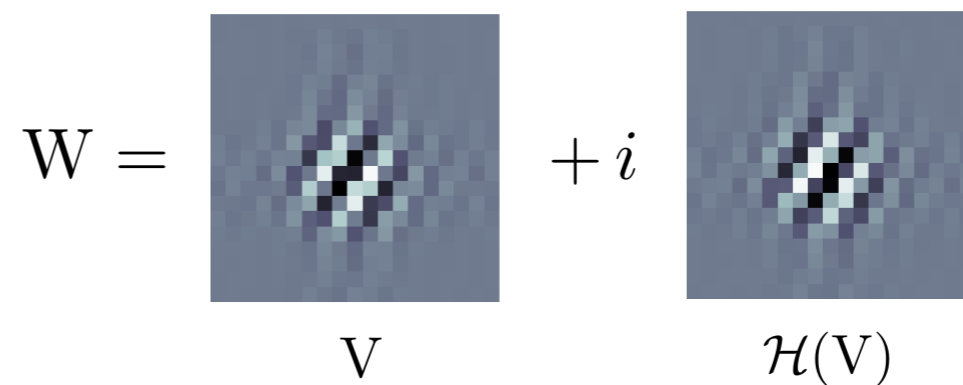
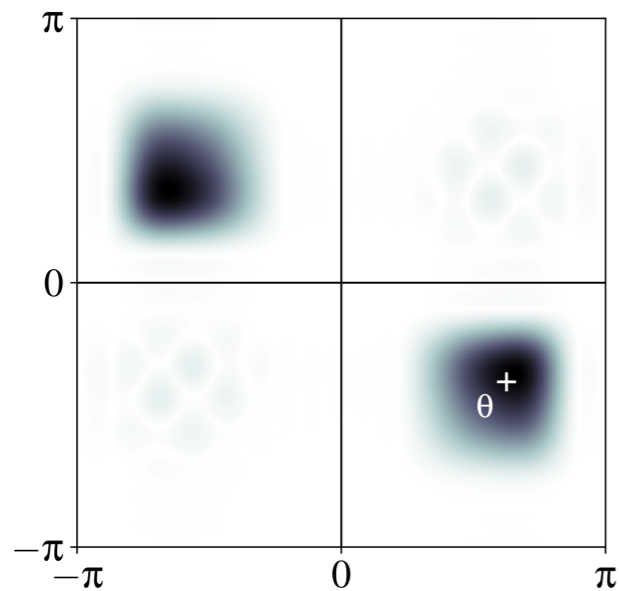
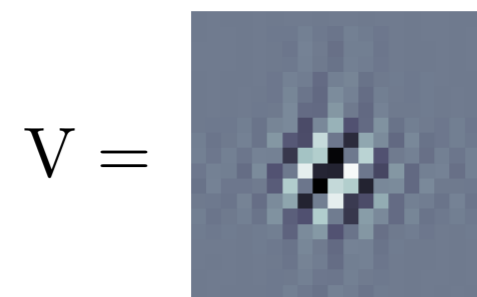
Fundamental hypothesis on filter

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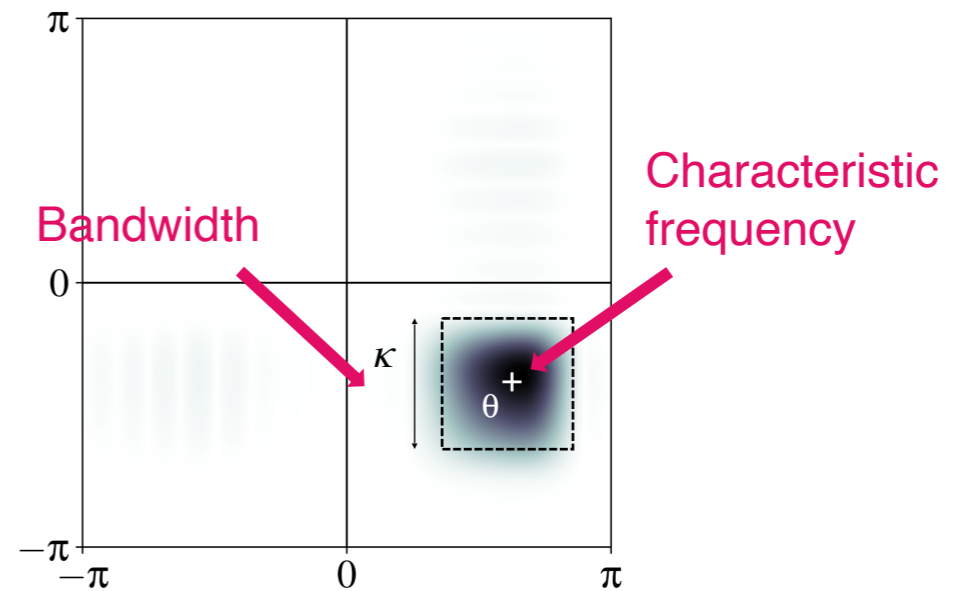
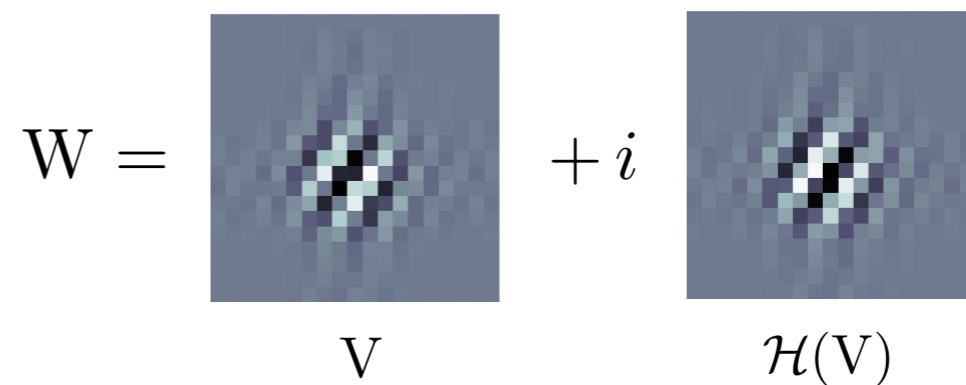
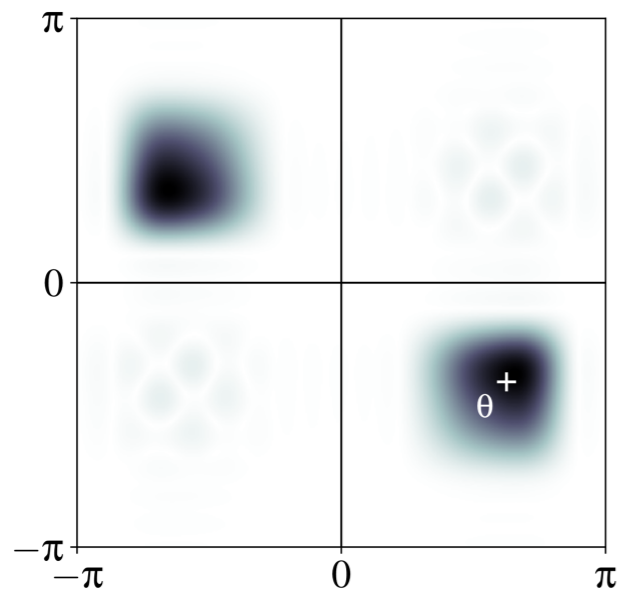
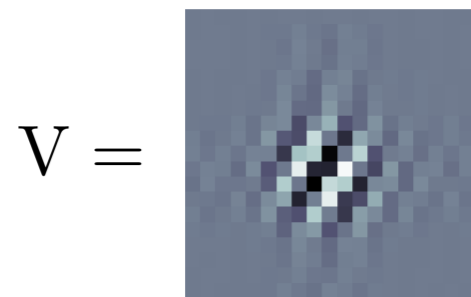
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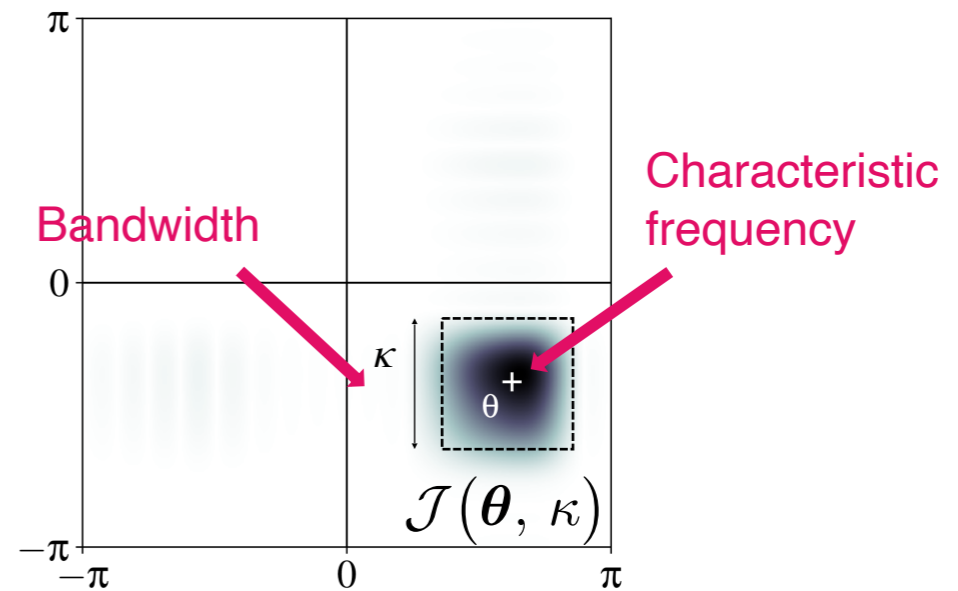
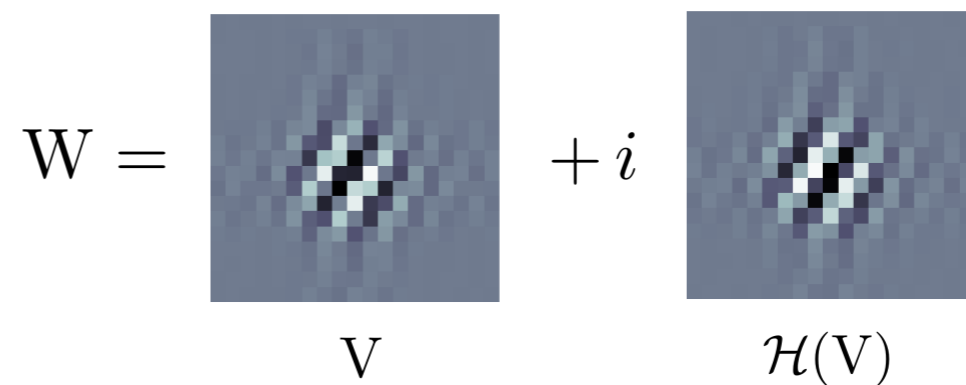
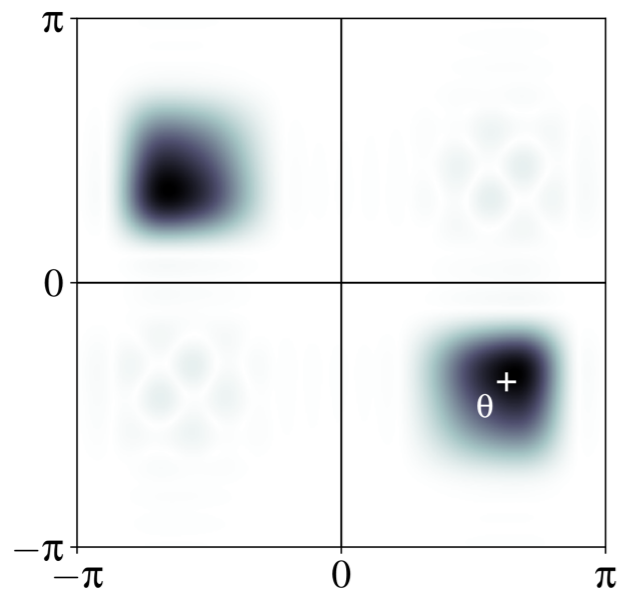
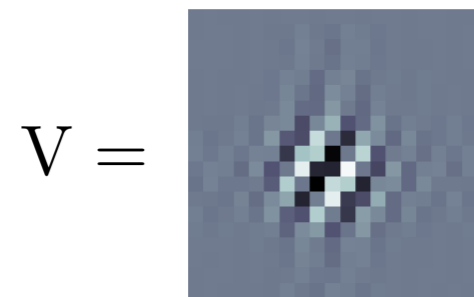
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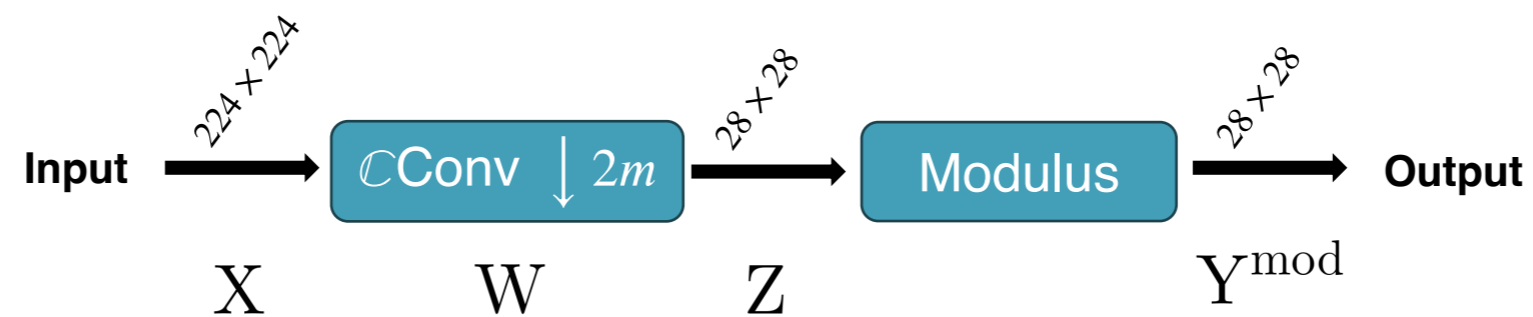
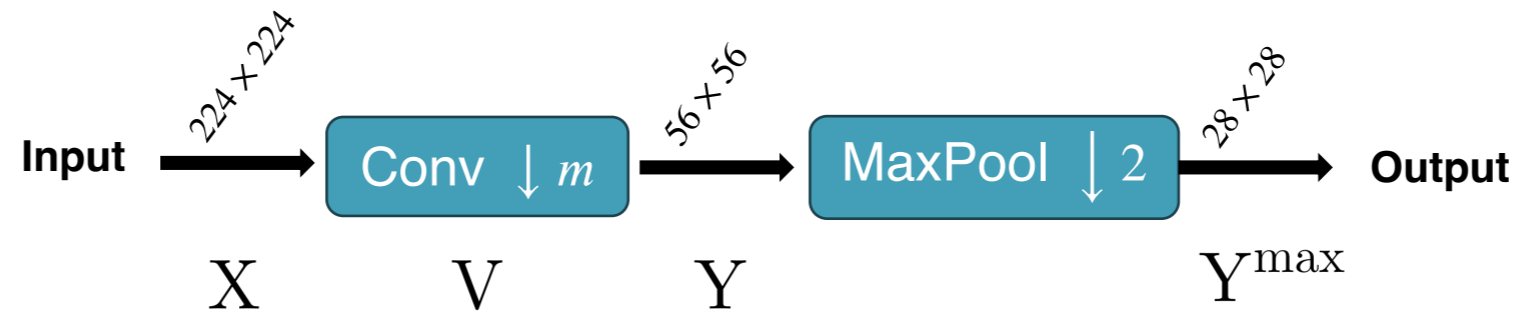


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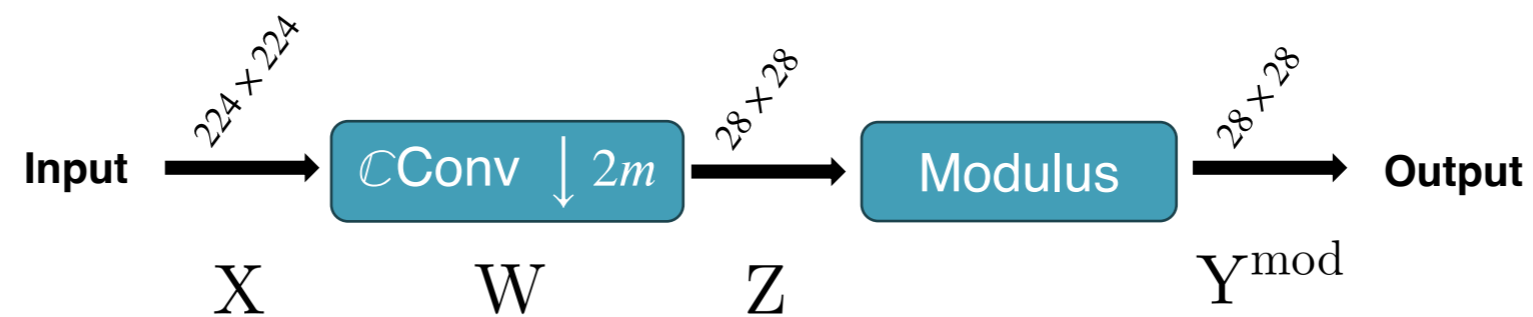
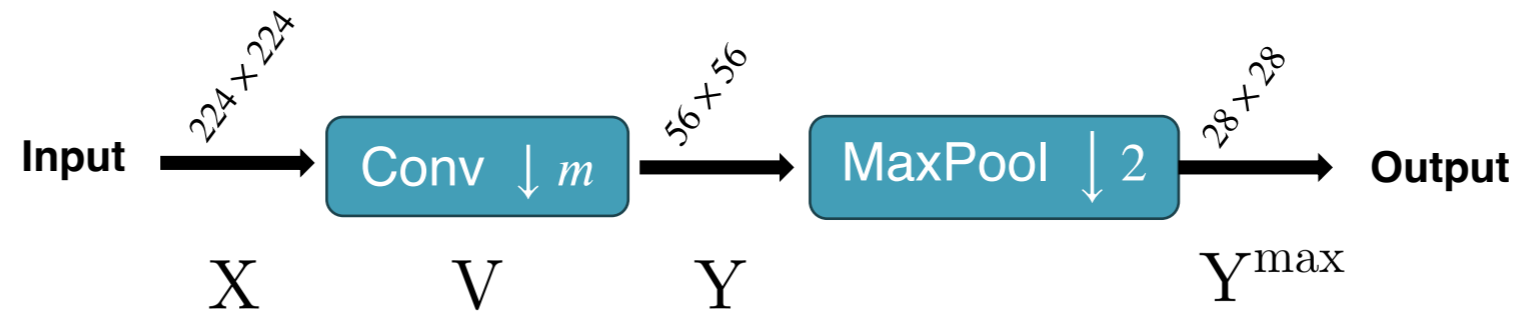


Two operators to compare



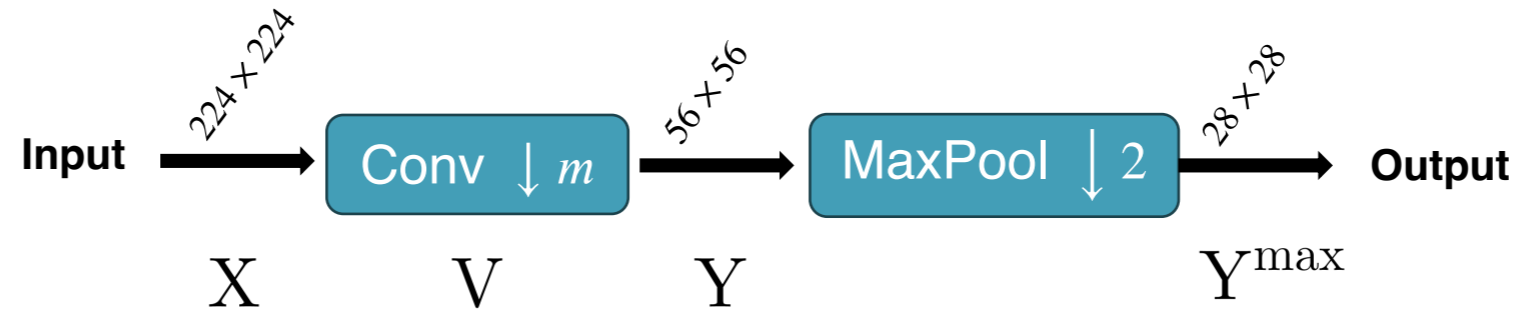
Two operators to compare

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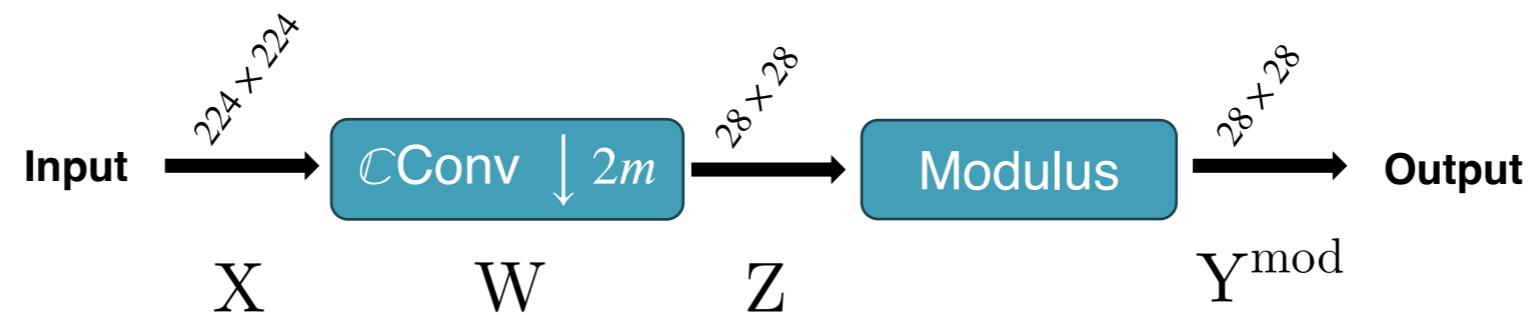


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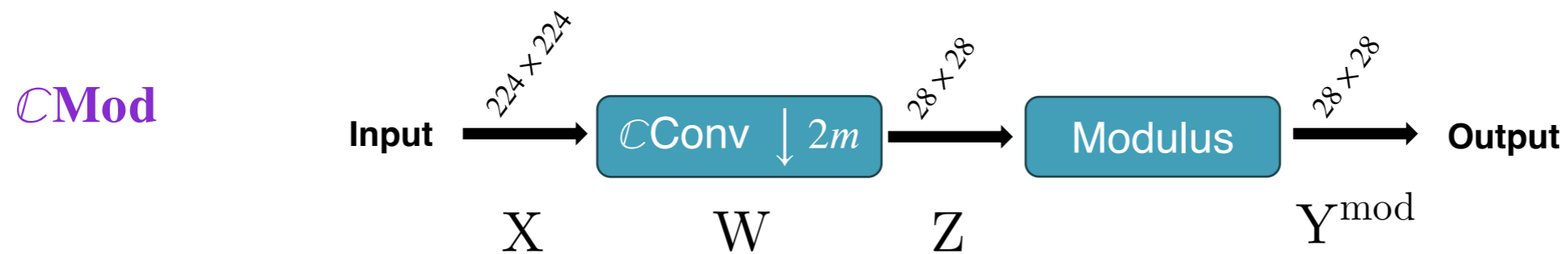
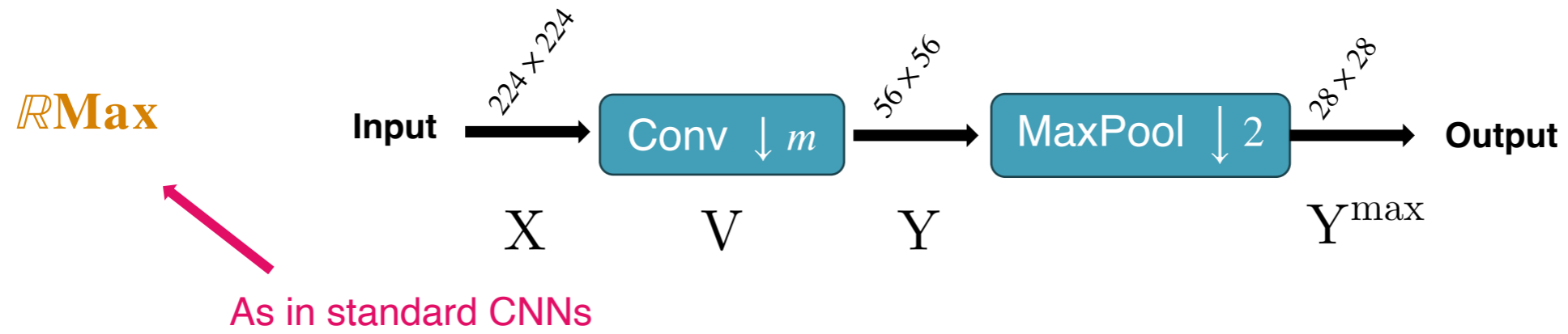
\mathbb{R} Max



\mathbb{C} Mod

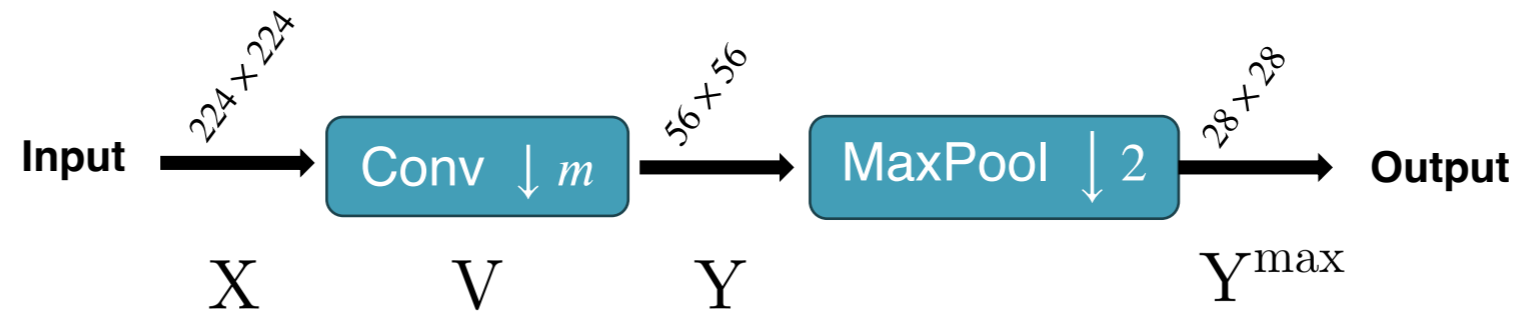


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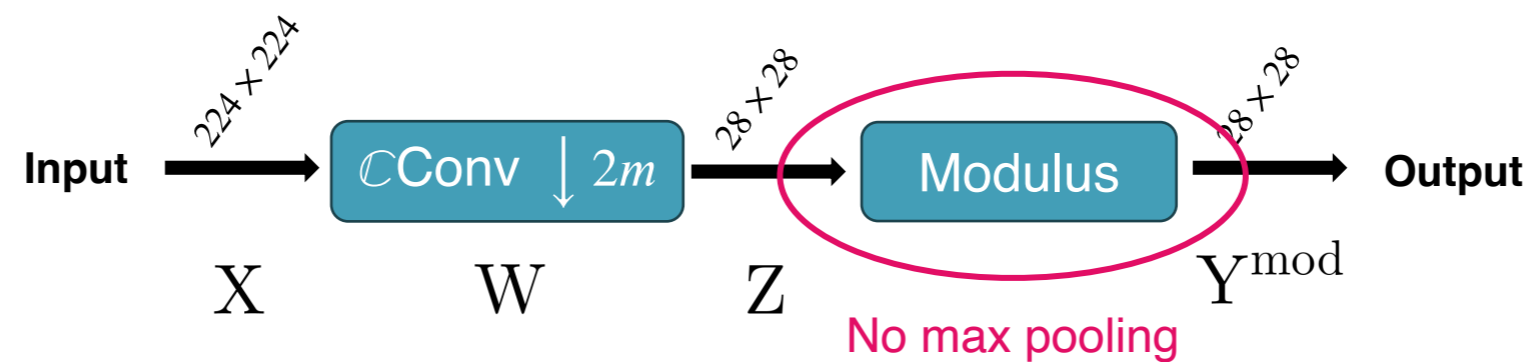


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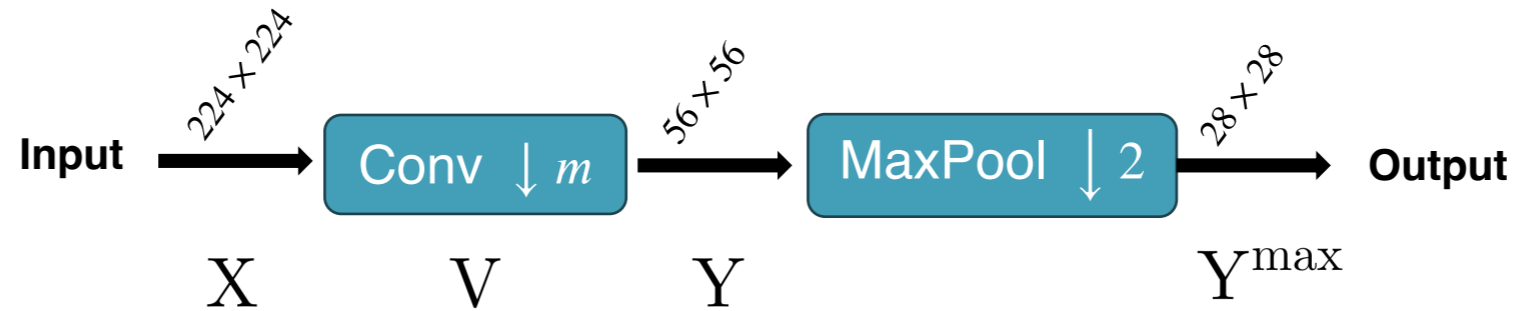


\mathbb{C} Mod

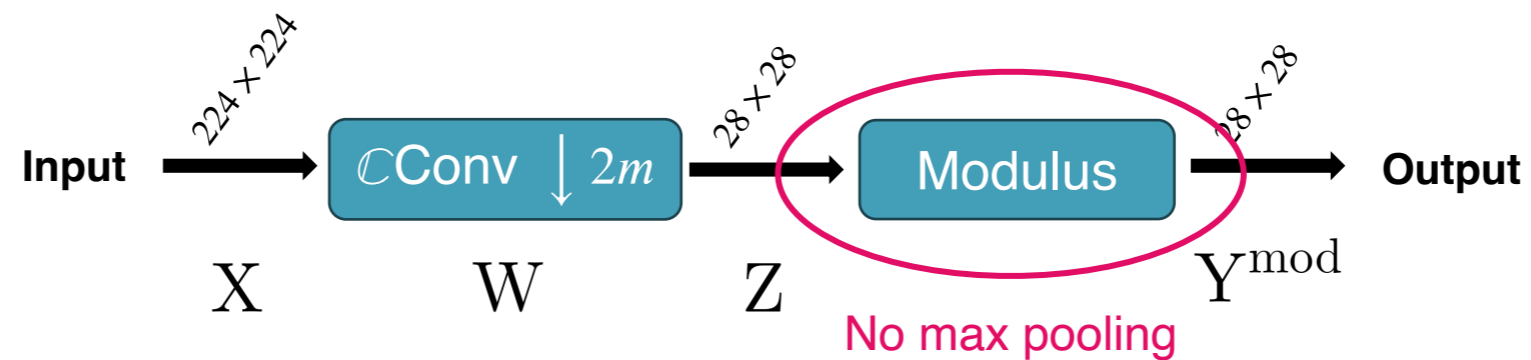


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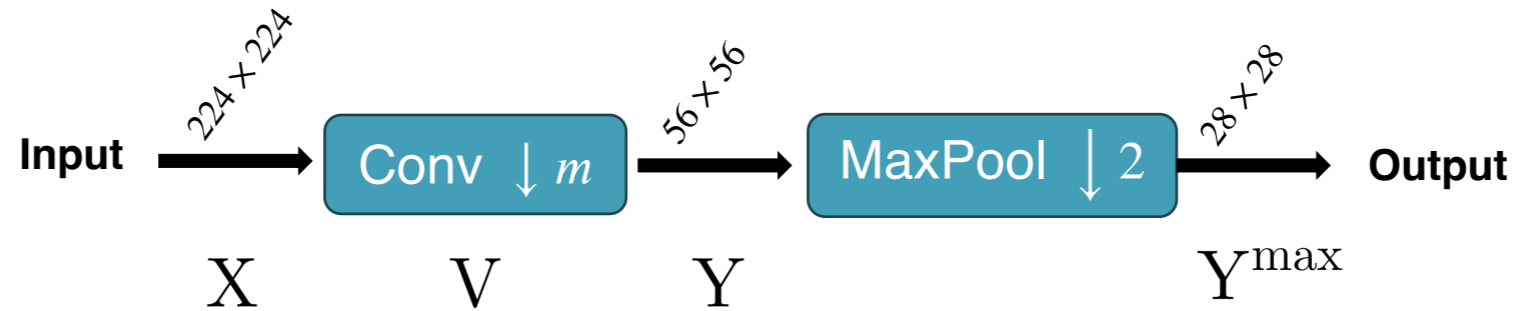


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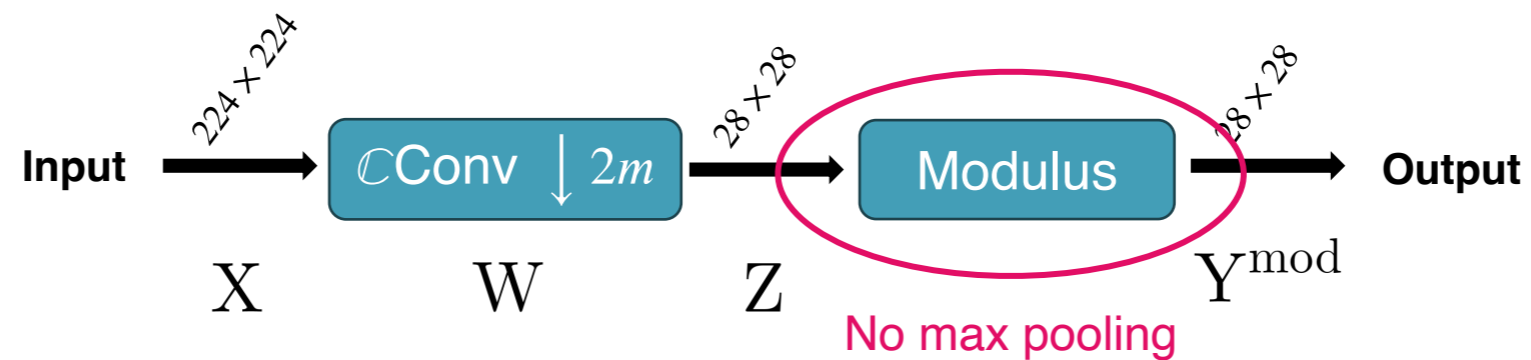


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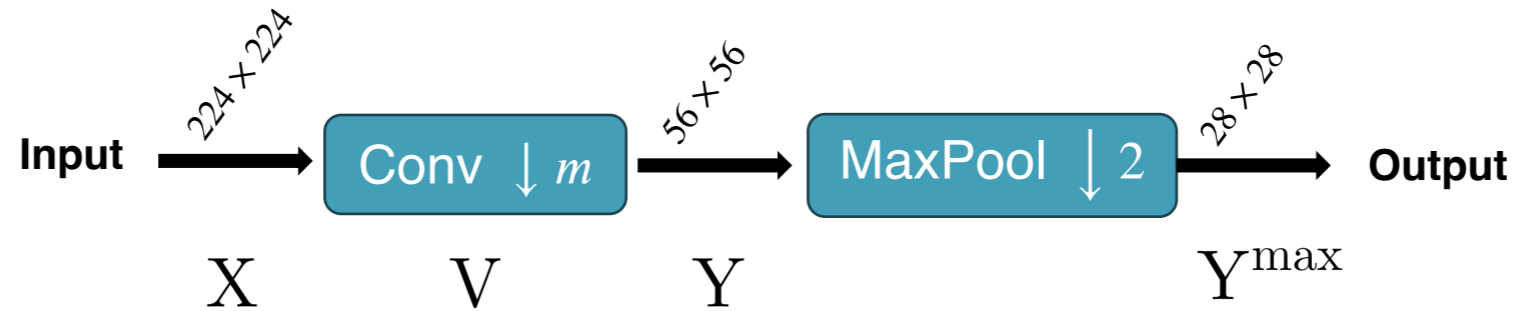
$\mathbb{C}\text{Mod}$



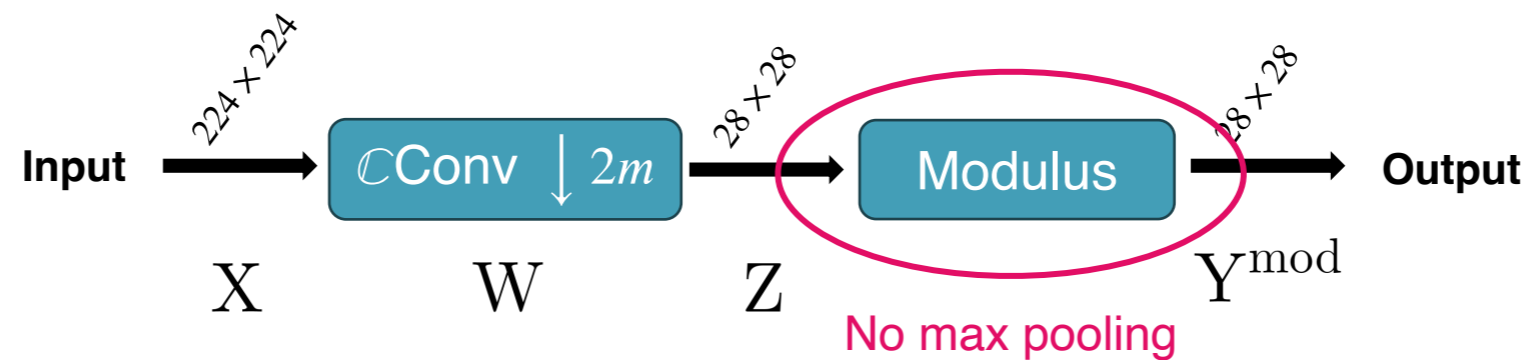
■ $U_{m,q}^{\max} [W] : X \mapsto \text{MaxPool}_q \left((X * \overline{\text{Re}W}) \downarrow m \right)$

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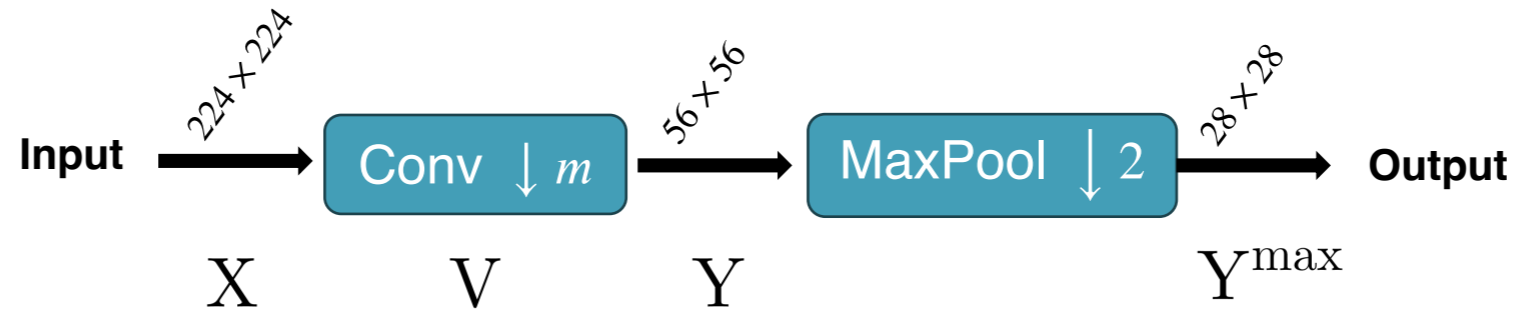
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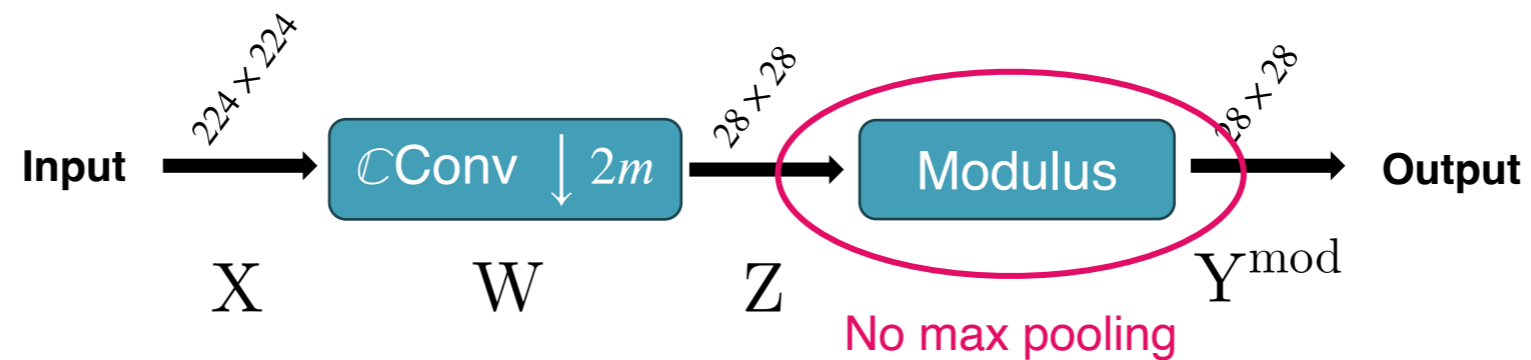
$$\text{MaxPool}_q(Y)[\mathbf{n}] := \max_{\|\mathbf{p}\|_{\infty} \leq q} Y[2\mathbf{n} + \mathbf{p}] \quad (Y \downarrow m)[\mathbf{n}] := Y[m\mathbf{n}]$$

Two operators to compare

$\mathbb{R}\text{Max}$



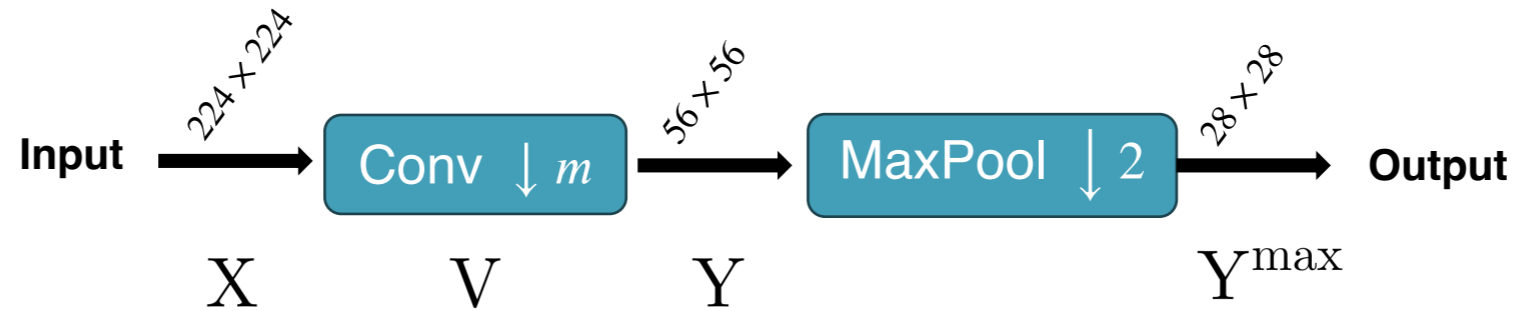
$\mathbb{C}\text{Mod}$



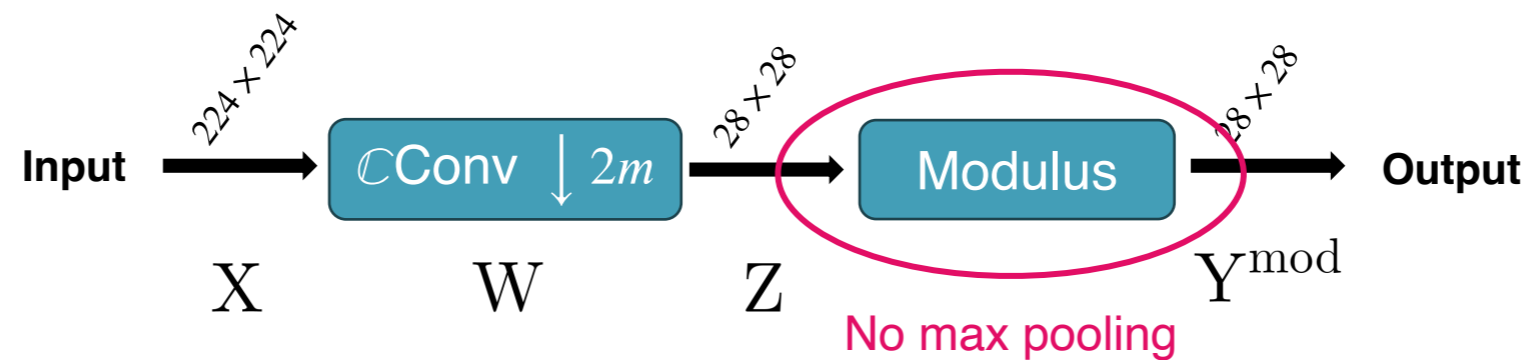
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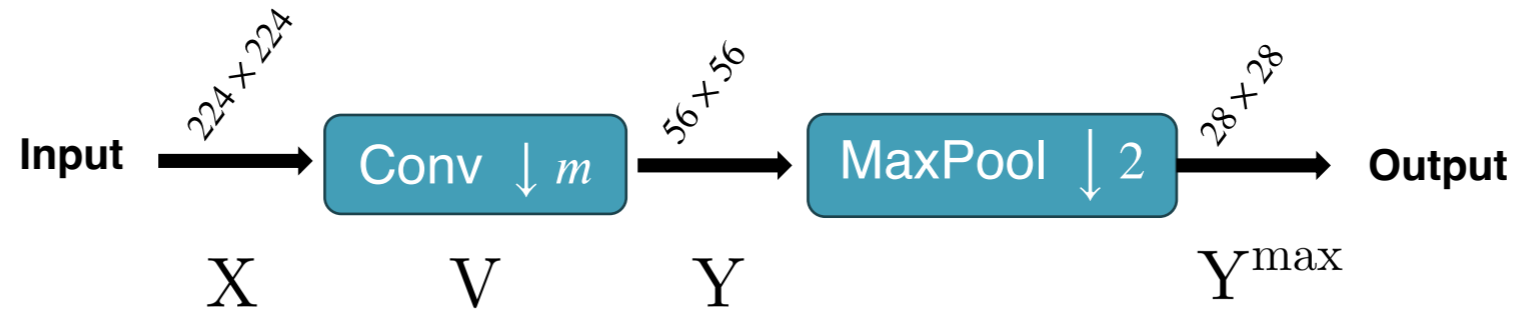
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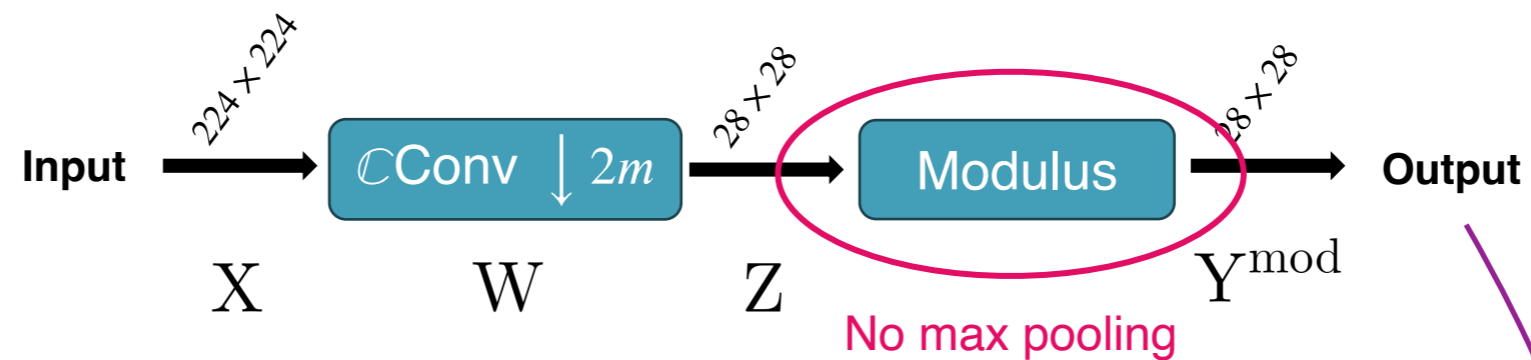
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■ $U_{m,q}^{\max}[\mathbf{W}] : X \mapsto \text{MaxPool}_q \left((X * \overline{\text{Re}\mathbf{W}}) \downarrow m \right)$

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Roadmap

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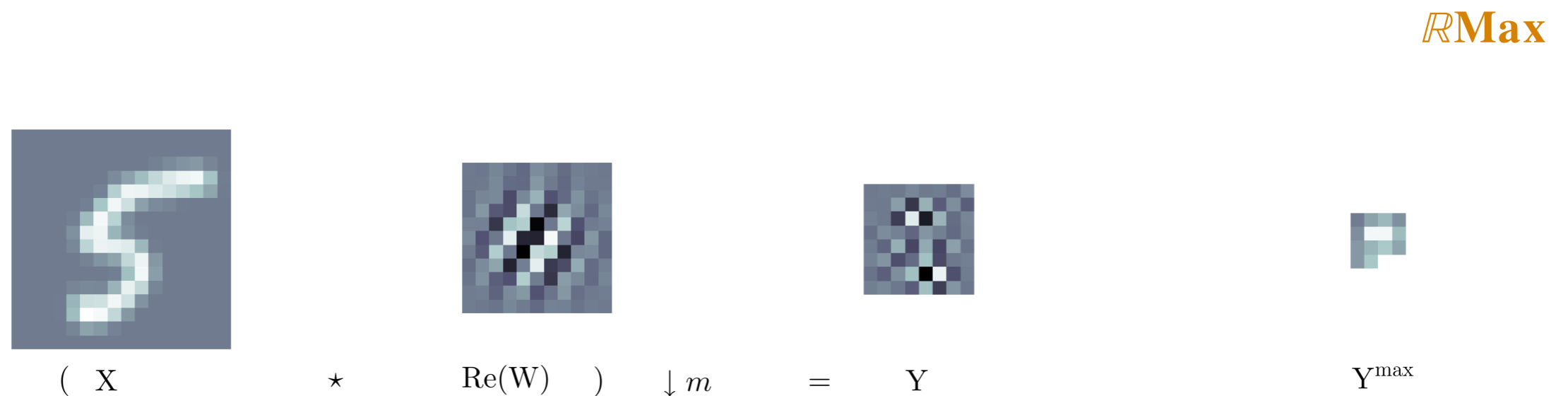
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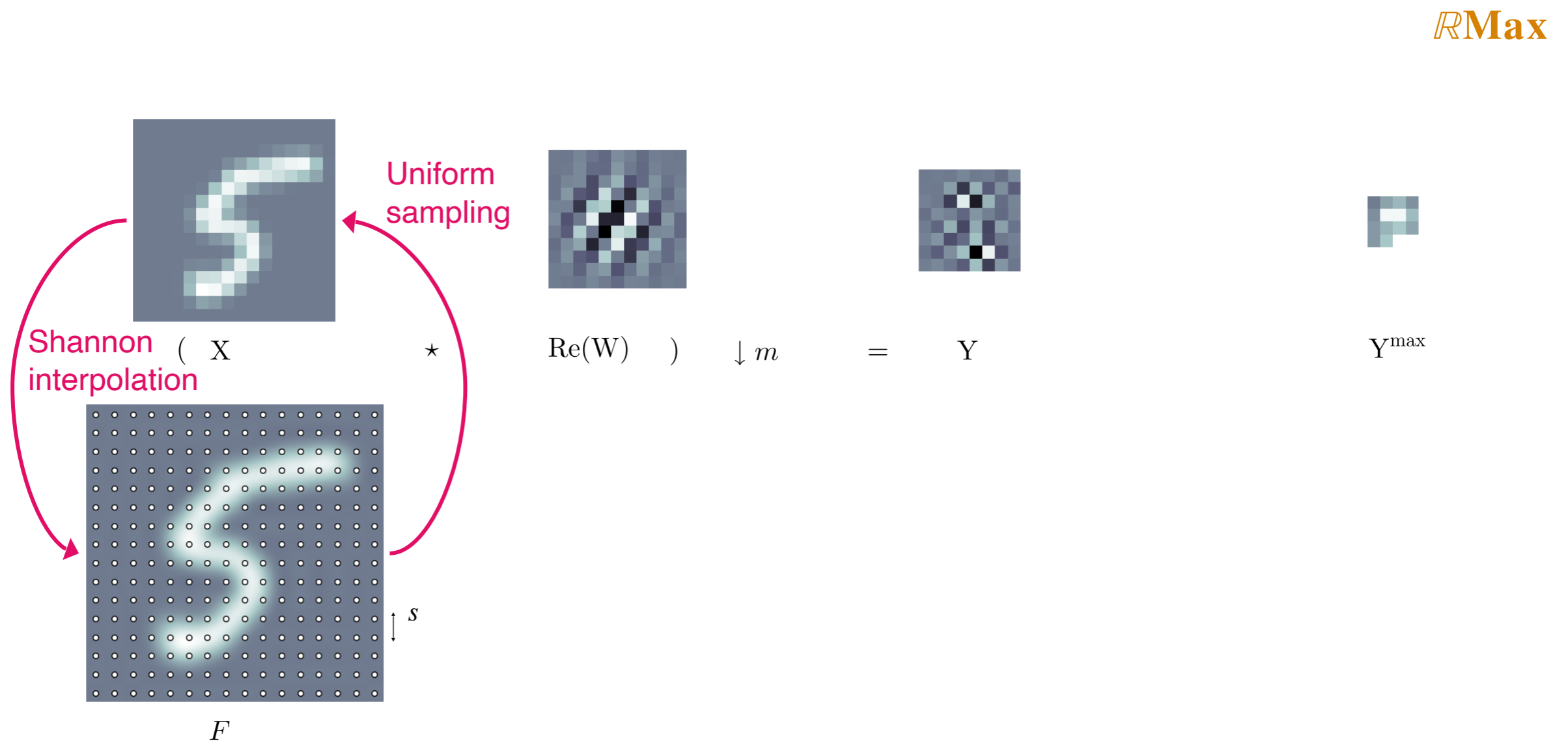
Detour via the continuous framework

- Using the **Shannon-Whittaker sampling theorem**



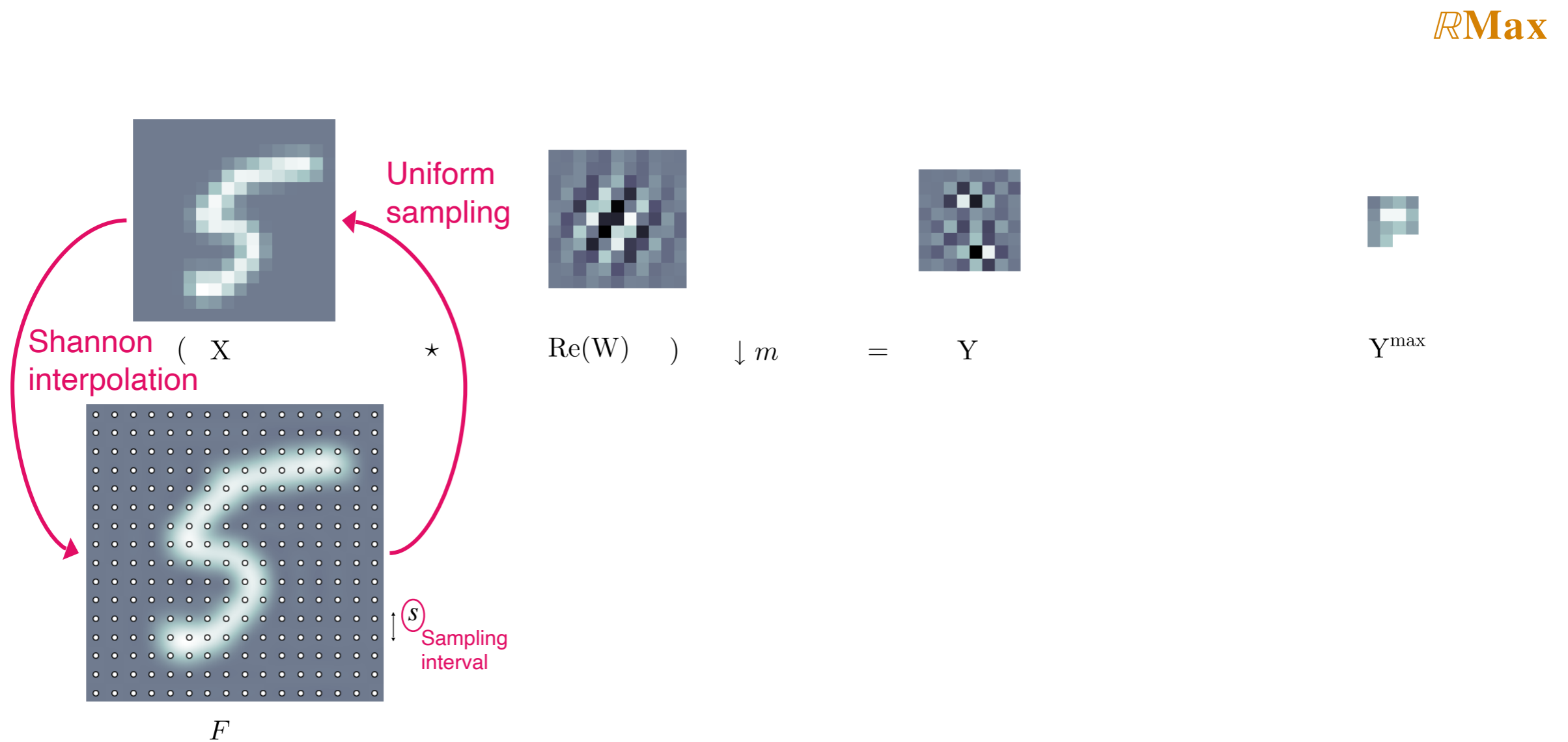
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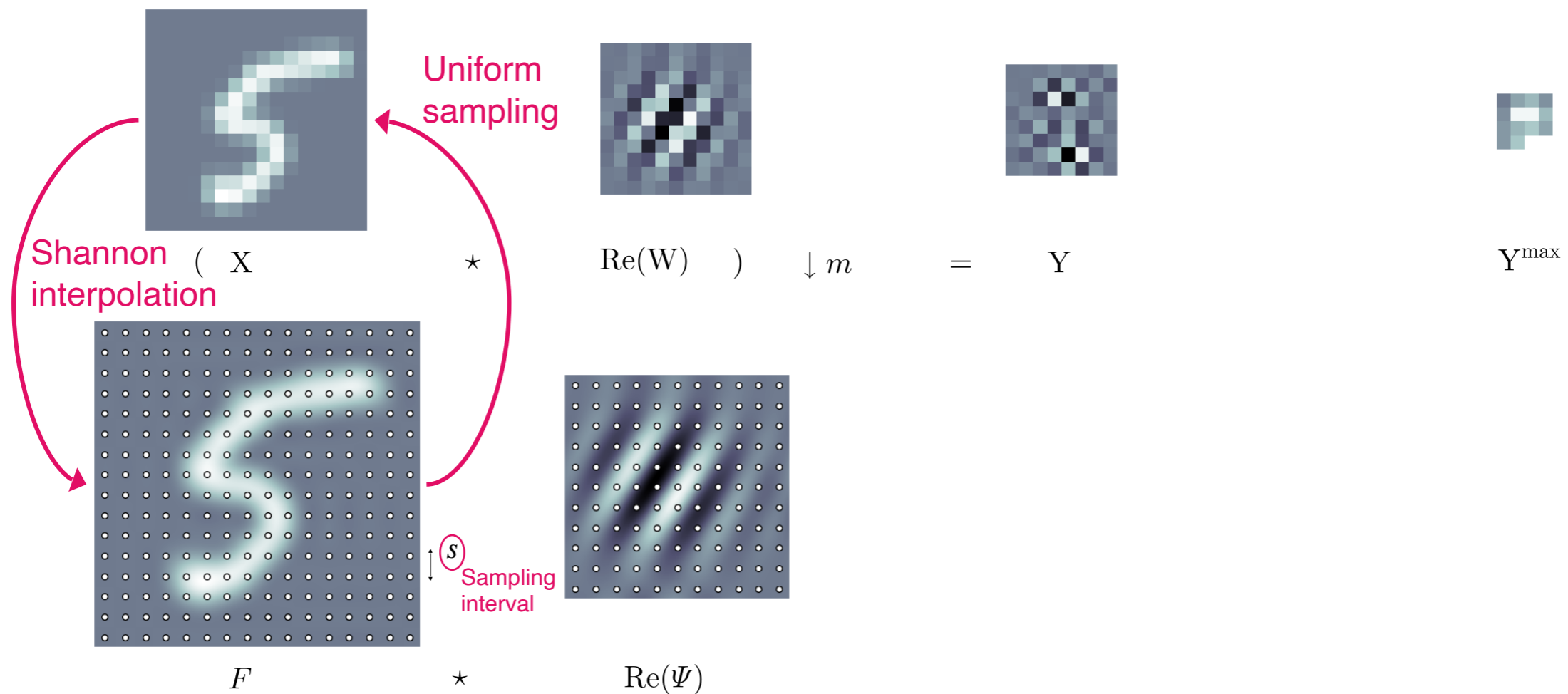
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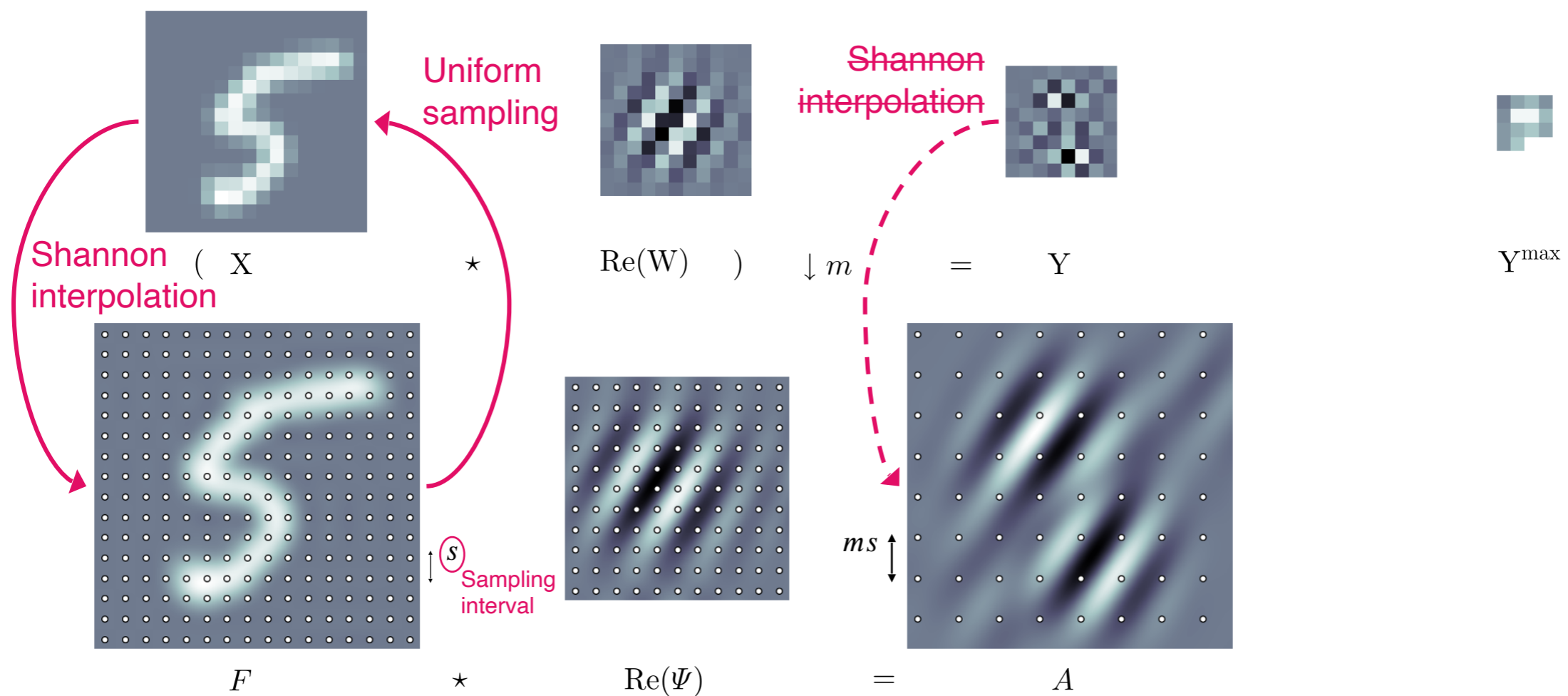
*R*Max



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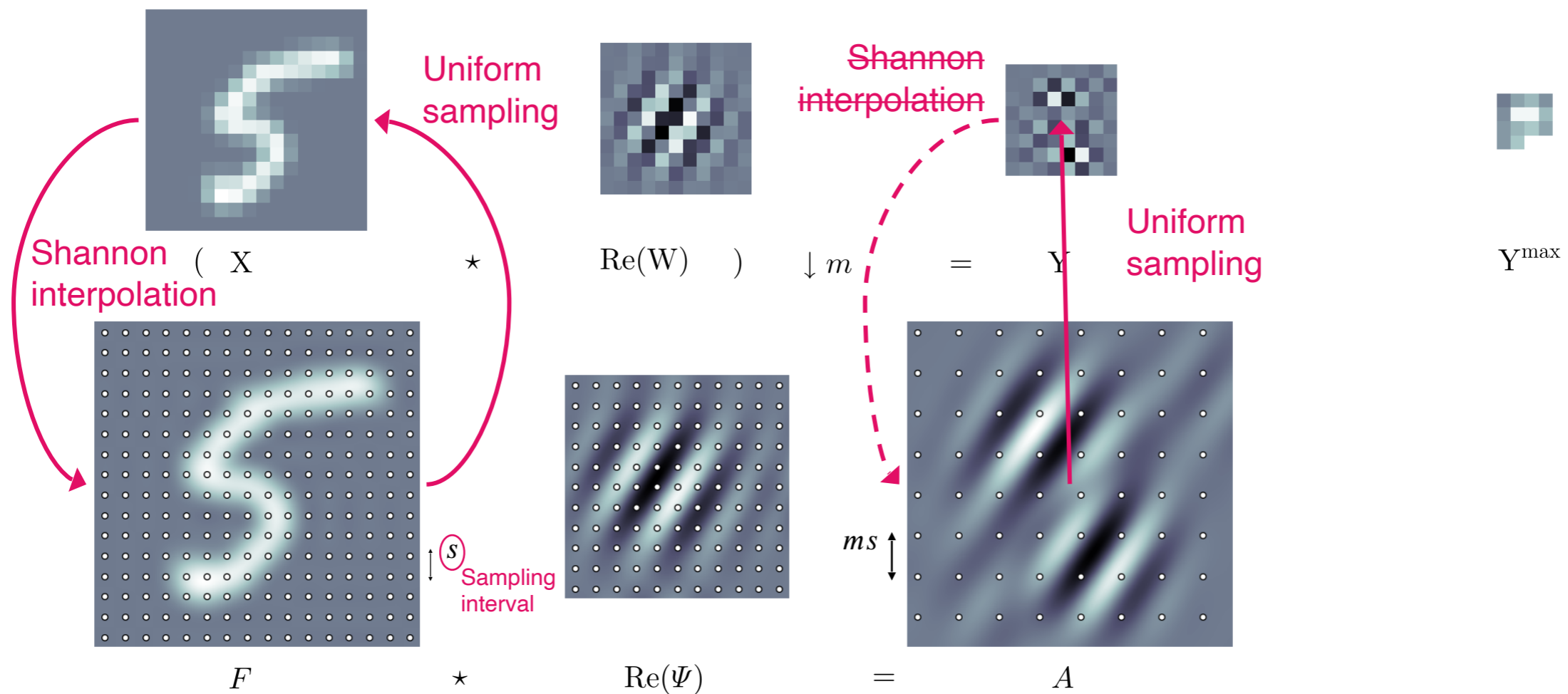
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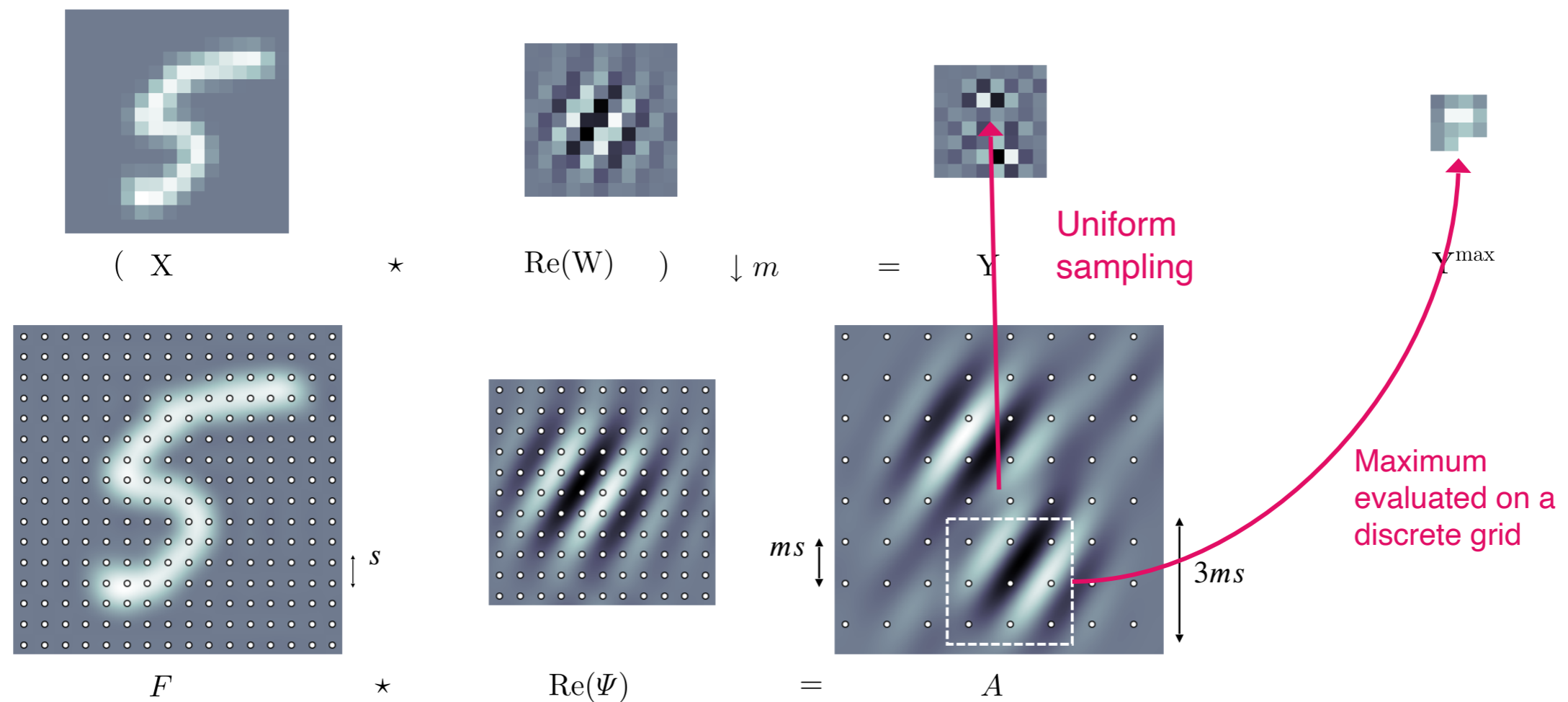


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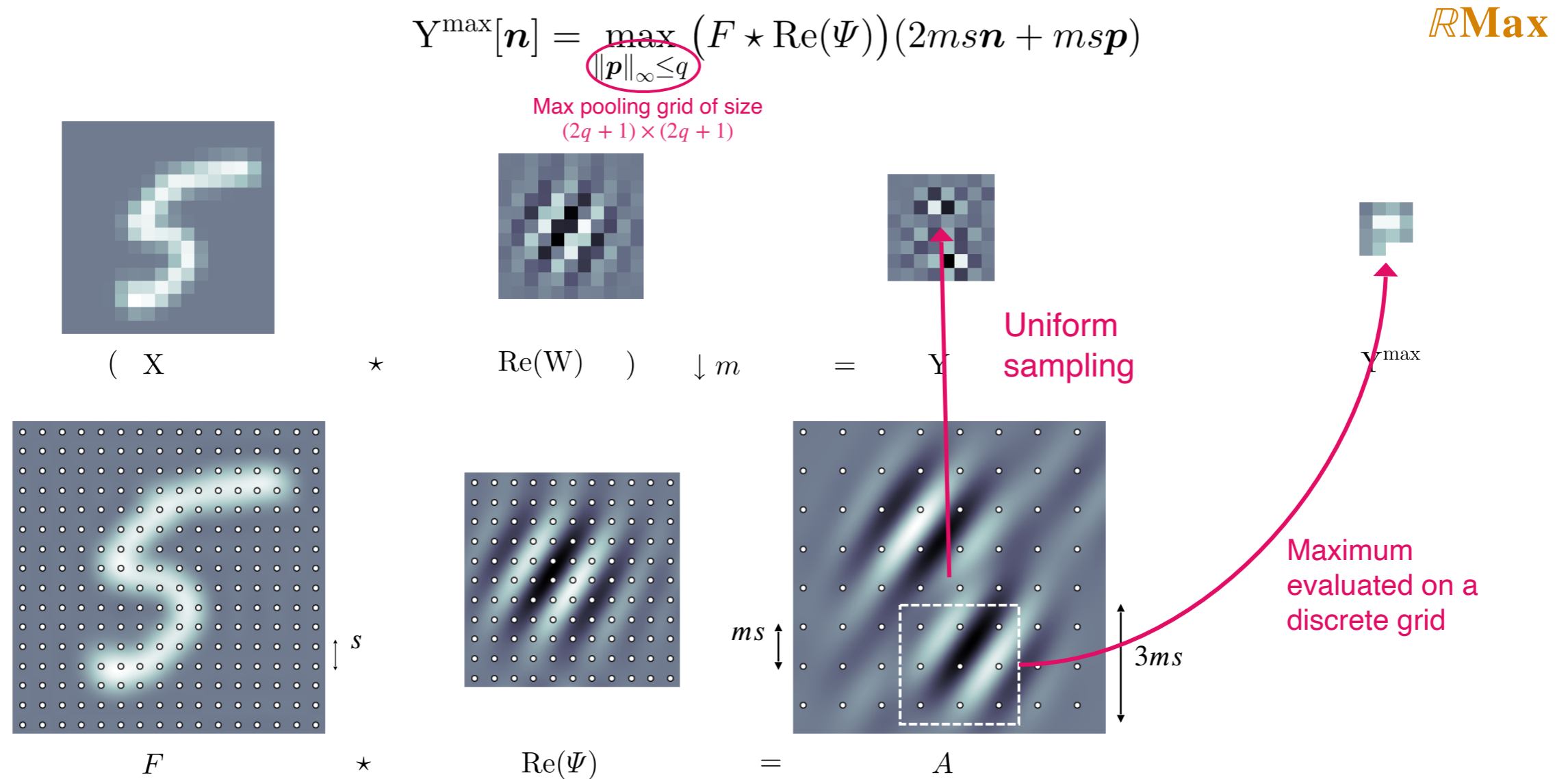
$$Y^{\max}[\mathbf{n}] = \max_{\|\mathbf{p}\|_{\infty} \leq q} (F \star \text{Re}(\Psi))(2ms\mathbf{n} + msp)$$

RMax



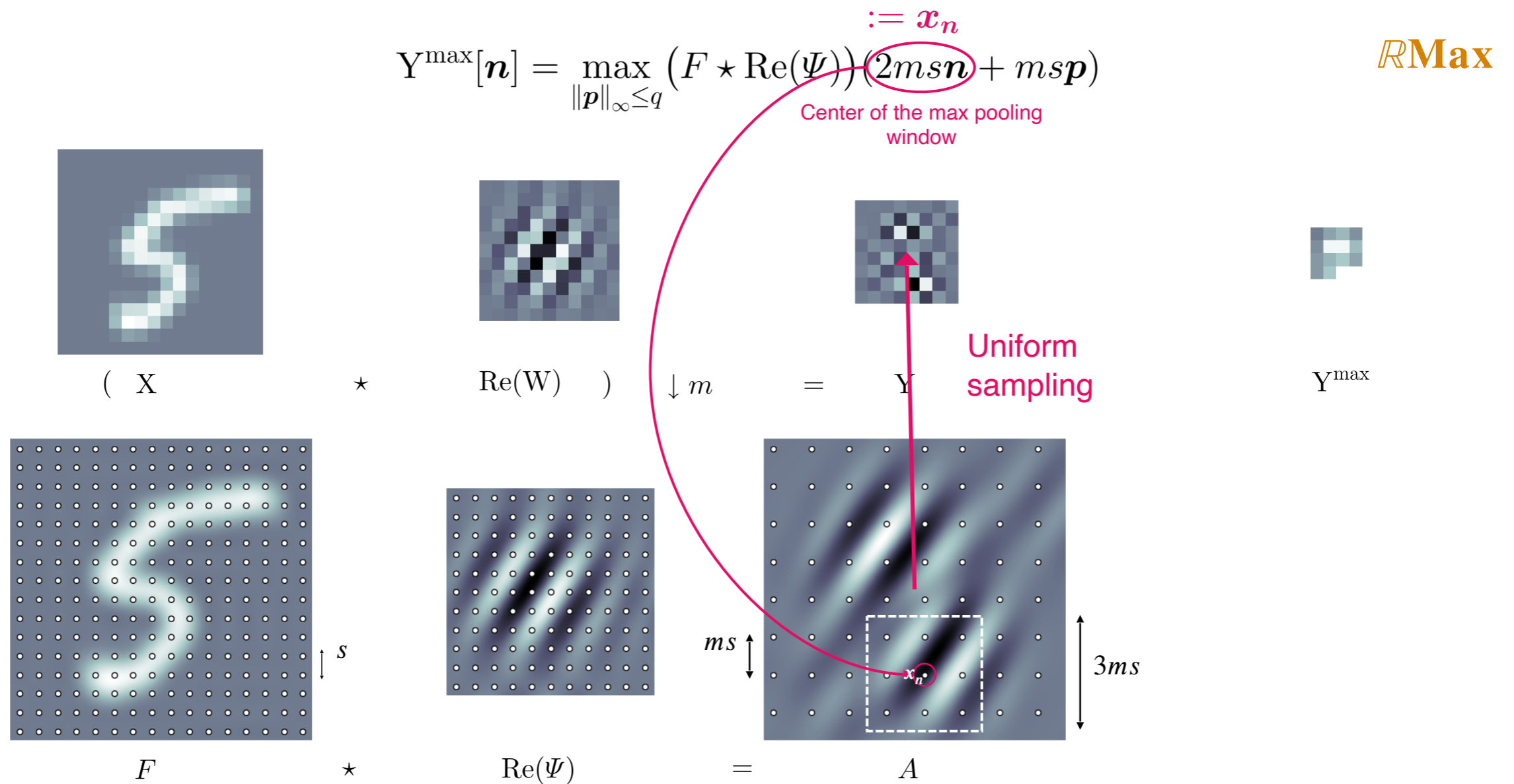
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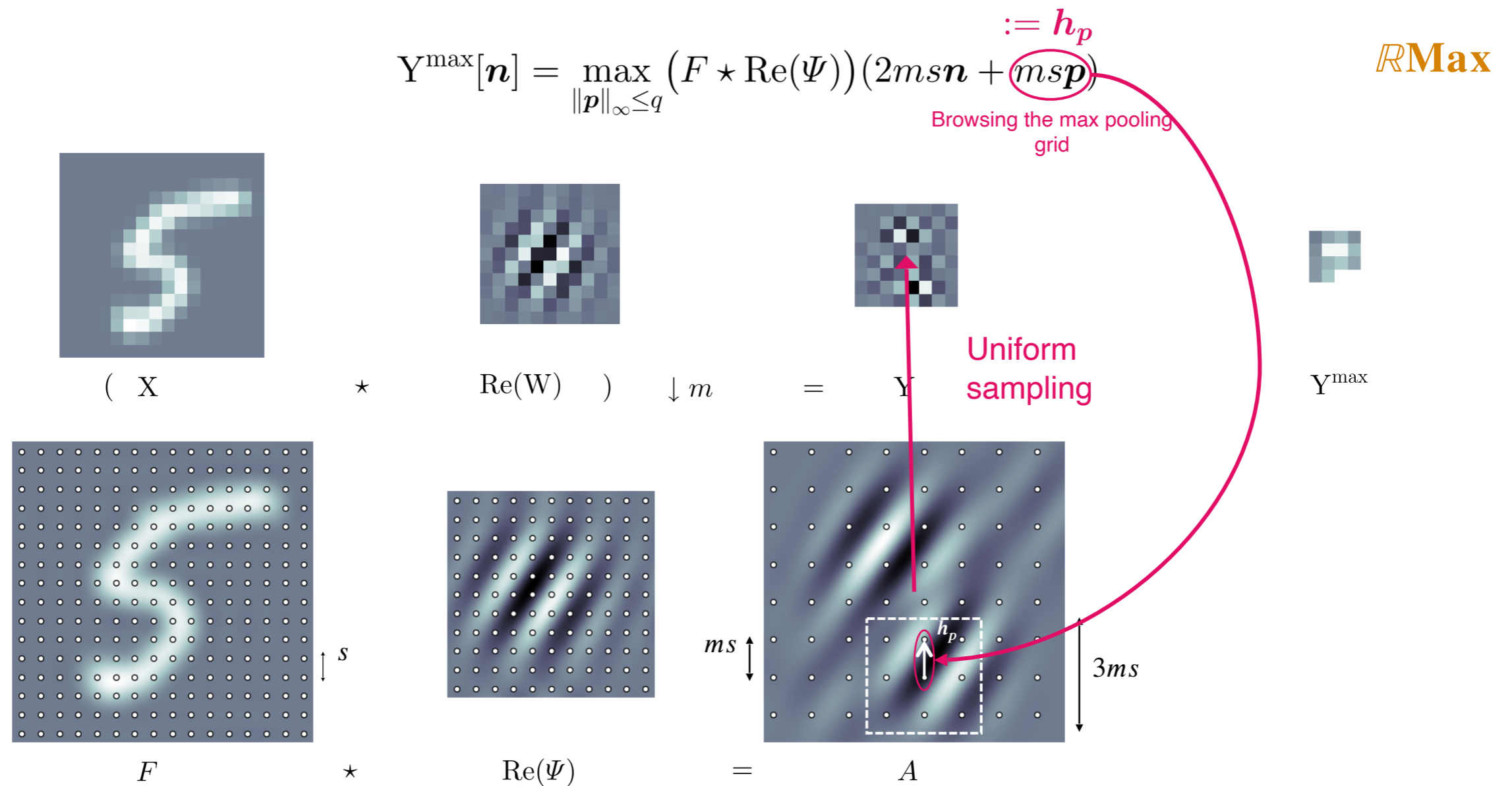
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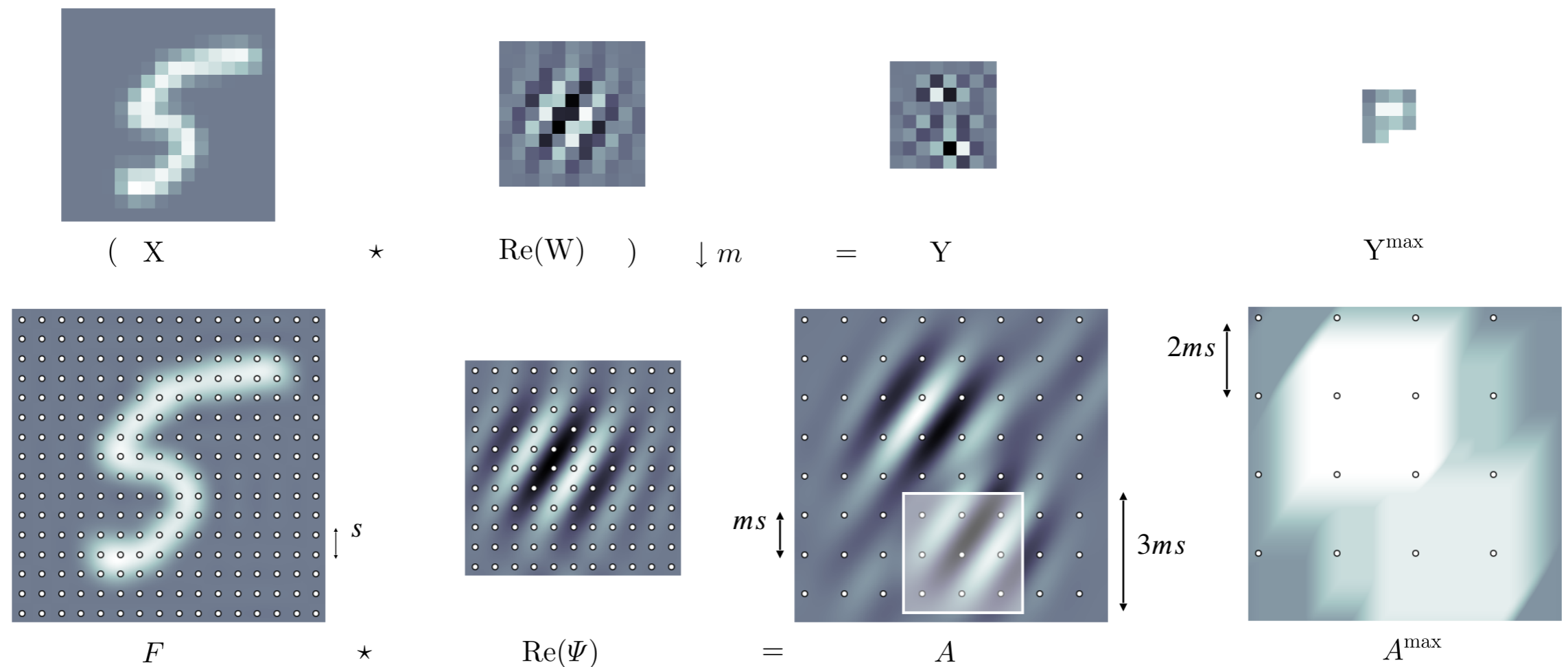


Detour via the continuous framework

- What if we search for the maximum **continuously** in the window?

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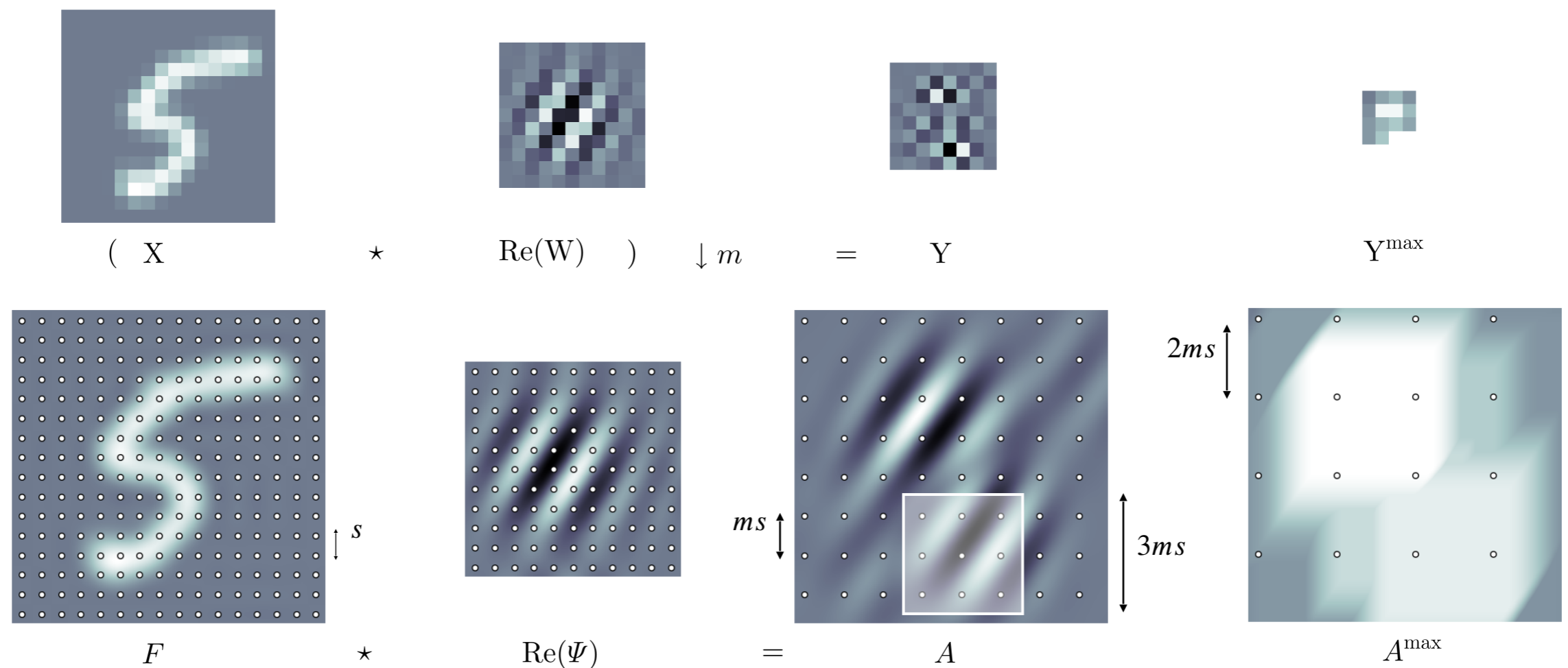
$\mathcal{R}\text{Max}_0$



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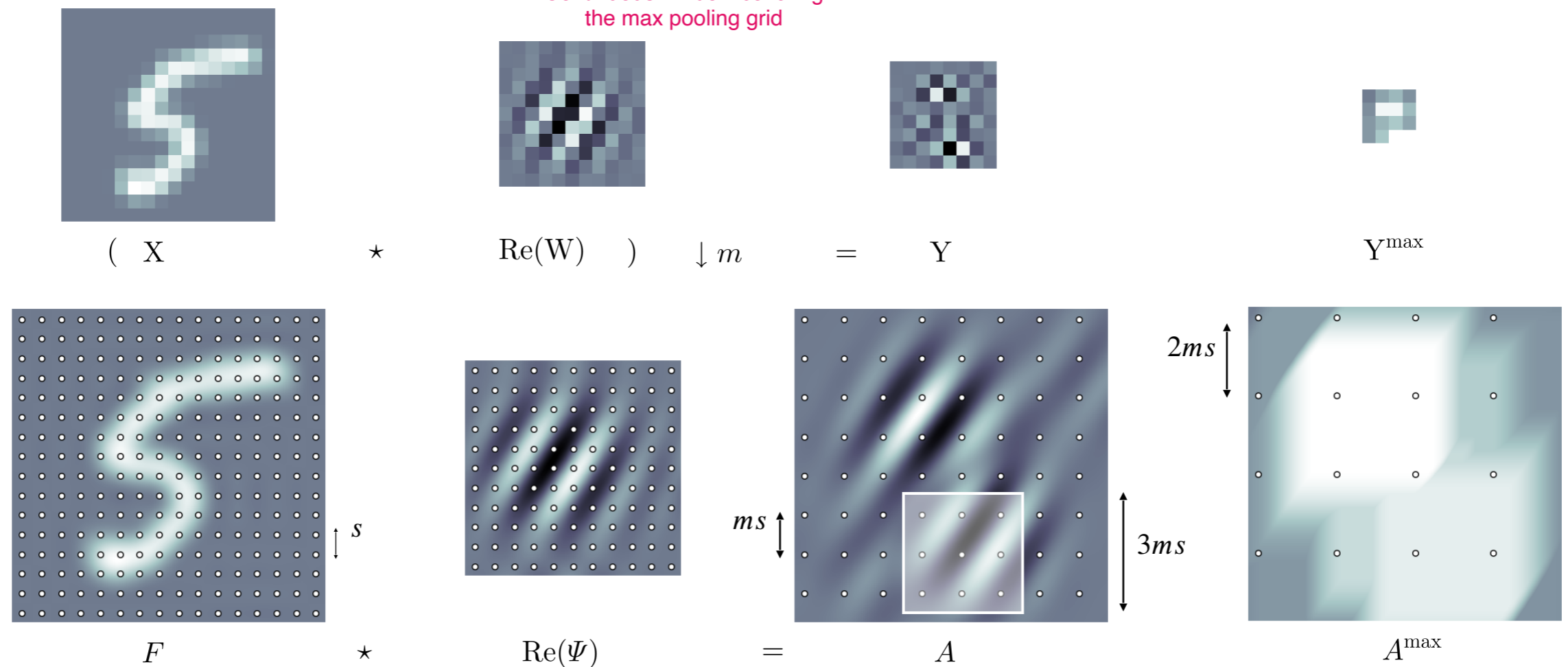
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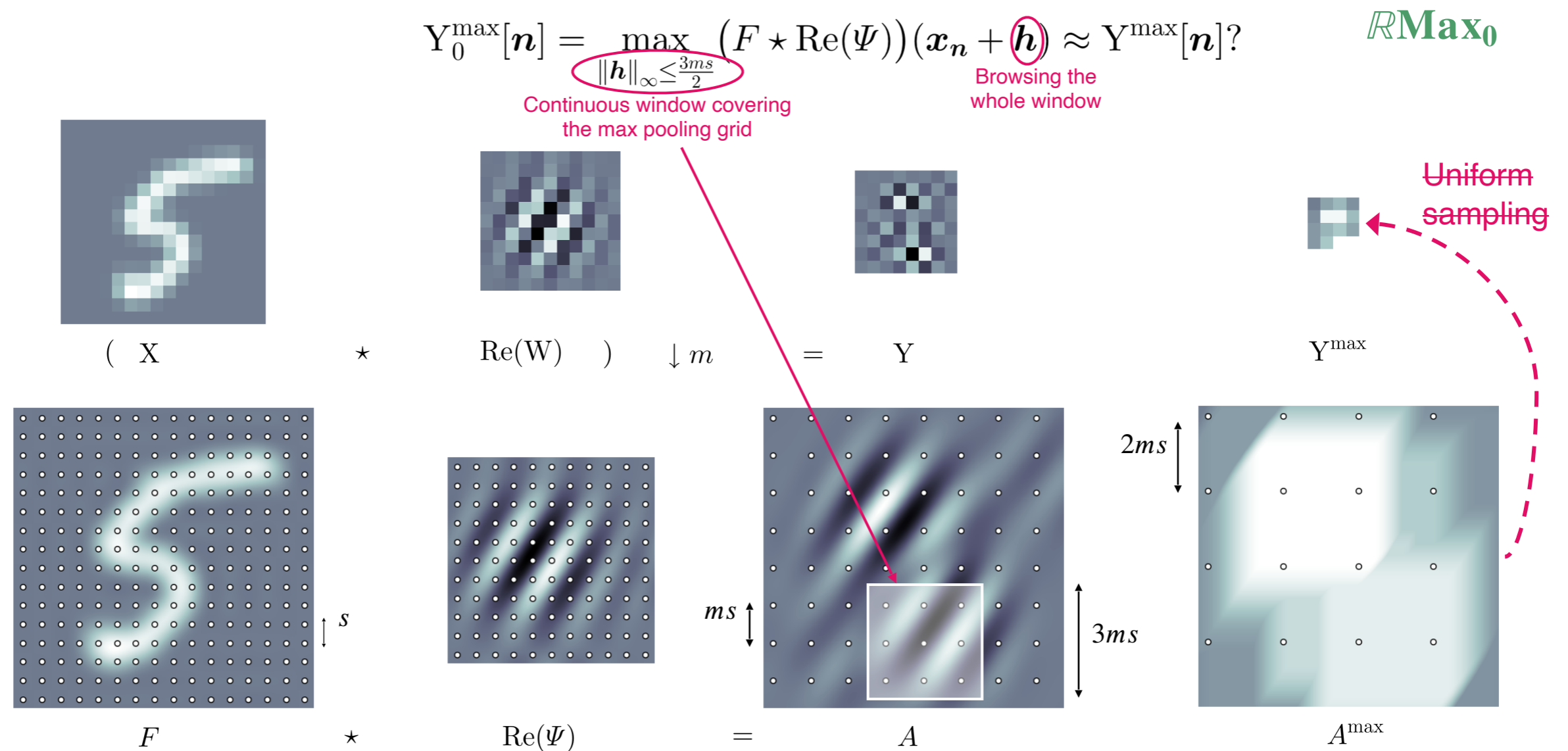
Continuous window covering the max pooling grid
Browsing the whole window

$\mathcal{R}\text{Max}_0$



Detour via the continuous framework

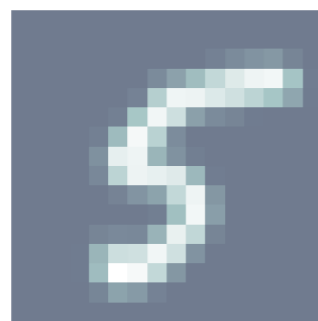
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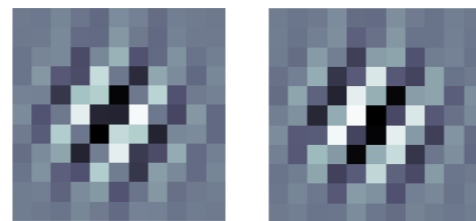
- The output Y^{mod} can be obtained by a uniform sampling of $|F * \Psi|$

\mathcal{CMod}



$|X$

*



W

)

$\downarrow 2m$

=

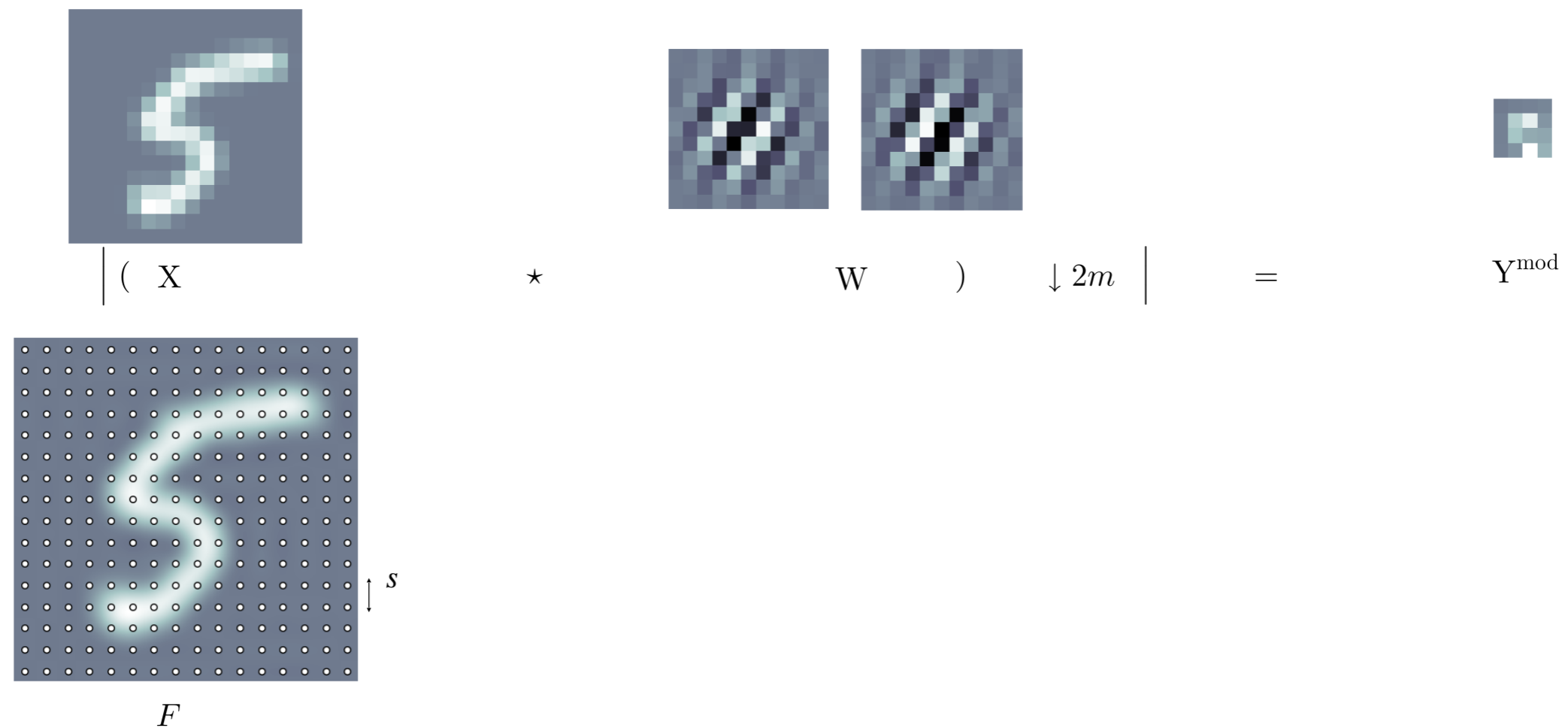


Y^{mod}

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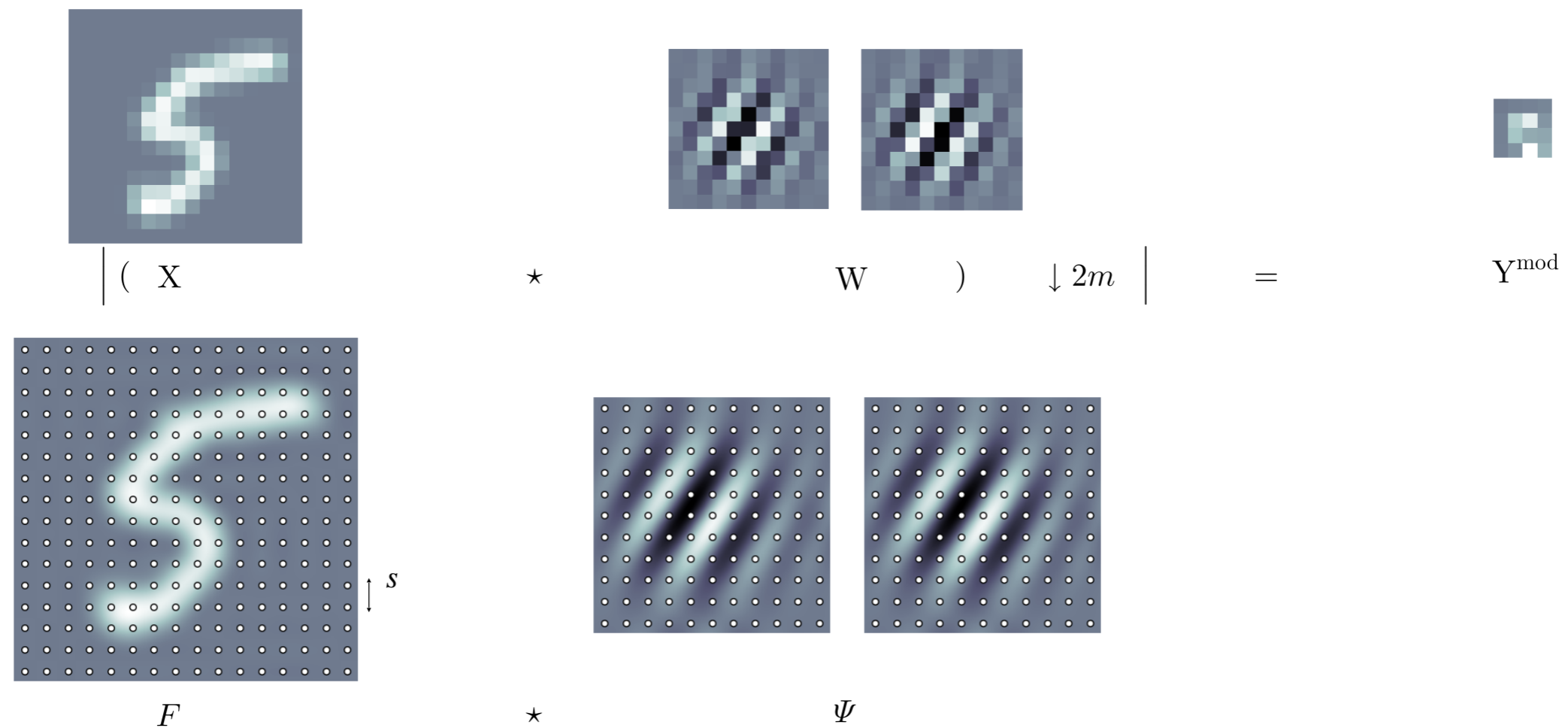
CMod



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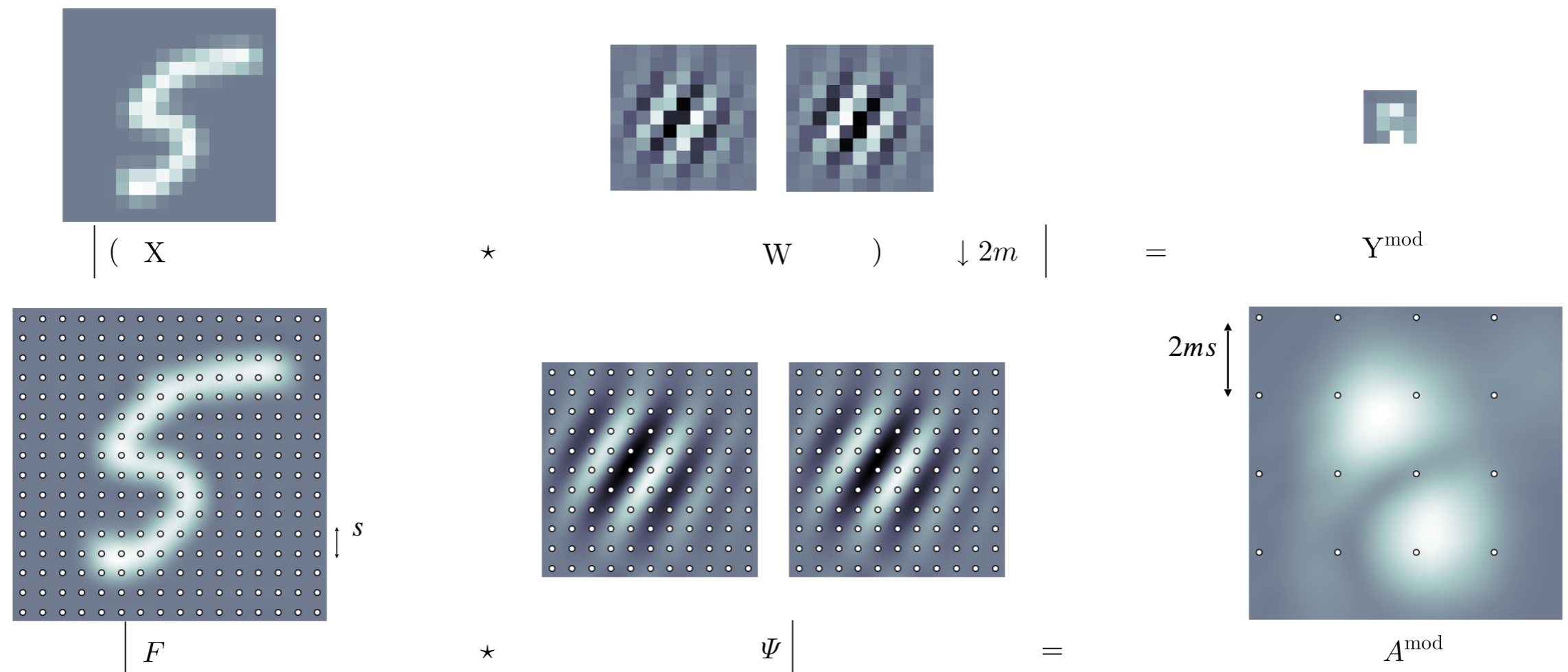
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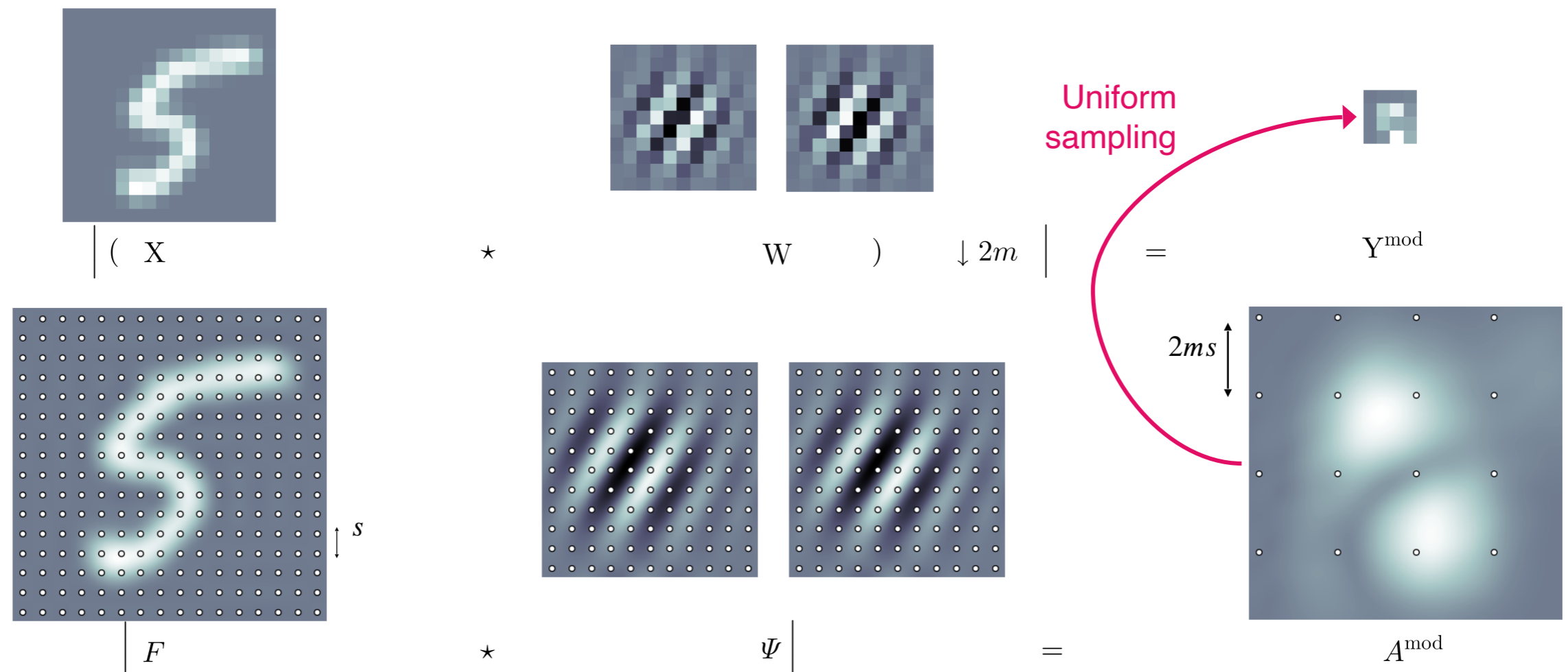
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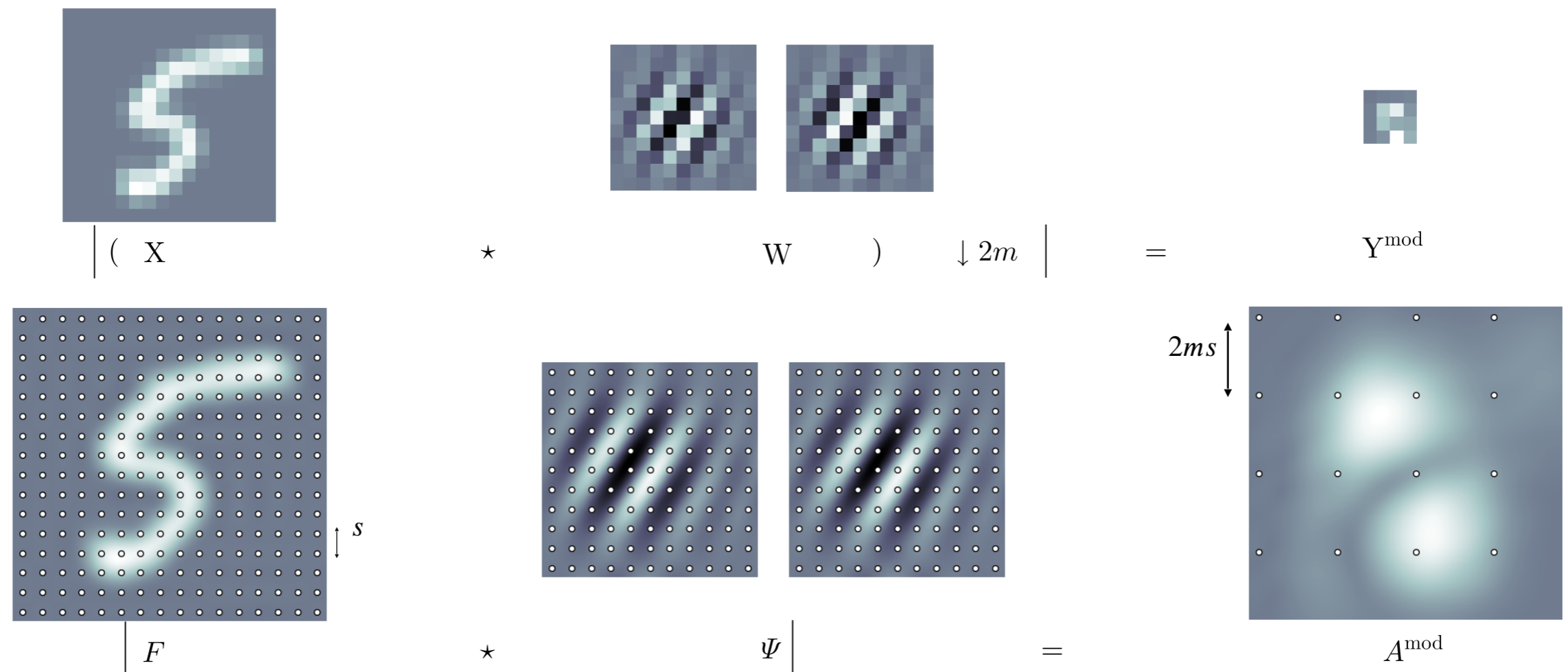


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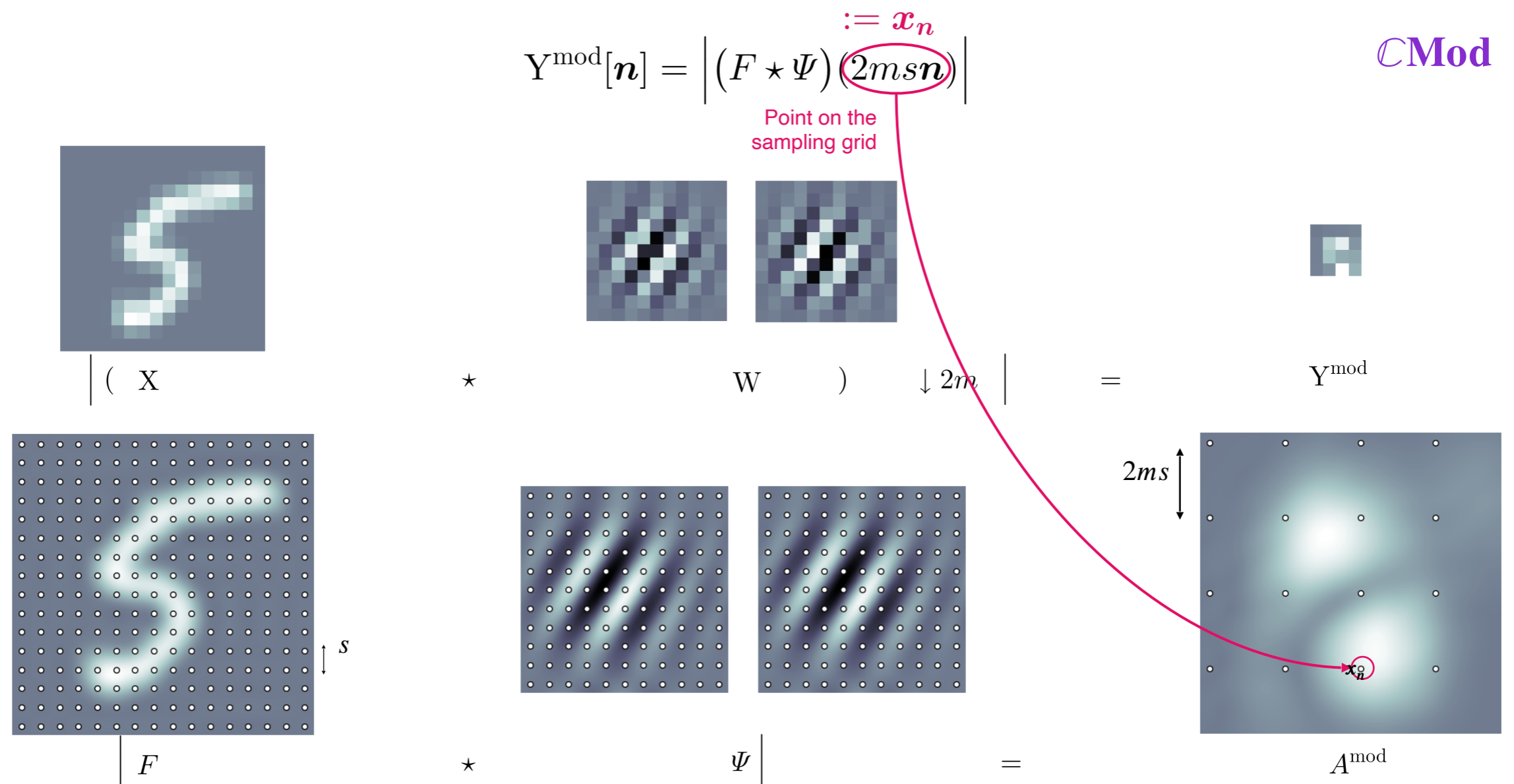
$$Y^{\text{mod}}[\mathbf{n}] = \left| (F \star \Psi)(2m s \mathbf{n}) \right|$$

CMod



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From high to low-frequency

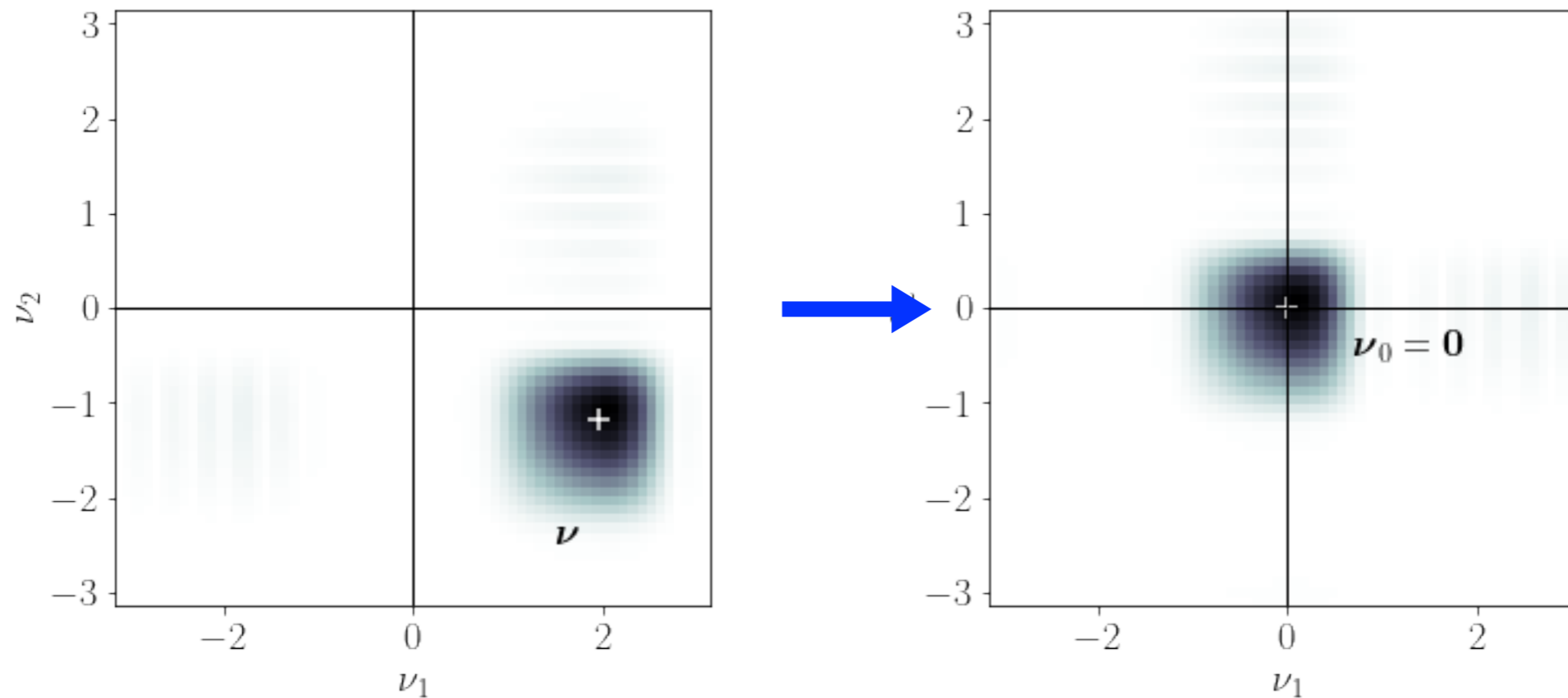
From high to low-frequency

■ $\mathcal{V}(\nu, \varepsilon) := \left\{ \Psi \in L^2_{\mathbb{C}}(\mathbb{R}^2) \mid \text{supp } \hat{\Psi} \subset B_{\infty}(\nu, \varepsilon/2) \right\}.$

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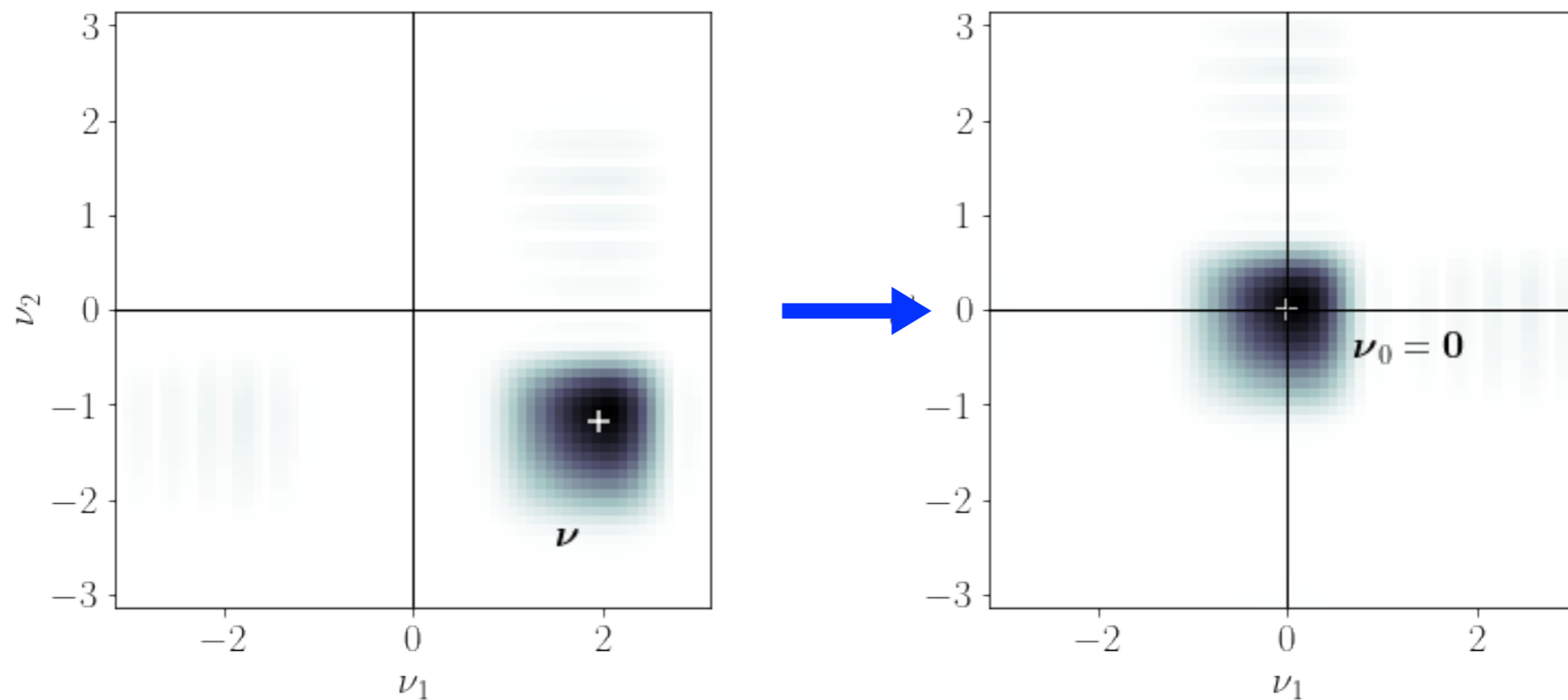
■ $F_0 : \boldsymbol{x} \mapsto (F * \overline{\Psi})(\boldsymbol{x})e^{i\langle \boldsymbol{\nu}, \boldsymbol{x} \rangle} \xrightarrow{\Psi \in \mathcal{V}(\boldsymbol{\nu}, \varepsilon)} \text{supp } \widehat{F}_0 \subset B_{\infty}(\varepsilon/2)$



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■ **Shift-invariance bound** for low-frequency functions:

$$\|\mathcal{T}_h F_0 - F_0\|_{L^2} \leq \alpha(\varepsilon h) \|F_0\|_{L^2} \quad \alpha : \boldsymbol{\tau} \mapsto \frac{\|\boldsymbol{\tau}\|_1}{2}$$

Shift-invariance of CMod in the discrete framework

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■ $\sum_{\boldsymbol{n} \in \mathbb{Z}^2} \left| \mathcal{T}_h F_0(s' \boldsymbol{n}) - F_0(s' \boldsymbol{n}) \right|^2 = \frac{1}{s'^2} \|\mathcal{T}_h F_0 - F_0\|_{L^2}^2 \quad s' := 2ms$

Shift-invariance of CMod in the discrete framework

■ $W \in \mathcal{J}(\boldsymbol{\theta}, \kappa)$

■ $F_0 : \boldsymbol{x} \mapsto (F_X * \bar{\Psi}_W)(\boldsymbol{x}) e^{i\langle \boldsymbol{\theta}/s, \boldsymbol{x} \rangle} \xrightarrow{\kappa \leq \pi/m} F_0 \in \mathcal{V}(\mathbf{0}, 2\pi/s)$

■ $\sum_{\boldsymbol{n} \in \mathbb{Z}^2} \left| \mathcal{T}_h F_0(s' \boldsymbol{n}) - F_0(s' \boldsymbol{n}) \right|^2 = \frac{1}{s'^2} \|\mathcal{T}_h F_0 - F_0\|_{L^2}^2 \quad s' := 2ms$

■ $\|U_m^{\text{mod}} X\|_2 = \frac{1}{s'} \|F_0\|_{L^2}$

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Theorem (Shift invariance of CMod)

If $W \in \mathcal{J}(\boldsymbol{\theta}, \kappa)$ and $\kappa \leq \pi/m$

then for any input image with finite support $X \in l_{\mathbb{R}}^2(\mathbb{Z}^2)$

$$\|U_m^{\text{mod}}(\mathcal{T}_u X) - U_m^{\text{mod}} X\|_2 \leq \alpha(\kappa u) \|U_m^{\text{mod}} X\|_2$$

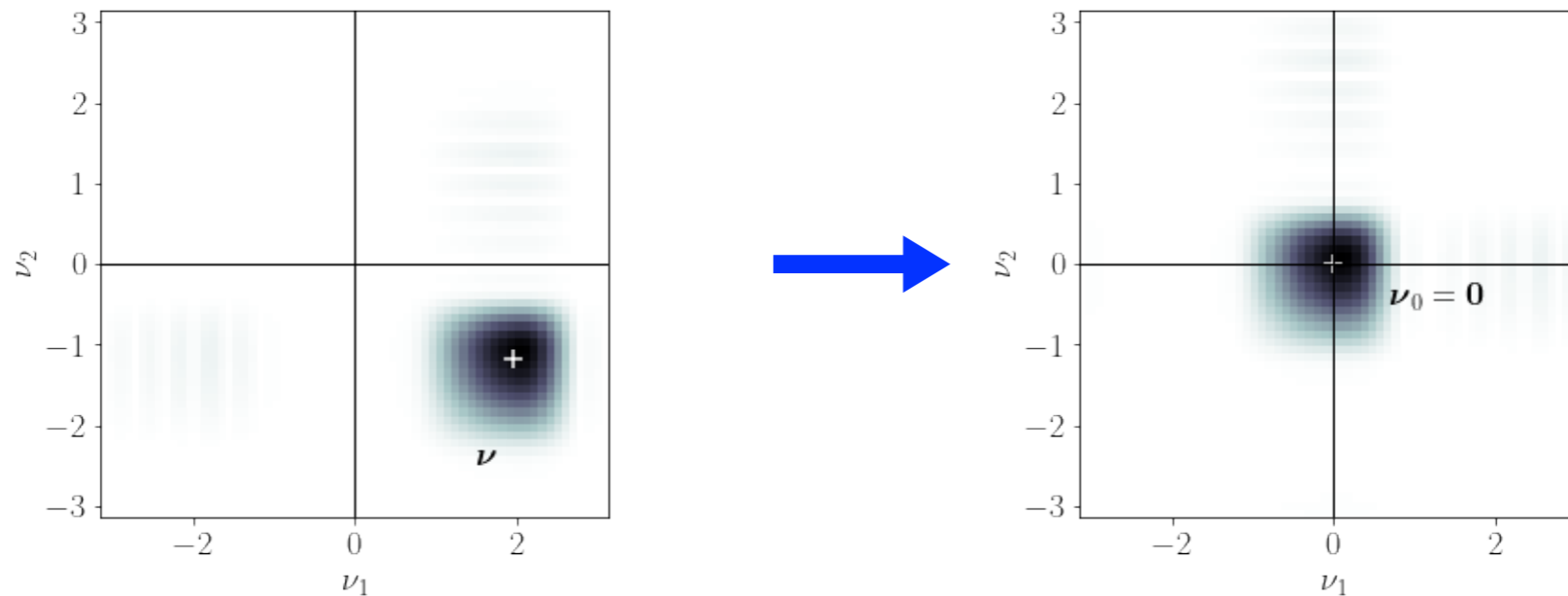
From CMod to RMax in the continuous framework

- **I. Waldspurger intuition** linking the two operators:

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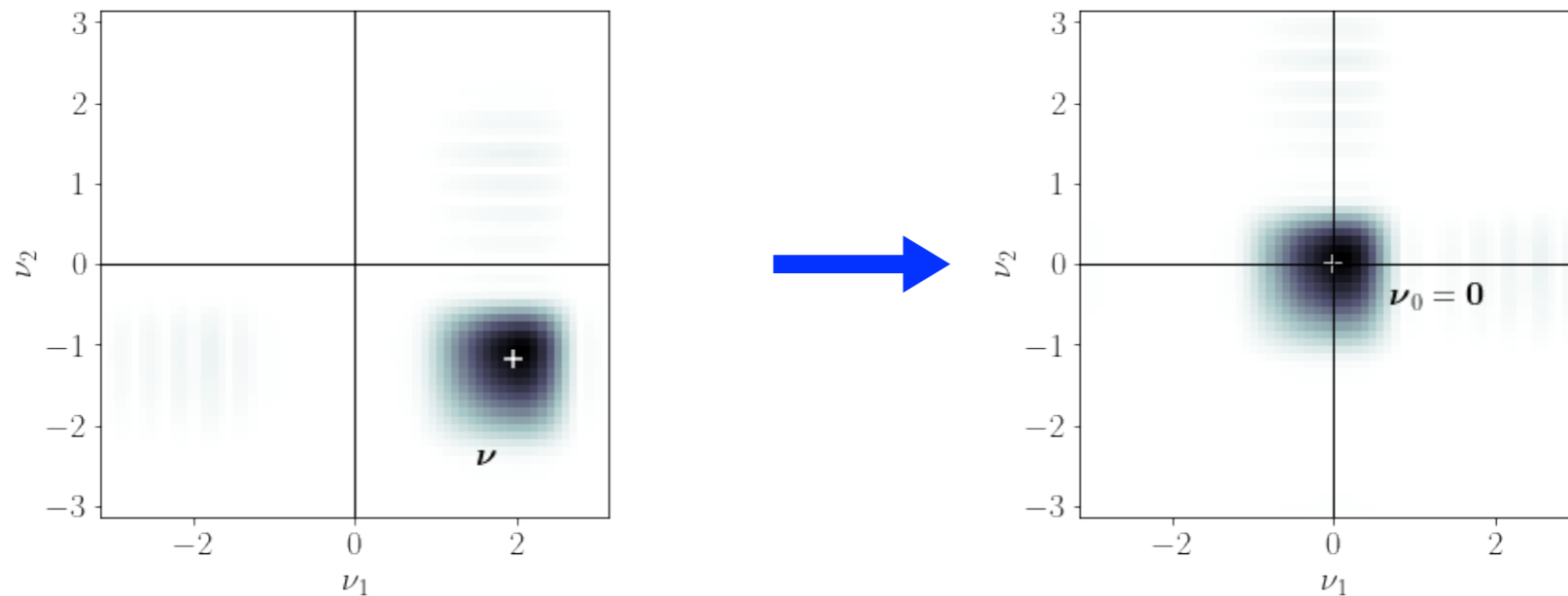
$$F_0 : \mathbf{x} \mapsto (F * \overline{\Psi})(\mathbf{x})e^{i\langle \boldsymbol{\nu}, \mathbf{x} \rangle} \xrightarrow{\Psi \in \mathcal{V}(\boldsymbol{\nu}, \varepsilon)} \text{supp} \widehat{F}_0 \subset B_\infty(\varepsilon/2)$$



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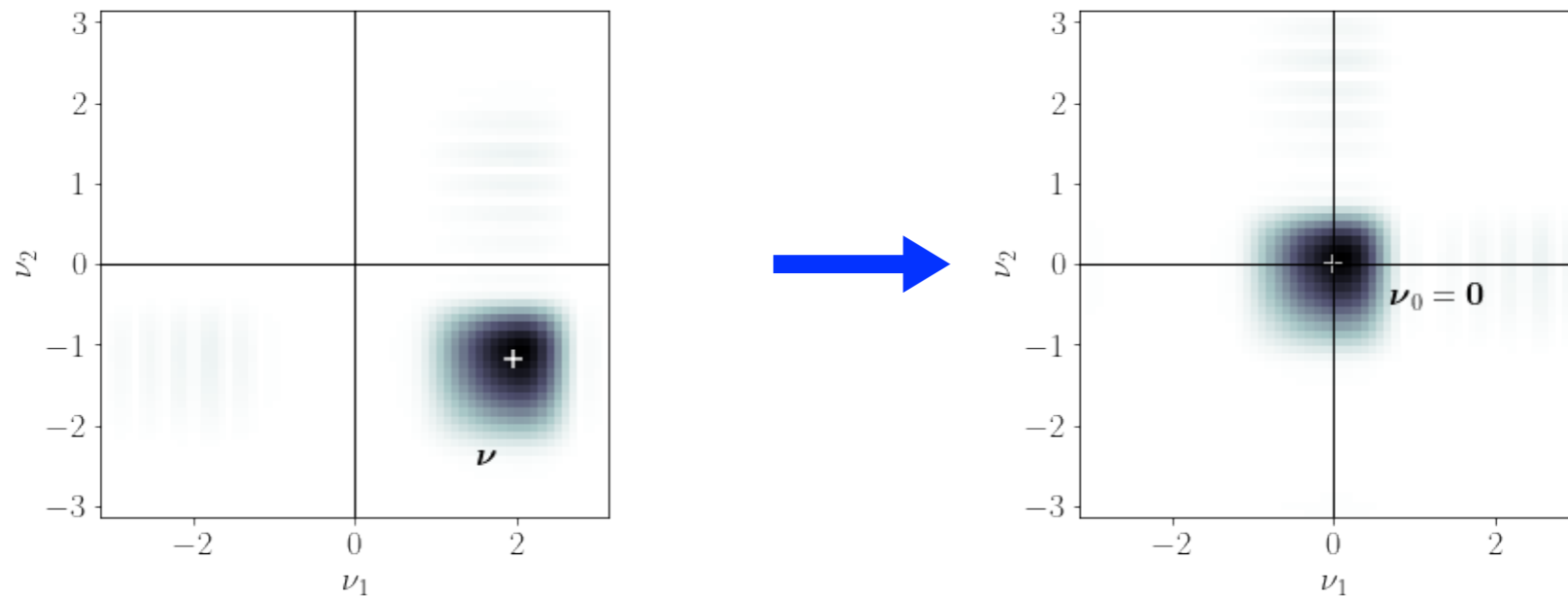


$$(F * \text{Re} \overline{\Psi})(\mathbf{x}) = \text{Re}((F * \overline{\Psi})(\mathbf{x})) = \text{Re}(F_0(\mathbf{x})e^{-i\langle \boldsymbol{\nu}, \mathbf{x} \rangle})$$

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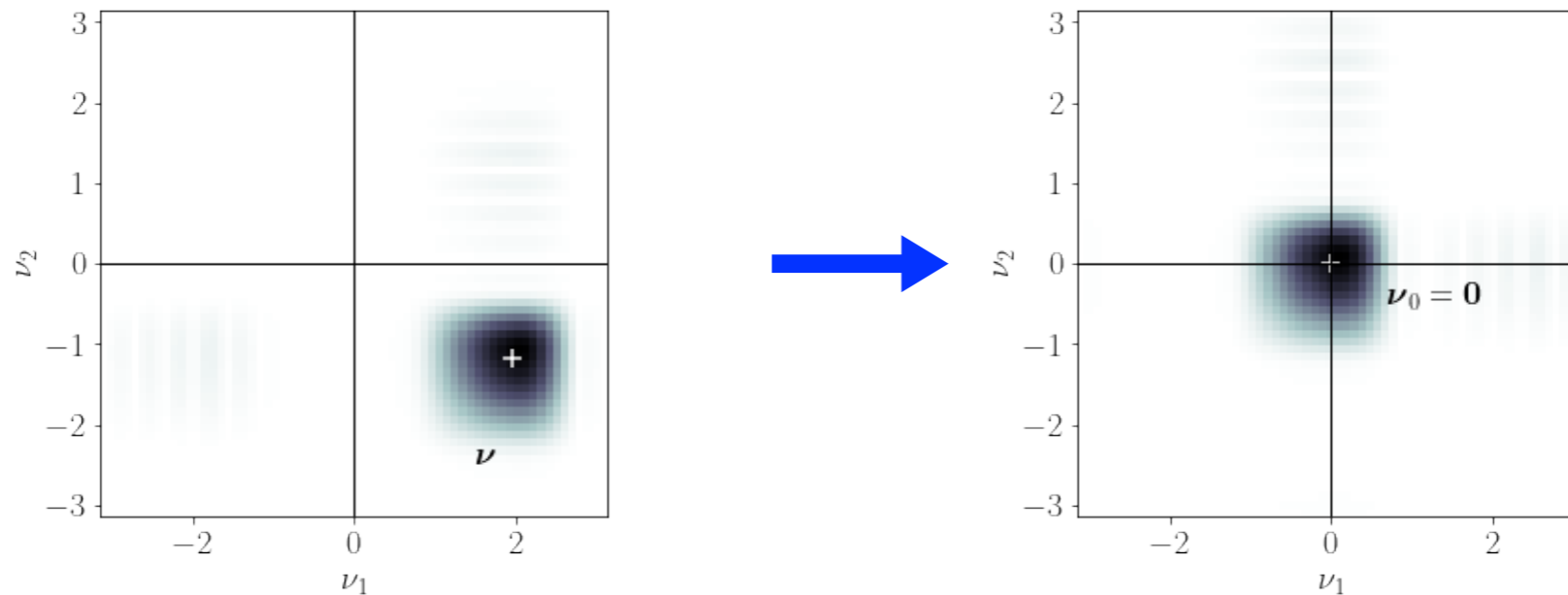
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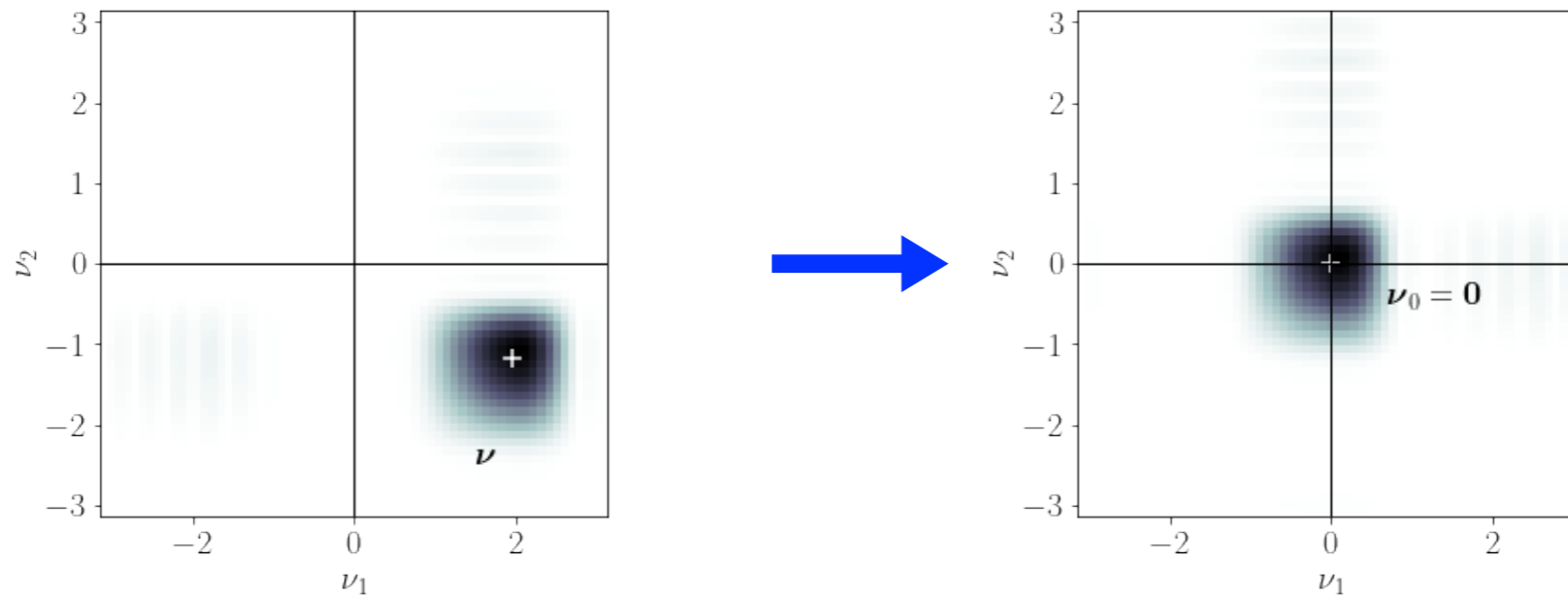
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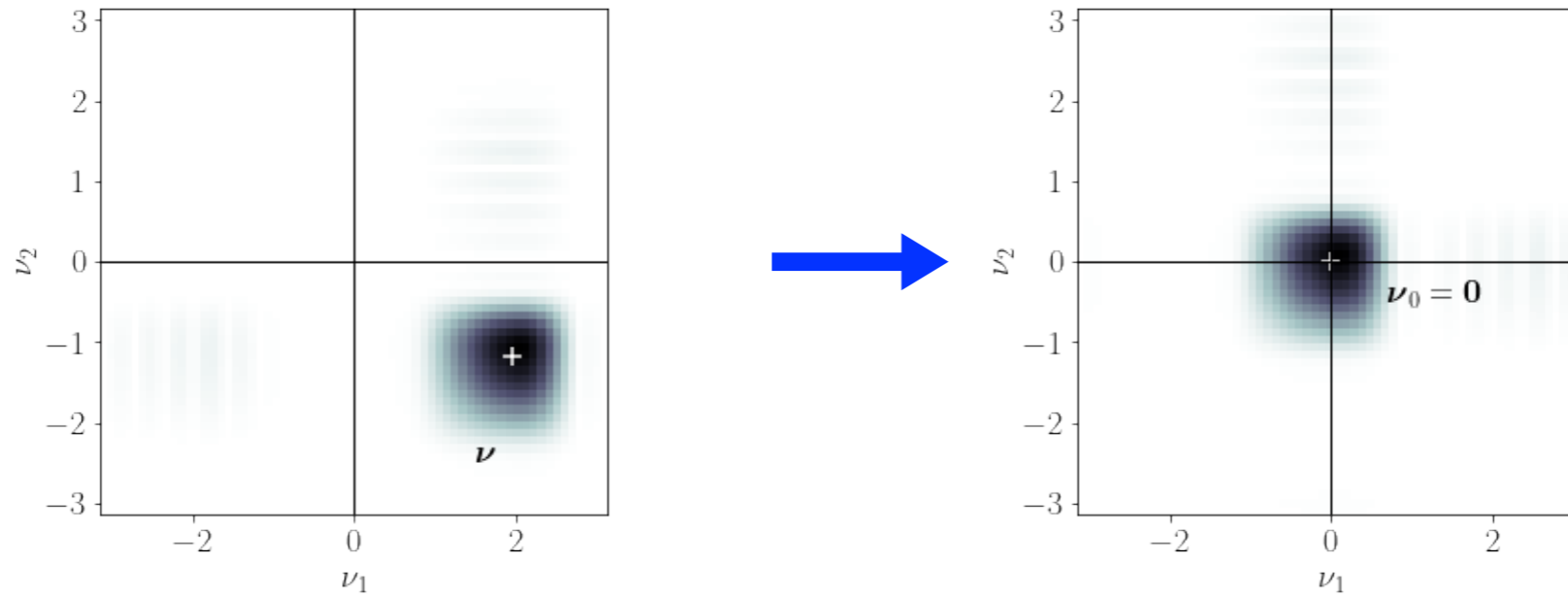
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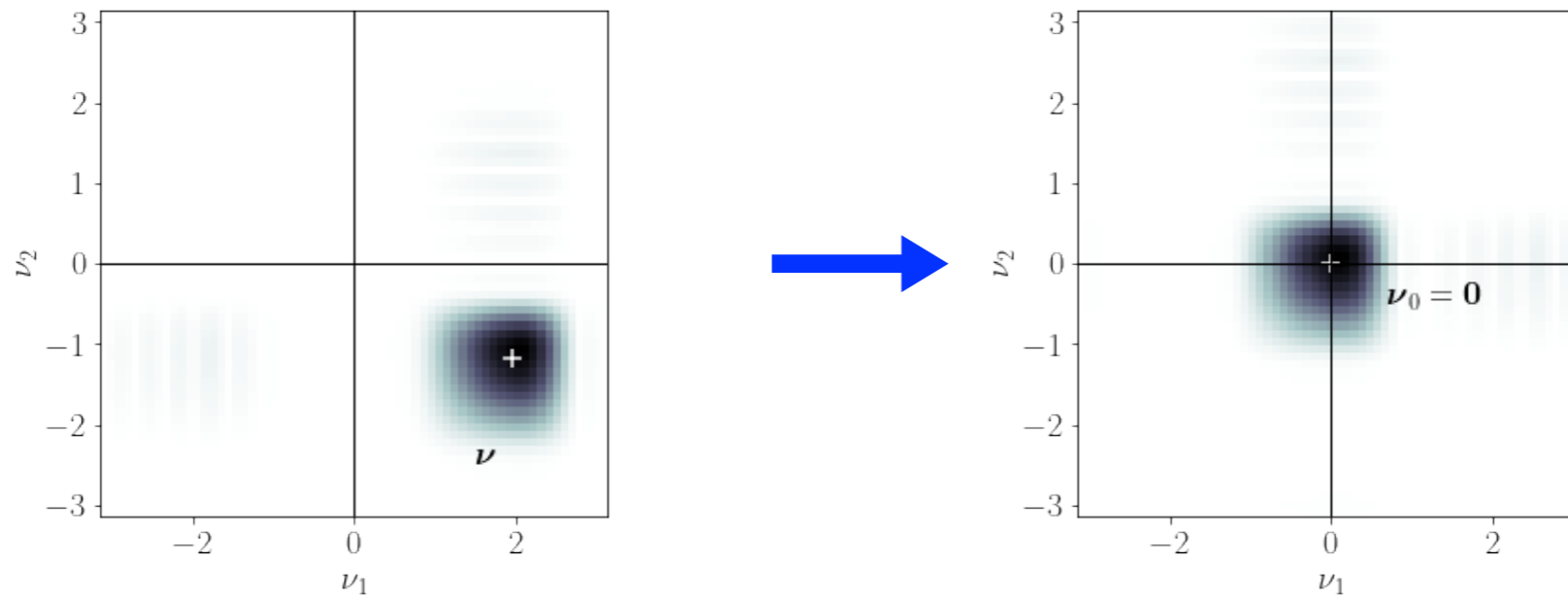
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Max



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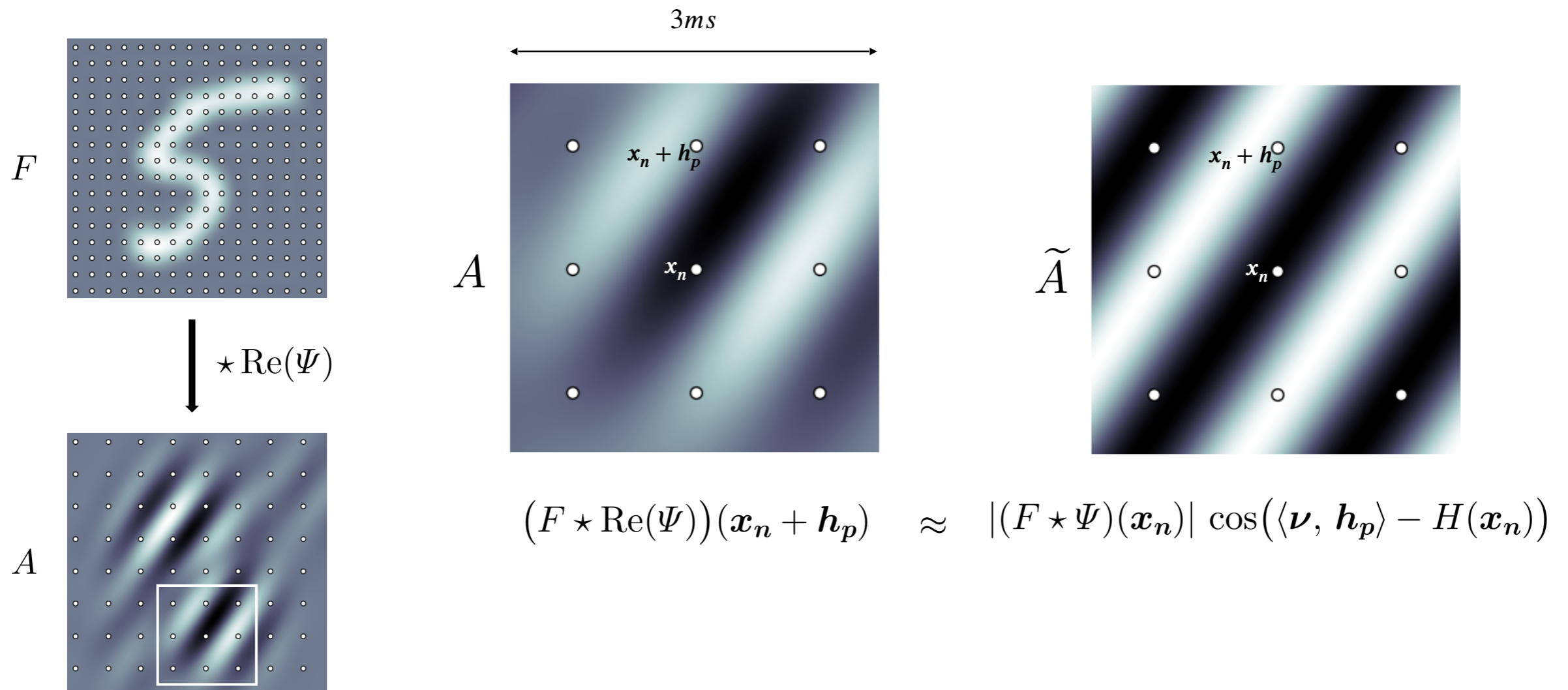
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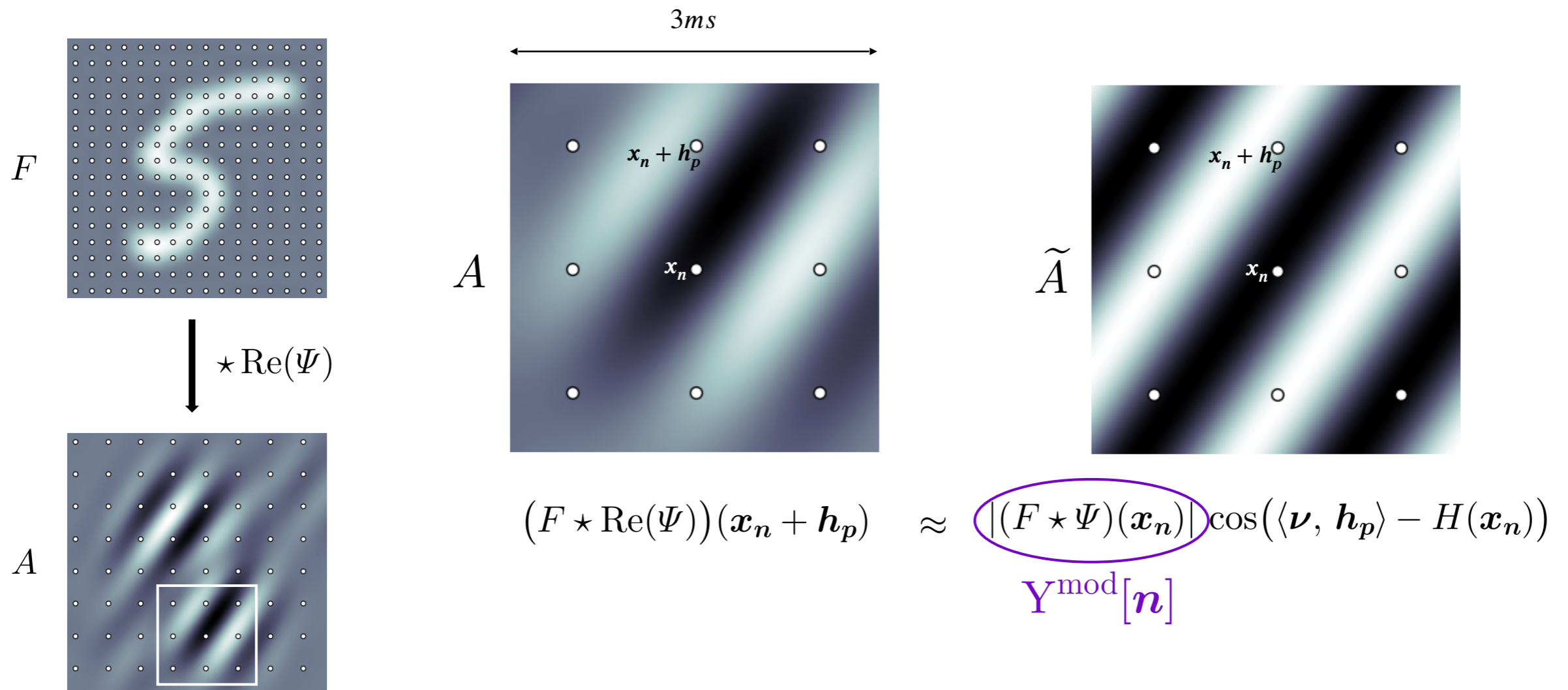
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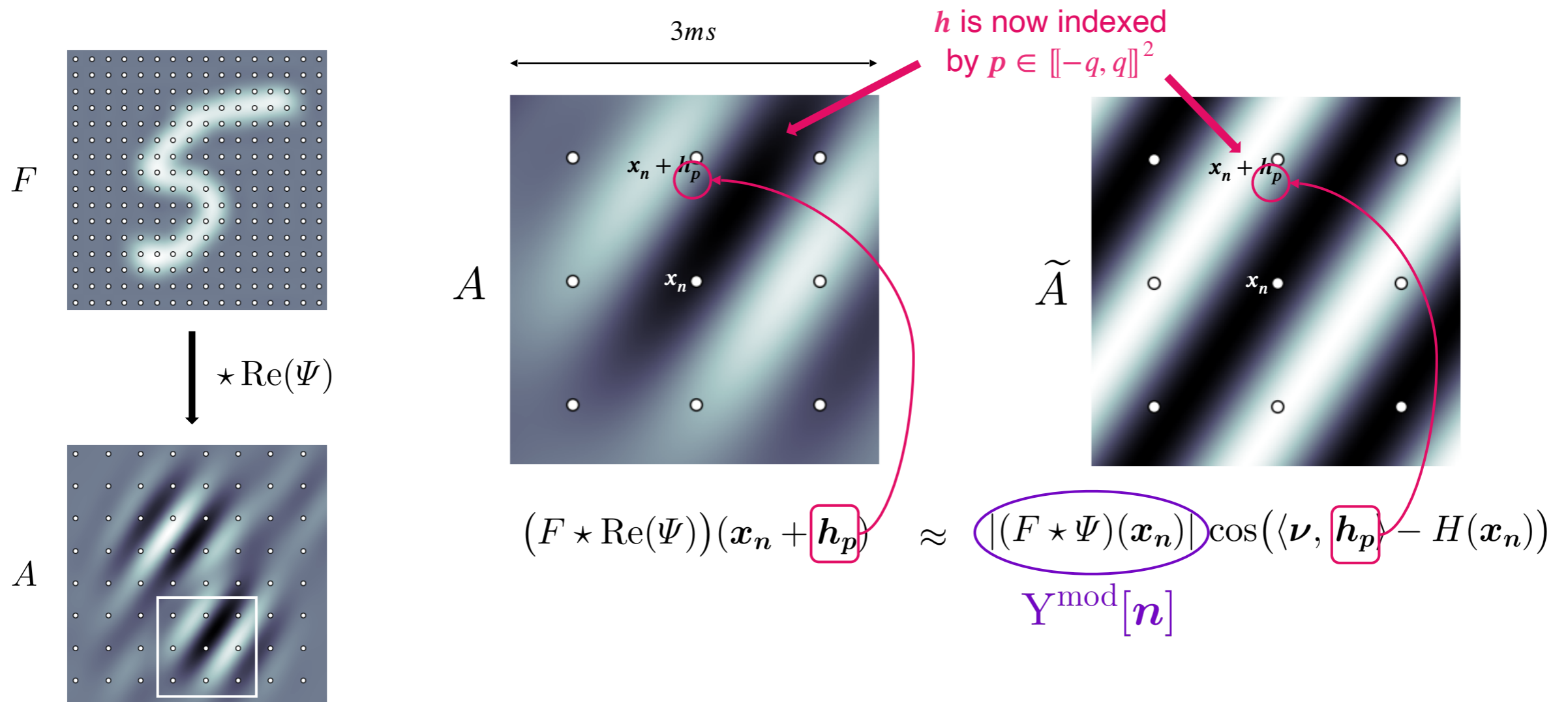
Adaptation to the discrete case



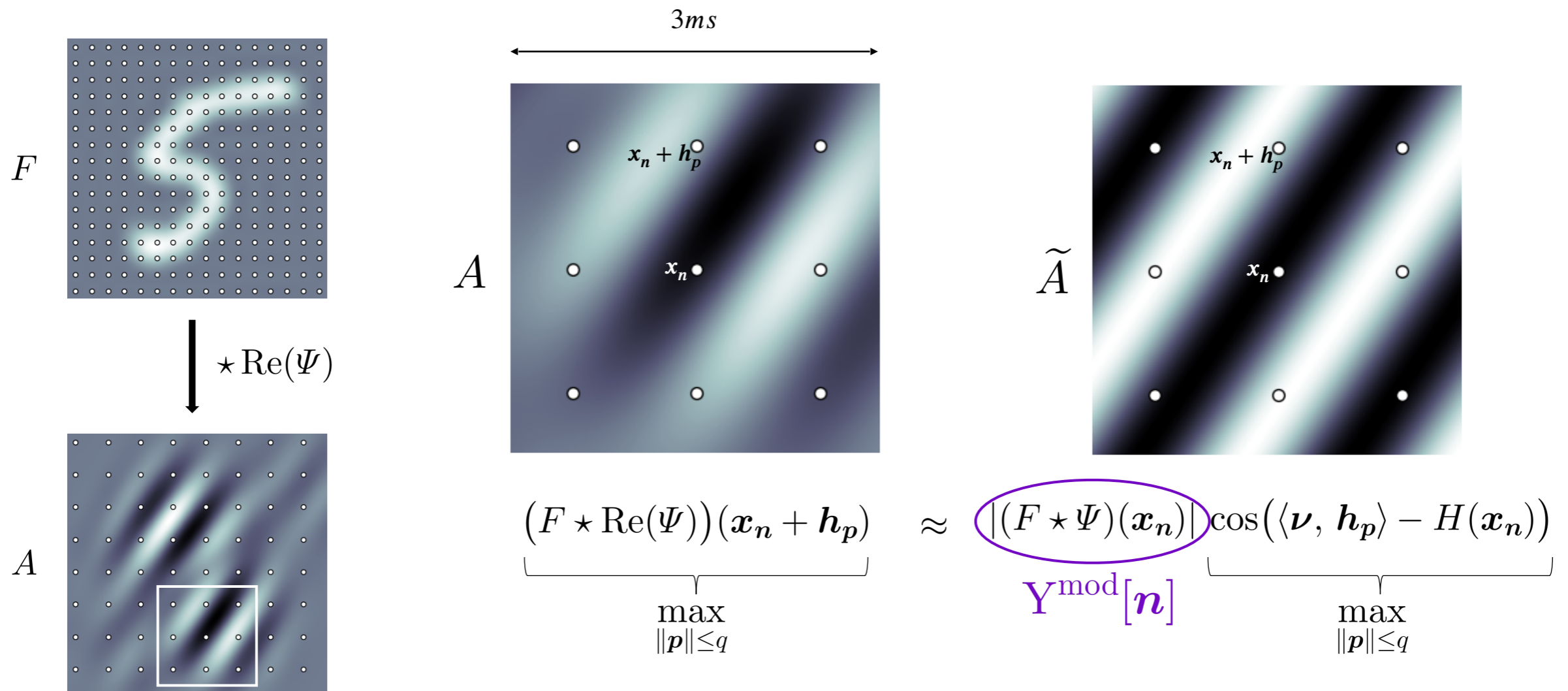
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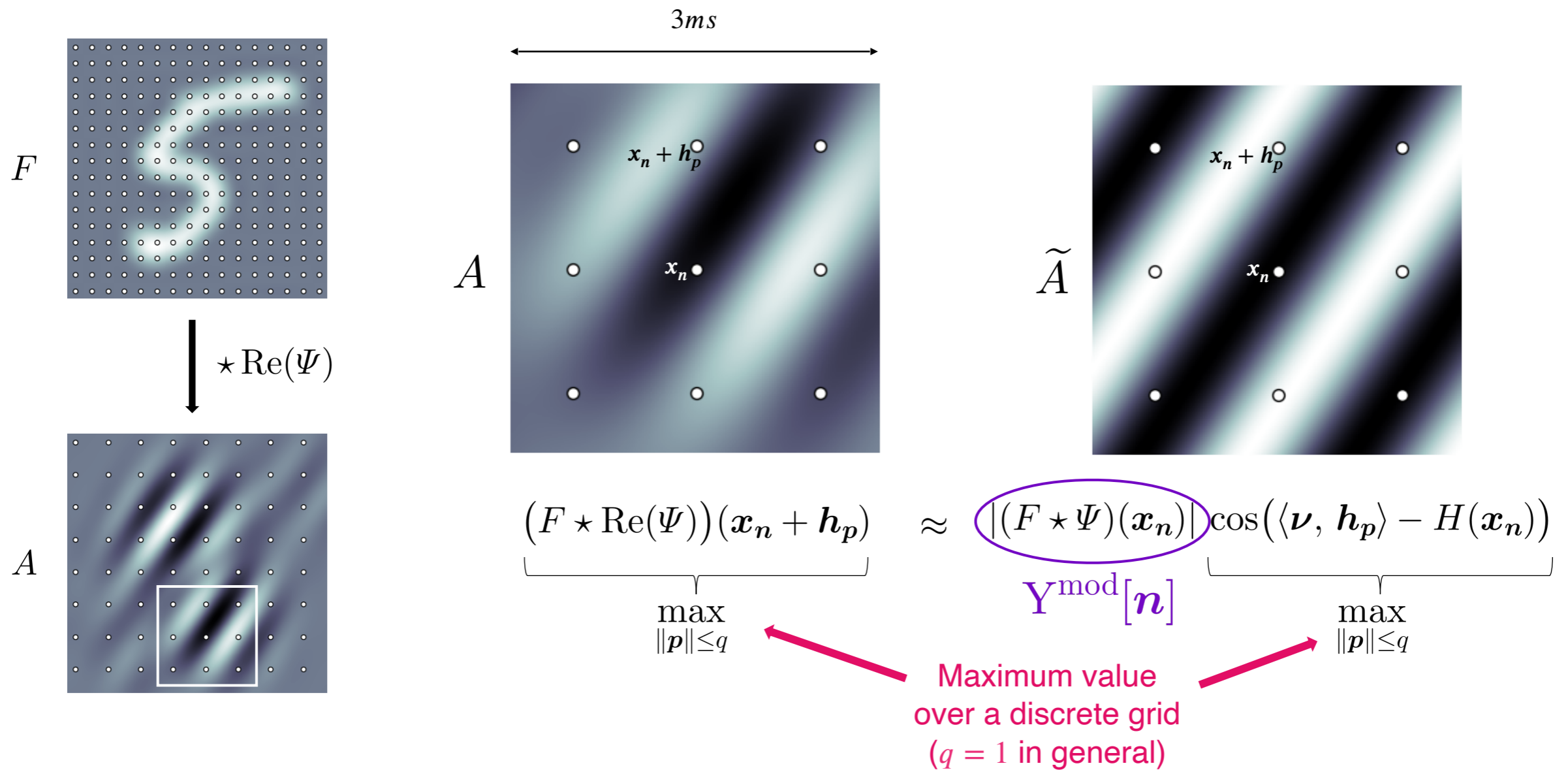
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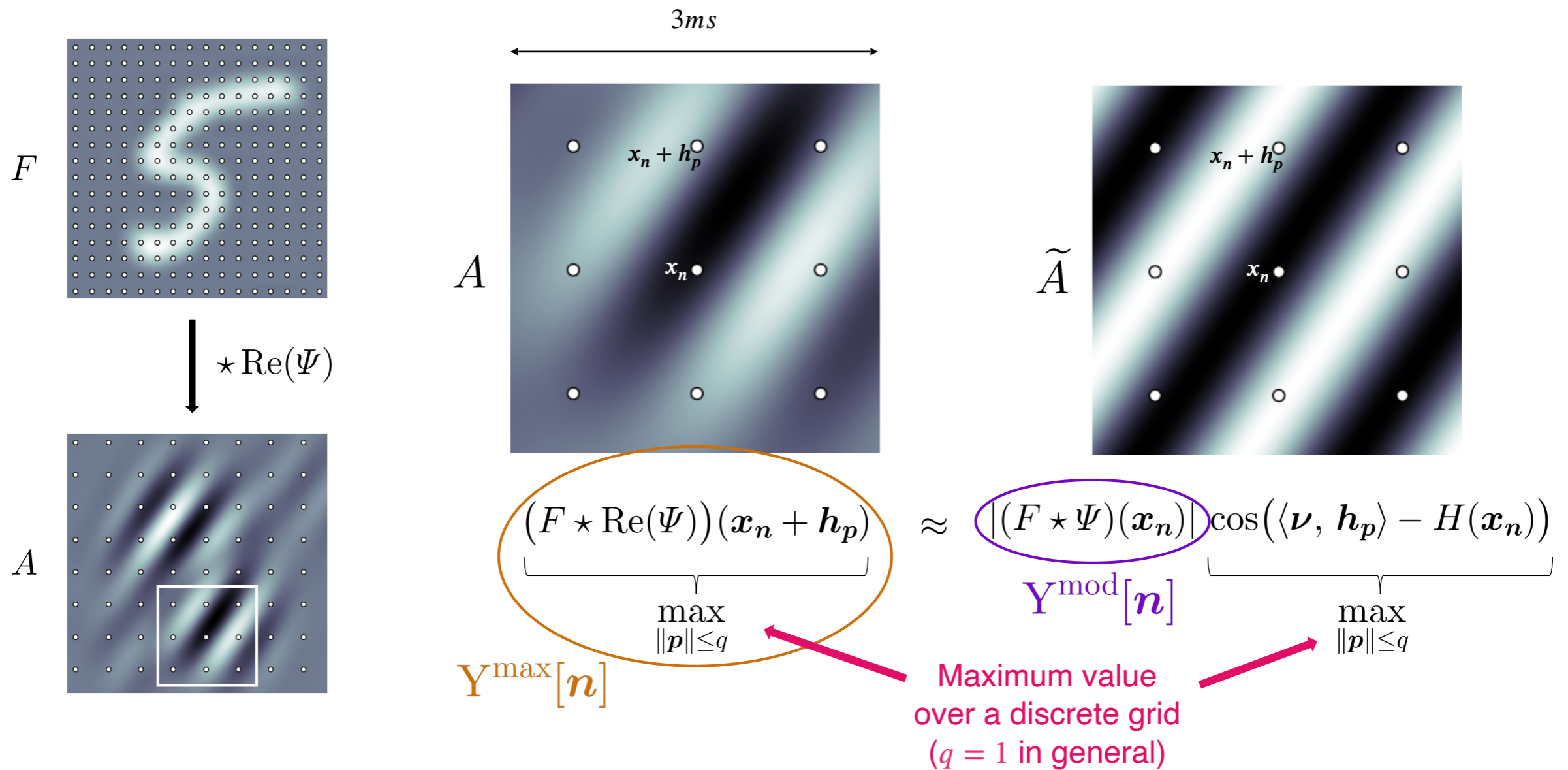
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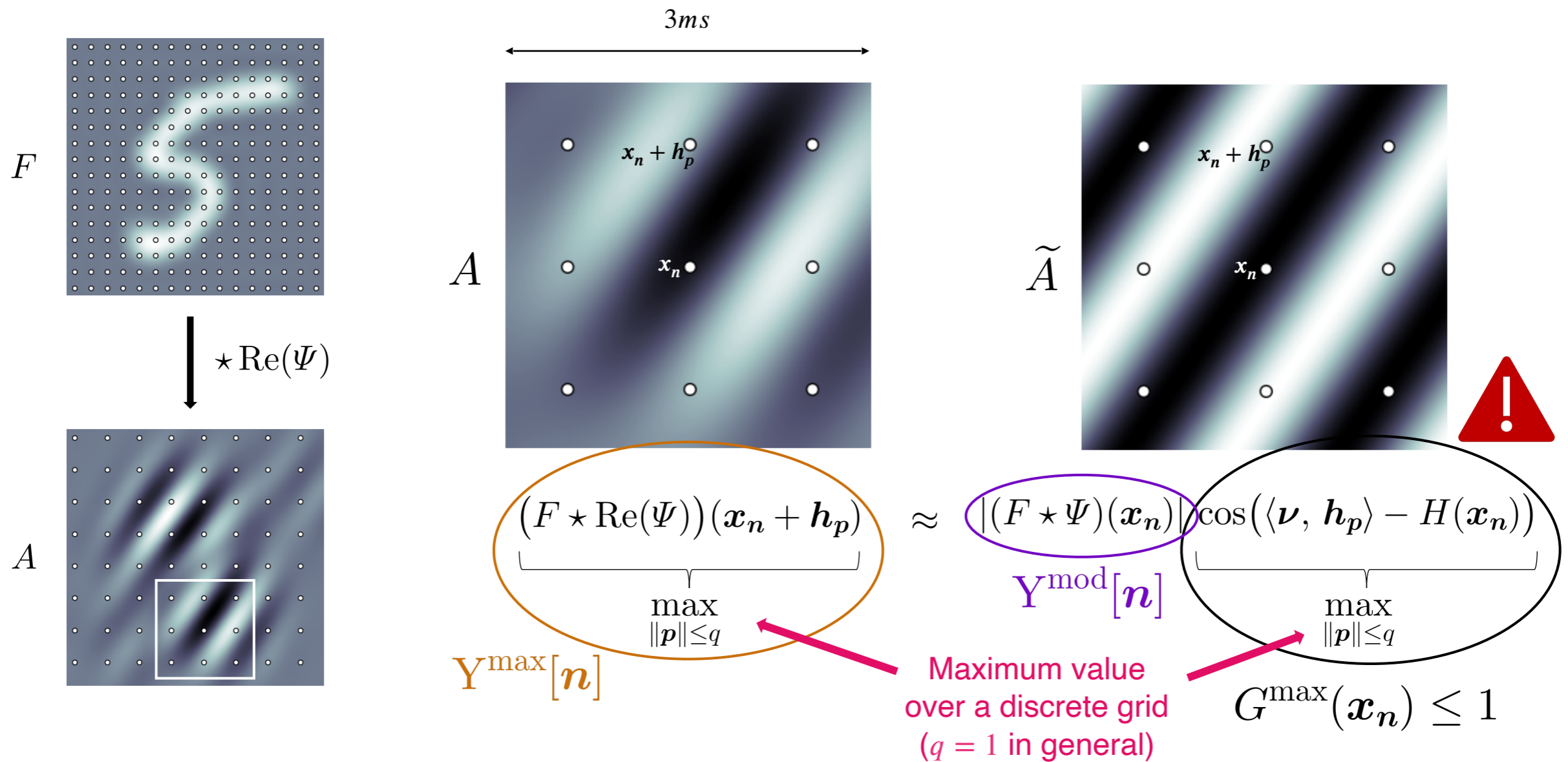
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Adaptation to the discrete case

$$q \ll 2\pi/(m\kappa) \quad \Longrightarrow \quad U_{m,q}^{\max} \mathbf{X}[\mathbf{n}] \approx U_{2m}^{\text{mod}} \mathbf{X}[\mathbf{n}] \max_{\|\mathbf{p}\|_{\infty} \leq q} G_{\mathbf{X}}(\mathbf{x}_{\mathbf{n}}, \mathbf{h}_{\mathbf{p}}),$$

Adaptation to the discrete case

$$q \ll 2\pi / (m\kappa) \quad \implies \quad U_{m,q}^{\max} X[\mathbf{n}] \approx U_{2m}^{\text{mod}} X[\mathbf{n}] \quad \max_{\|\mathbf{p}\|_{\infty} \leq q} G_X(\mathbf{x}_n, h_{\mathbf{p}}),$$

Not necessarily reaches 1

Adaptation to the discrete case

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$$\delta_{m,q} \mathbf{X}[\mathbf{n}] := U_{2m}^{\text{mod}} \mathbf{X}[\mathbf{n}] \left(1 - \max_{\|\mathbf{p}\|_{\infty} \leq q} G_{\mathbf{X}}(\mathbf{x}_{\mathbf{n}}, \mathbf{h}_{\mathbf{p}}) \right)$$

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Theorem (Bound on the difference of **CMod** and **RMax**)

If $\kappa \leq \pi/m$ and under another reasonable hypothesis

$$\|U_{2m}^{\text{mod}} \mathbf{X} - U_{m,q}^{\max} \mathbf{X}\|_2 \leq \|\delta_{m,q} \mathbf{X}\|_2 + \beta_q(m\kappa) \|U_{2m}^{\text{mod}} \mathbf{X}\|_2$$

Adaptation to the discrete case

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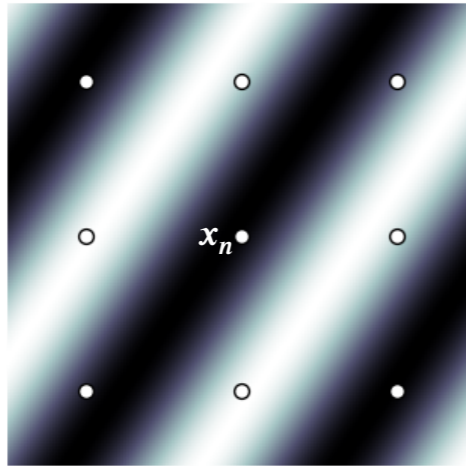
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Theorem (Bound on the difference of **CMOD** and **RMAX**)

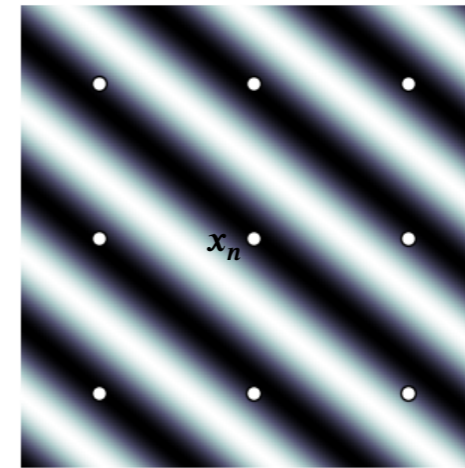
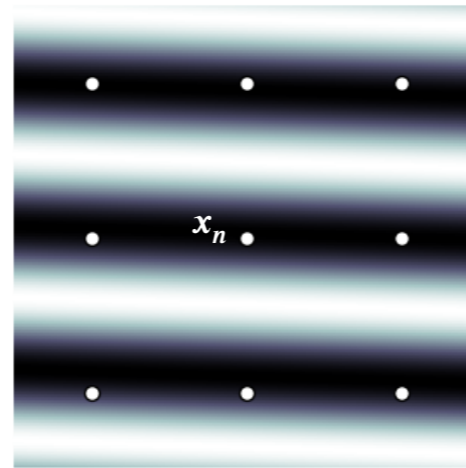
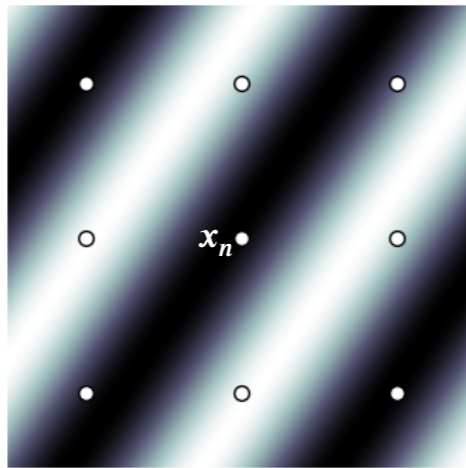
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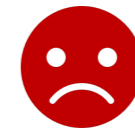
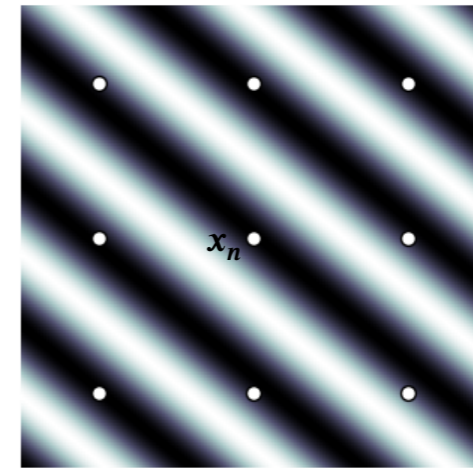
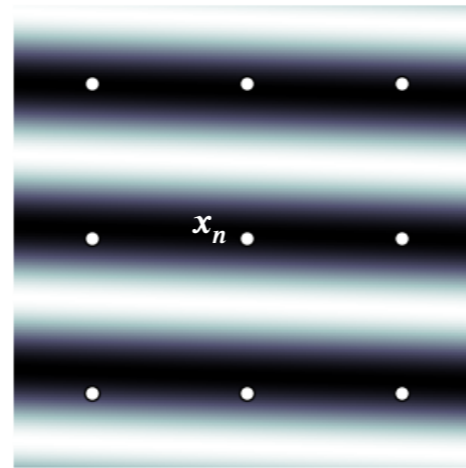
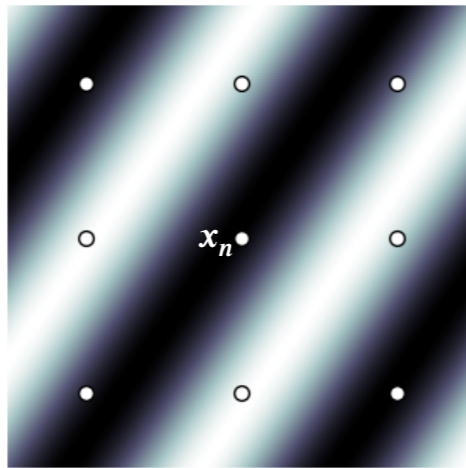
Pathological frequencies



Pathological frequencies

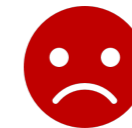
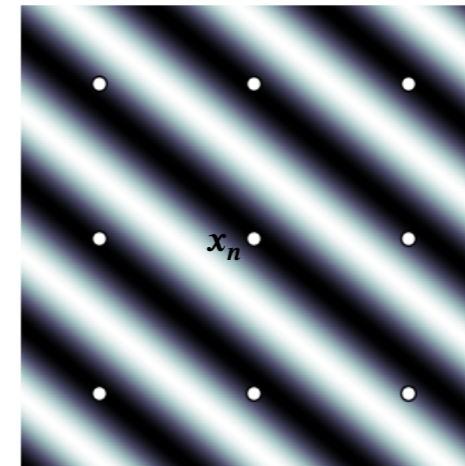
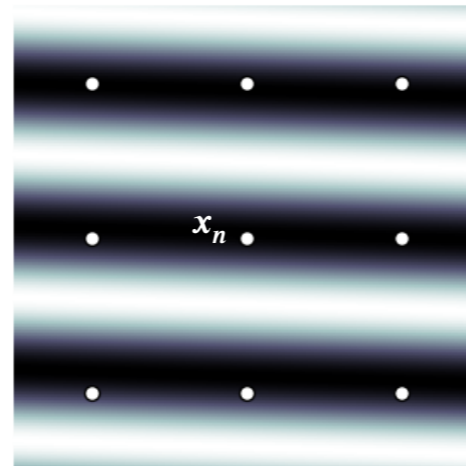
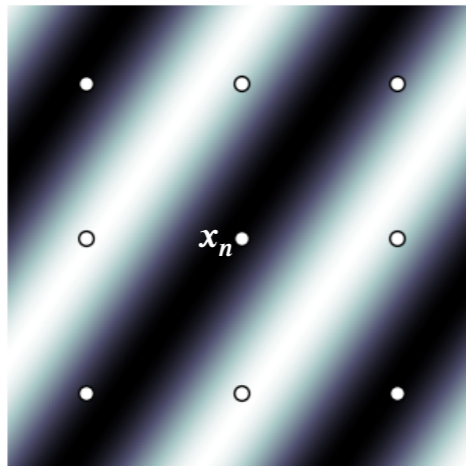


Pathological frequencies



- Need for a probabilistic framework where X (resp. F) is seen as a discrete (resp. continuous) stochastic process on \mathbb{Z}^2 (resp. \mathbb{R}^2)

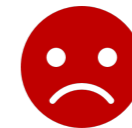
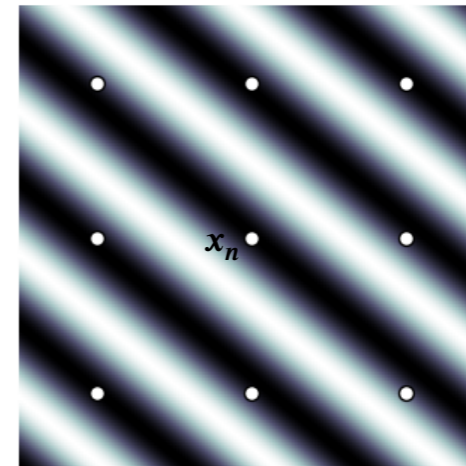
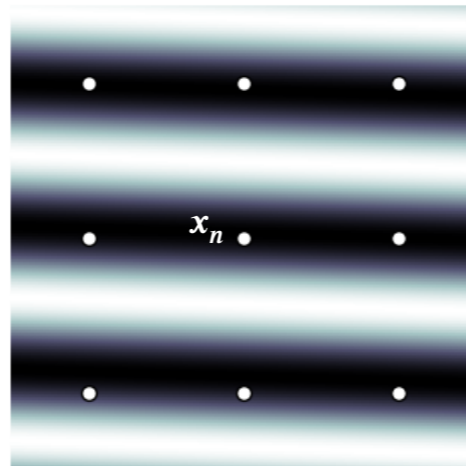
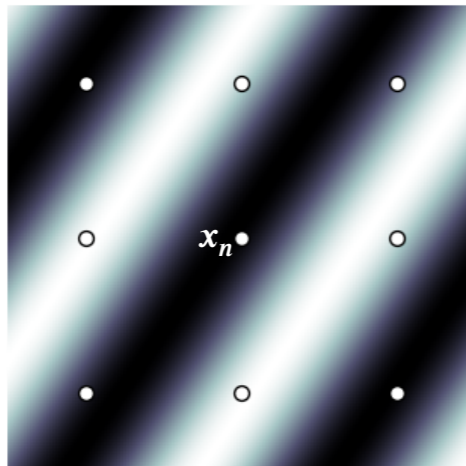
Pathological frequencies



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- Quantity of interest: $\mathbb{E} \left[(1 - G^{\max}(\mathbf{x}_n))^2 \right]$

Pathological frequencies

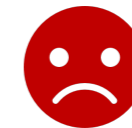
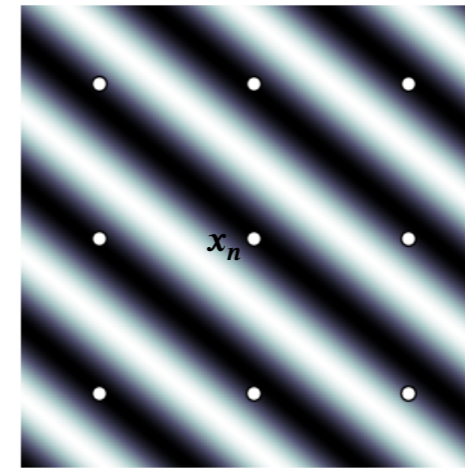
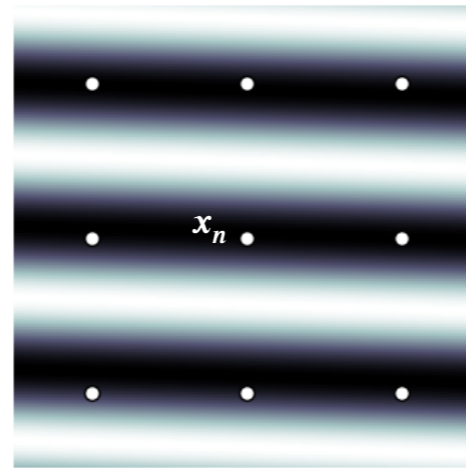
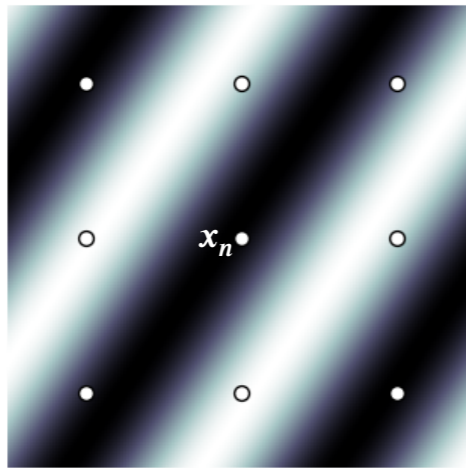


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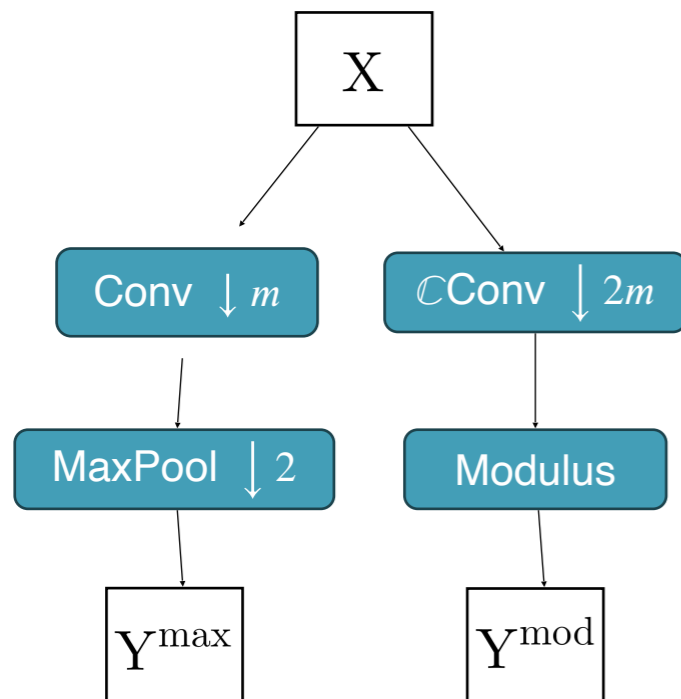
with $G^{\max}(\mathbf{x}_n) = \max_{\|p\|_{\infty} \leq 1} \cos(\langle \nu, h_p \rangle - H(\mathbf{x}_n))$

Hypothesis: uniformly distributed



Main result

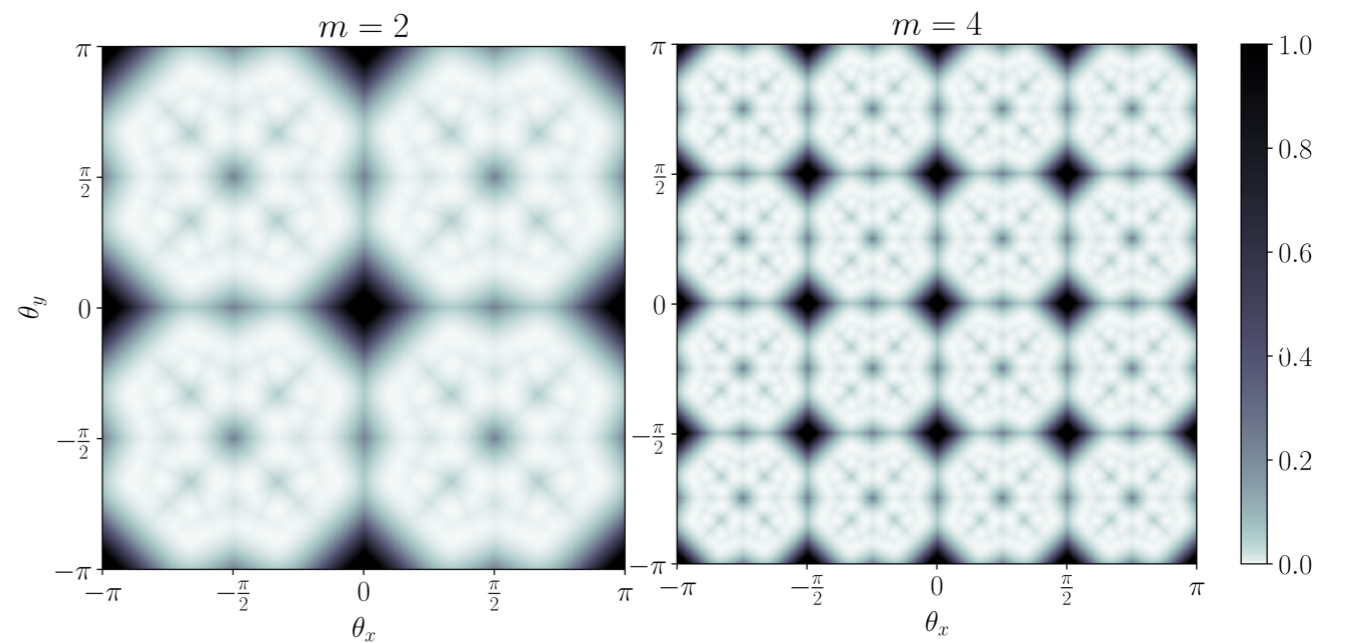
- MSE between \mathcal{CMod} and \mathcal{RMax} output



$$\mathbb{E} \left[\frac{\|Y^{\max} - Y^{\text{mod}}\|_2^2}{\|Y^{\text{mod}}\|_2^2} \right] \leq (\beta_q(m\kappa) + \gamma_q(m\boldsymbol{\theta}))^2$$

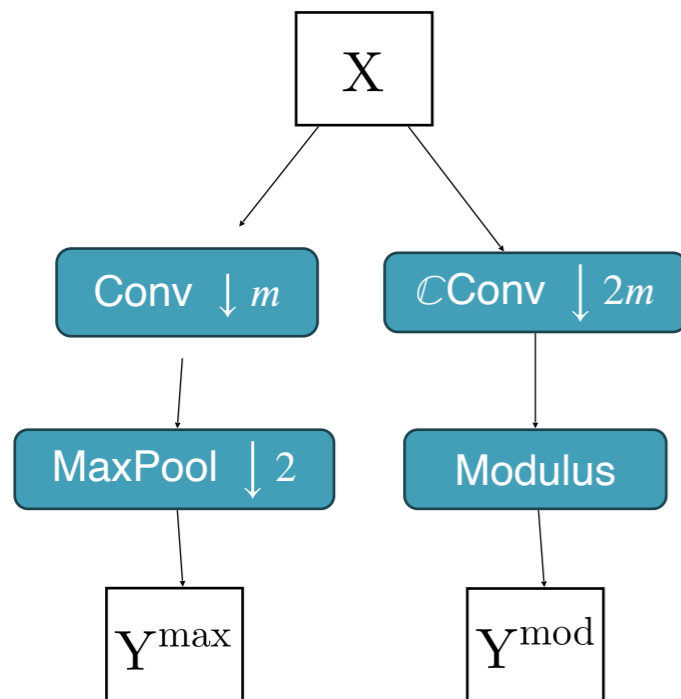
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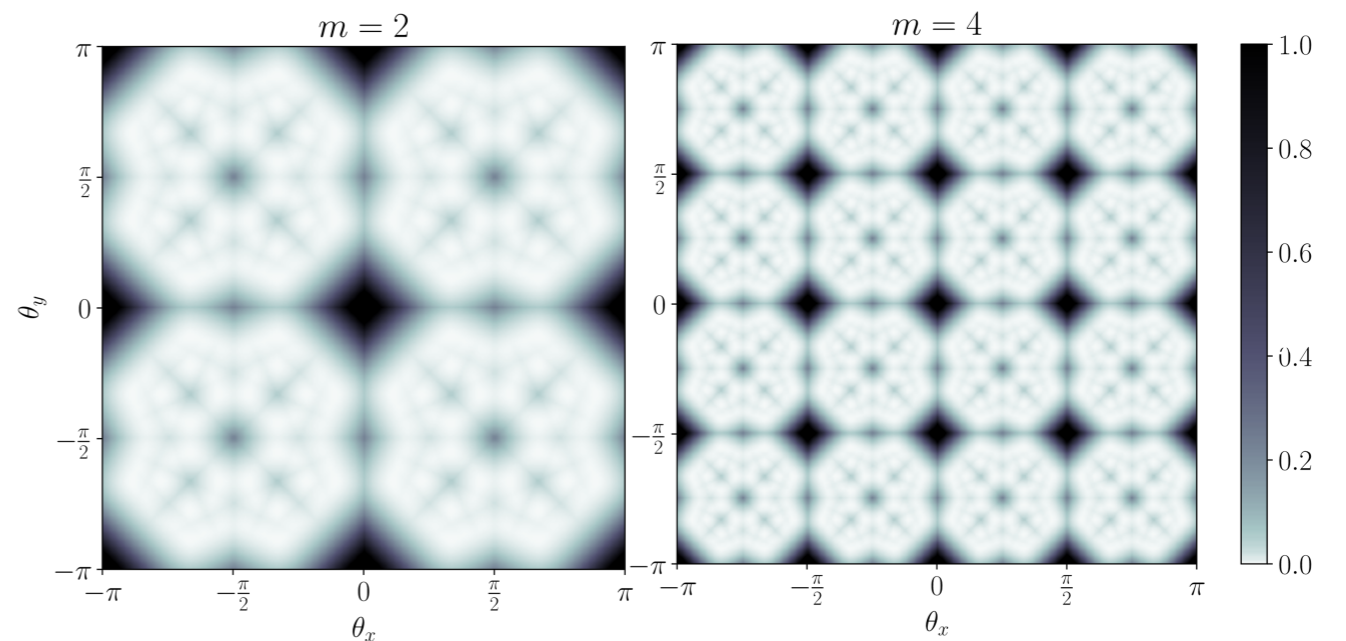


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Upper bound under hypothesis $G^{\max} = 1$

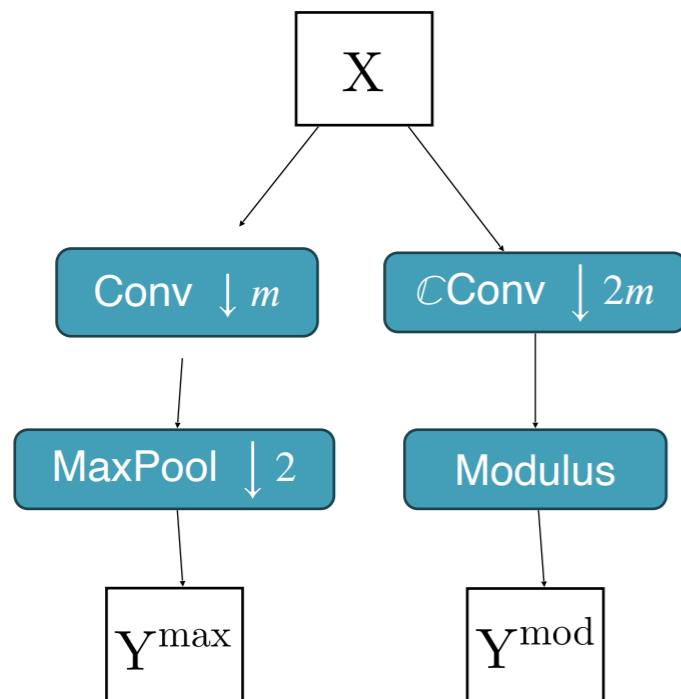
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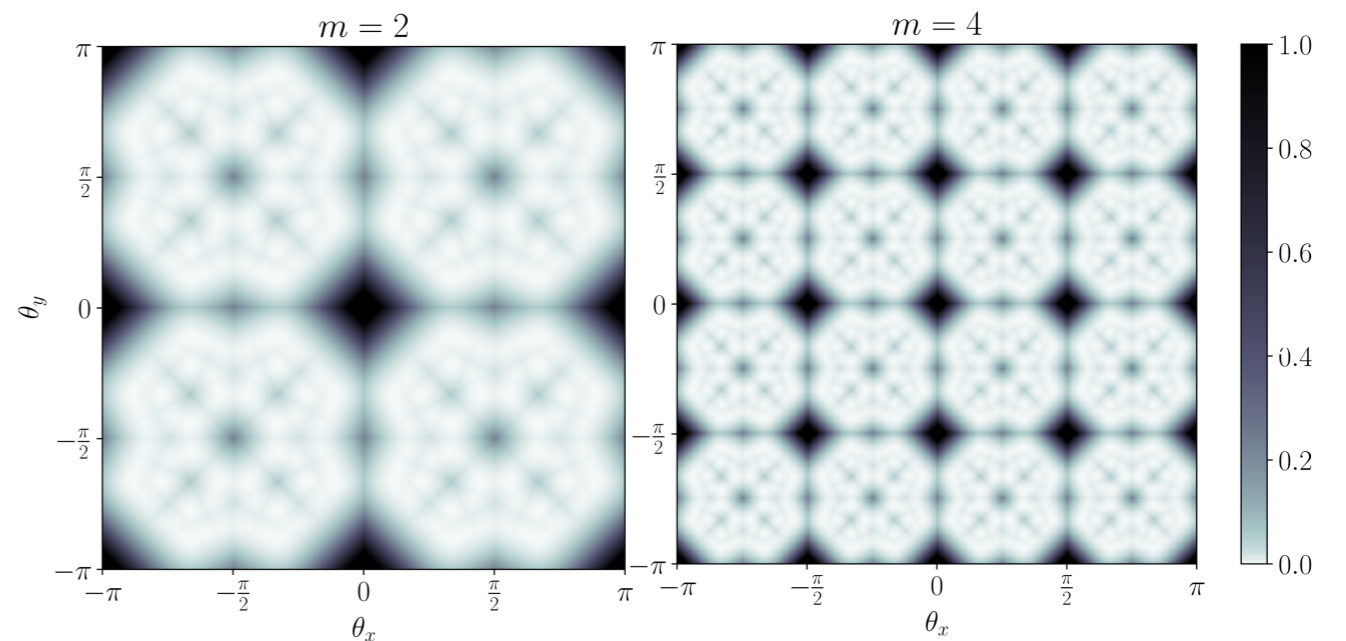
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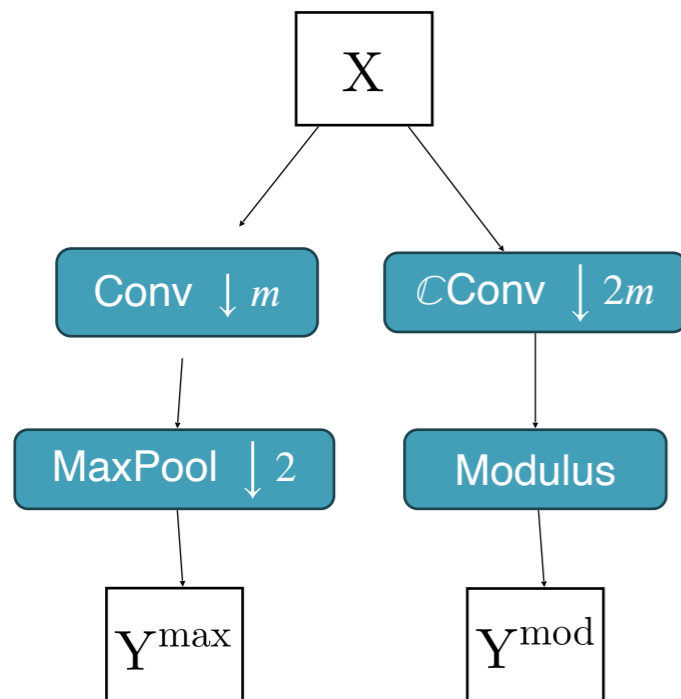
Discrete nature of the max pooling grid

$$\mathbb{E} \left[(1 - G^{\max}(\mathbf{x}_n))^2 \right] \xrightarrow[\substack{\boldsymbol{\theta} \mapsto \\ q=1}]{\gamma_q(m\boldsymbol{\theta})^2}$$



Main result

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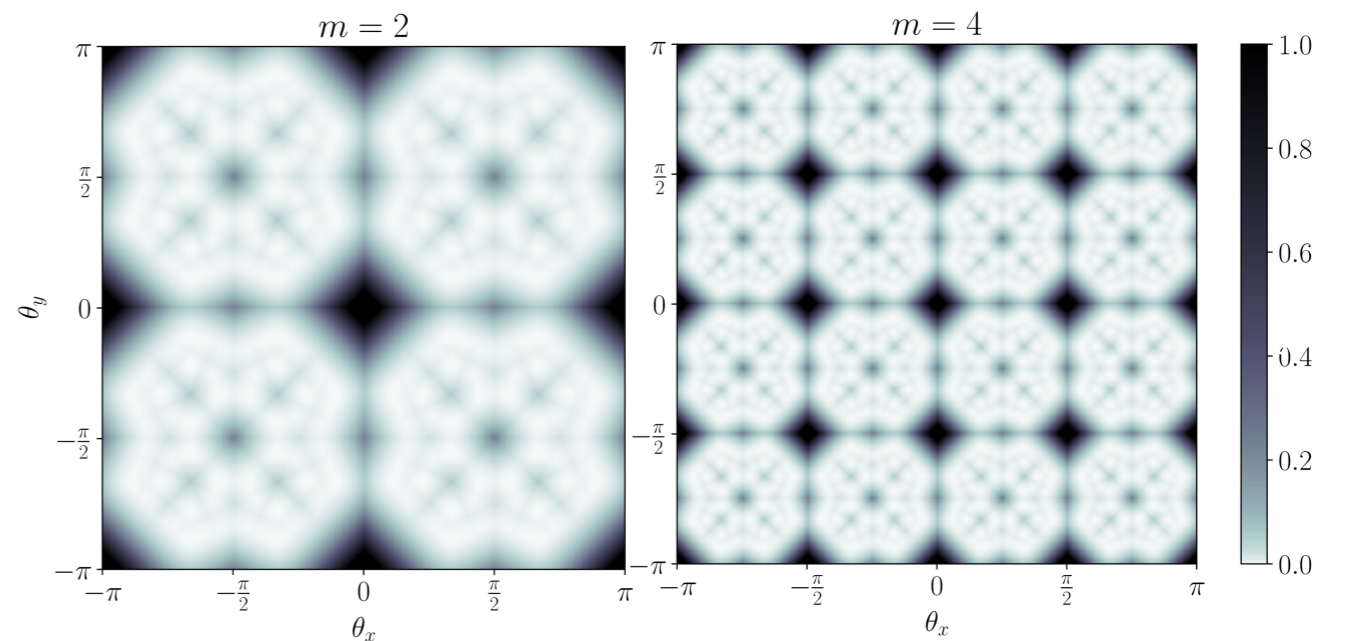


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Sketch of the proof

- **Reformulation** of the problem on the unit circle $\mathbb{S}^1 \subset \mathbb{C}$

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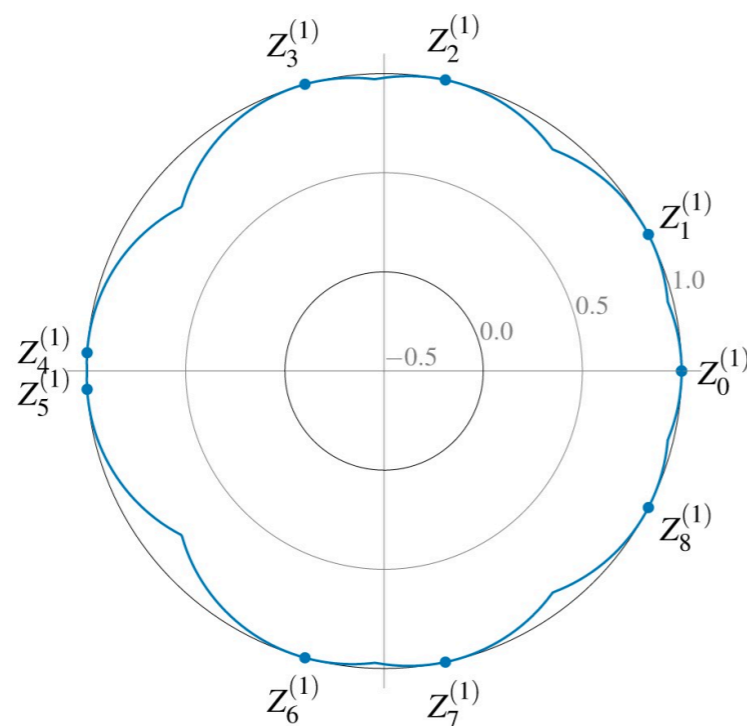
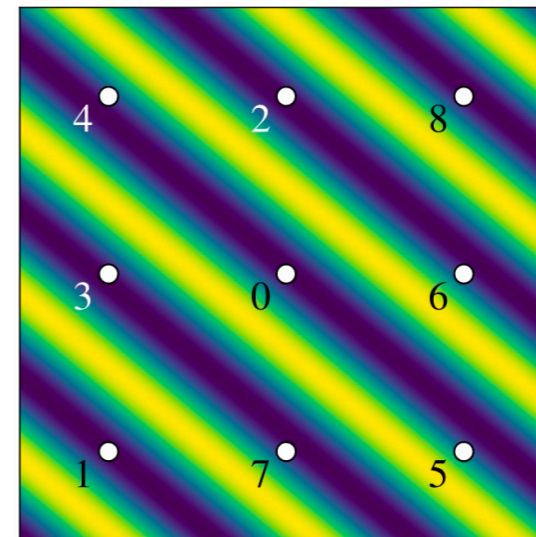
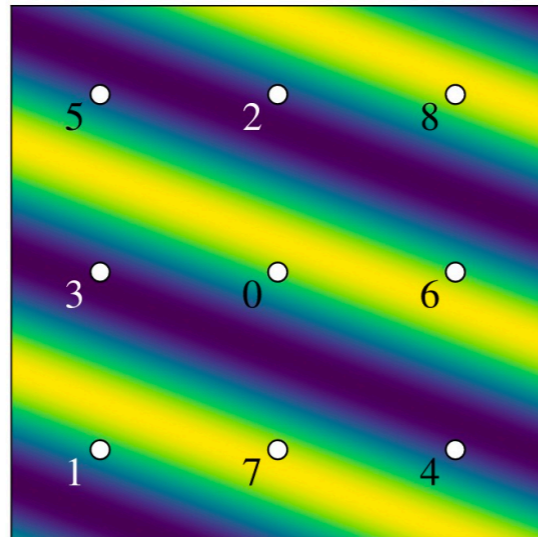
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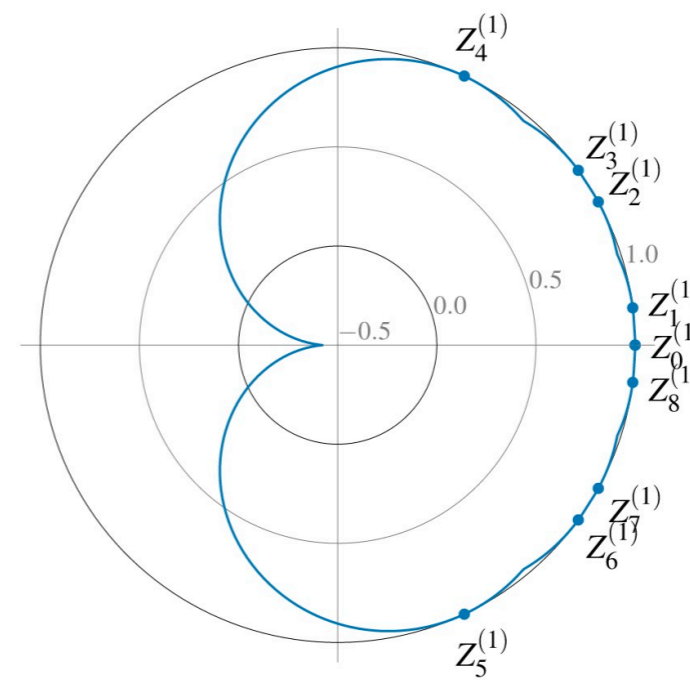
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Sketch of the proof

$$g_{\max} : z \mapsto \max_{\|p\|_{\infty} \leq q} \operatorname{Re}(z^* Z_p)$$



(a) General case



(b) Pathological case

Sketch of the proof

■ **Reformulation** of the problem on the unit circle $\mathbb{S}^1 \subset \mathbb{C}$

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 \int_{\mathfrak{A}_i^{(q)}} g_{\max}(z)^p \, d\vartheta(z) &= 2 \int_{\overline{\mathfrak{A}}_i^{(q)}} \operatorname{Re}(z^* Z_i^{(q)})^p \, d\vartheta(z) && z \leftarrow e^{i\eta} \\
 &= 2 \int_{H_i^{(q)}}^{\overline{H}_i^{(q)}} \cos^p(\eta - H_i^{(q)}) \, d\eta && \overline{H}_i^{(q)} := (H_i^{(q)} + H_{i+1}^{(q)})/2 \\
 &= 2 \int_0^{\delta H_i^{(q)}/2} \cos^p \eta' \, d\eta'
 \end{aligned}$$

Sketch of the proof

■ **Reformulation** of the problem on the unit circle $\mathbb{S}^1 \subset \mathbb{C}$

- $\forall z \in \mathfrak{A}_i^{(q)}, g_{\max}(z) = \max \left(\operatorname{Re}(z^* Z_i^{(q)}), \operatorname{Re}(z^* Z_{i+1}^{(q)}) \right)$
- $\forall z \in \overline{\mathfrak{A}}_i^{(q)}, g_{\max}(z) = \operatorname{Re}(z^* Z_i^{(q)})$

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$$\blacksquare \quad \mathbb{E} [\mathbf{G}_X^{\max}(\mathbf{x})] = \frac{1}{\pi} \sum_{i=0}^{n_q-1} \sin \frac{\delta H_i^{(q)}}{2};$$

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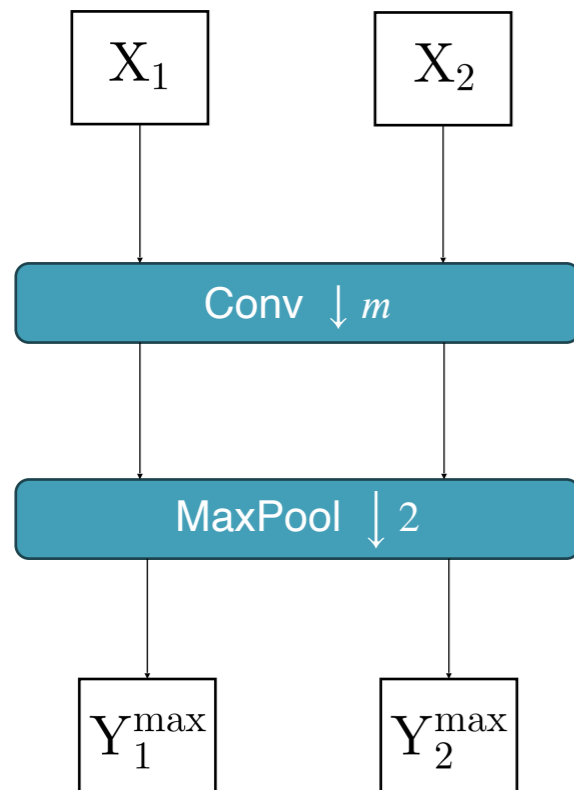
$$\blacksquare \quad Q_X := 1 - \mathbf{G}_X^{\max}$$

\blacksquare By linearity of the expected value

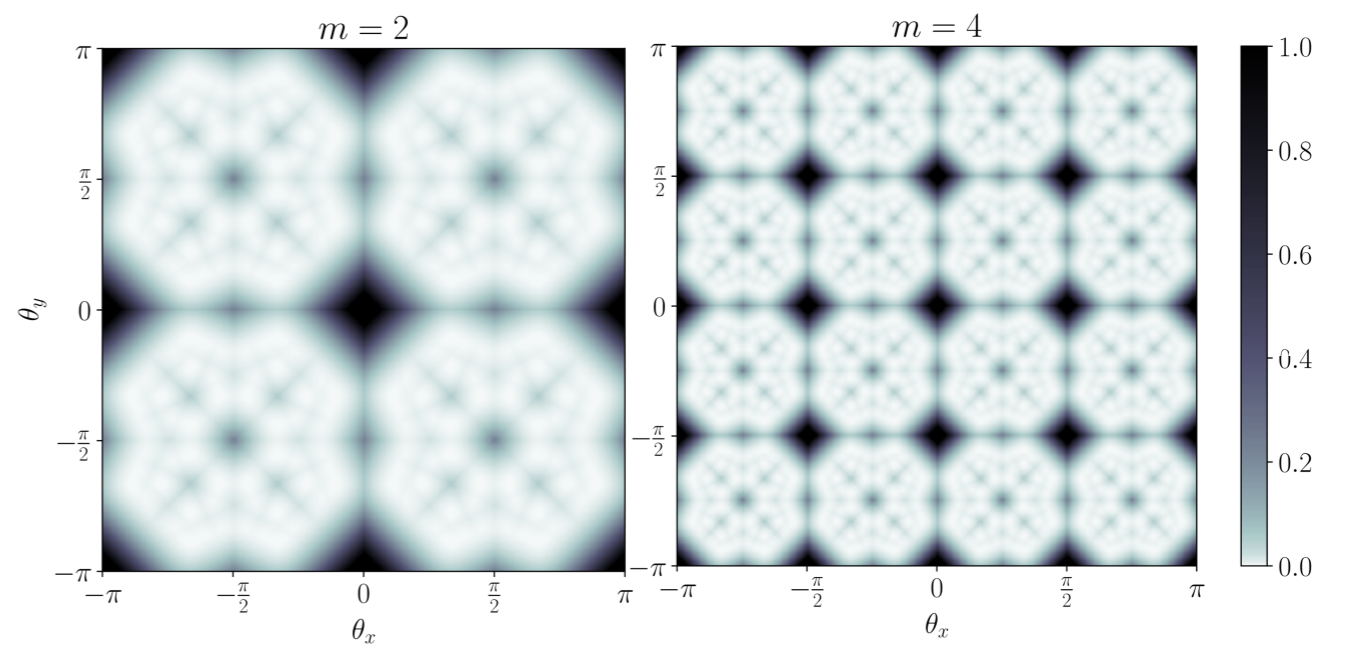
$$\mathbb{E} [Q_X(\mathbf{x})^2] := \frac{3}{2} + \frac{1}{4\pi} \sum_{i=0}^{n_q-1} \left(\sin \delta H_i^{(q)} - 8 \sin \frac{\delta H_i^{(q)}}{2} \right)$$

Main result

- MSE between **CMod** and **RMax** output

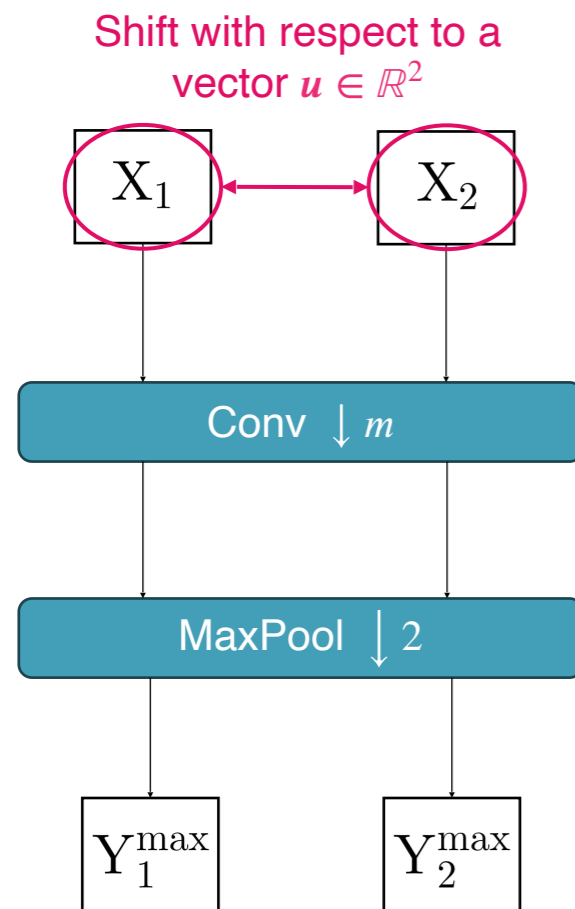


$$\theta \mapsto \gamma_q(m\theta)^2$$
$$q = 1$$

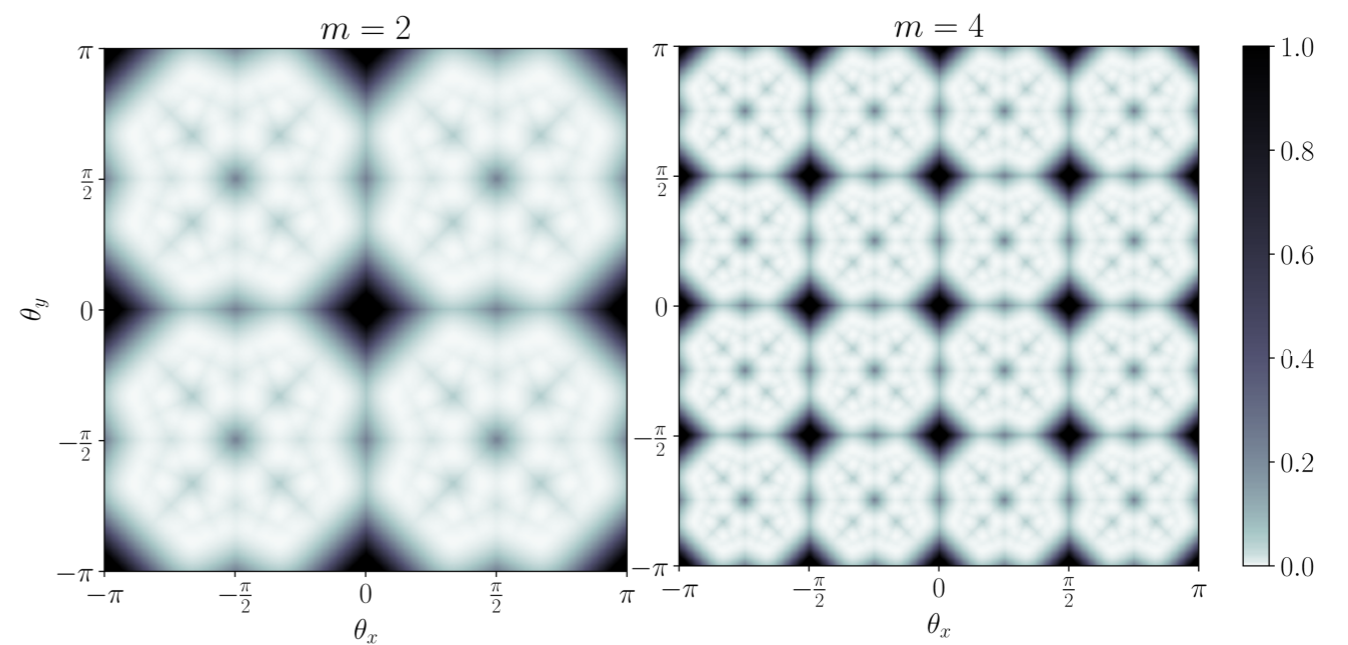


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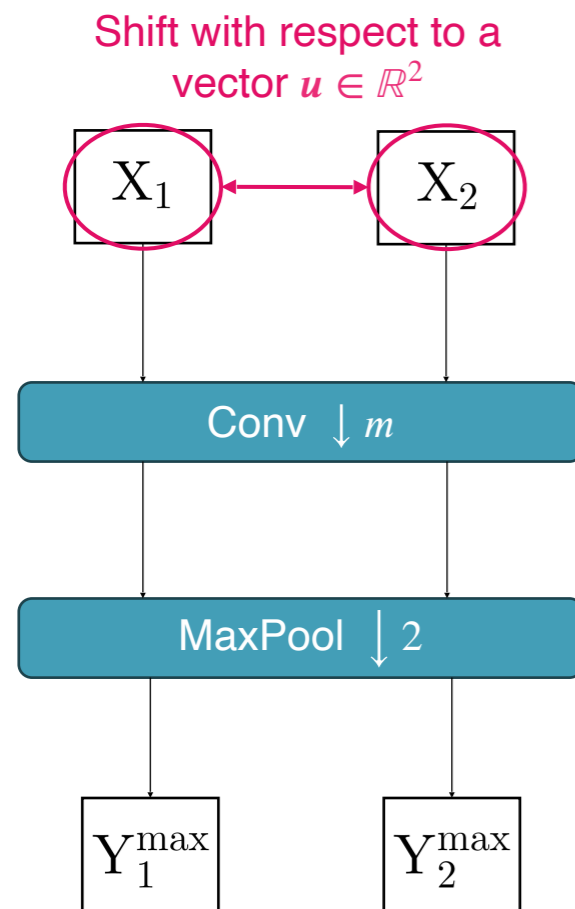


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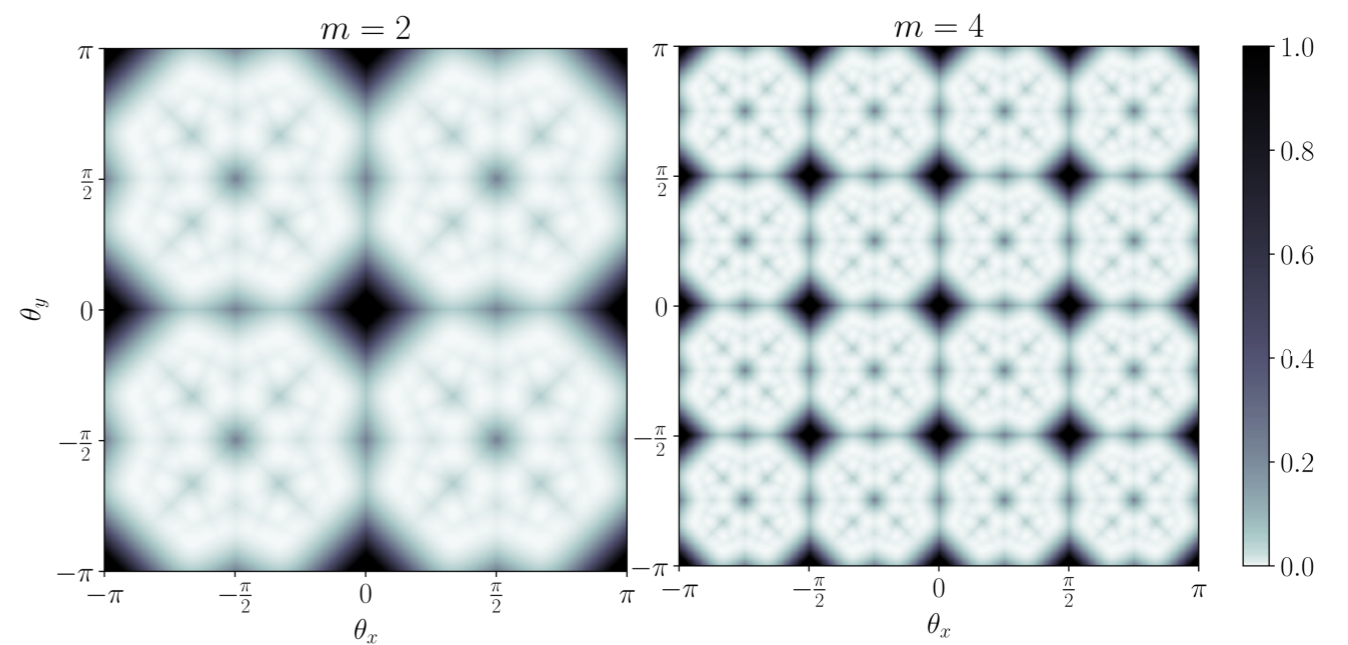
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$$\mathbb{E} \left[\frac{\|Y_1^{\max} - Y_2^{\max}\|_2}{\|Y_1^{\max}\|_2} \right] \leq 2(\beta_q(m\kappa) + \gamma_q(m\theta)) + \alpha(\kappa u)$$

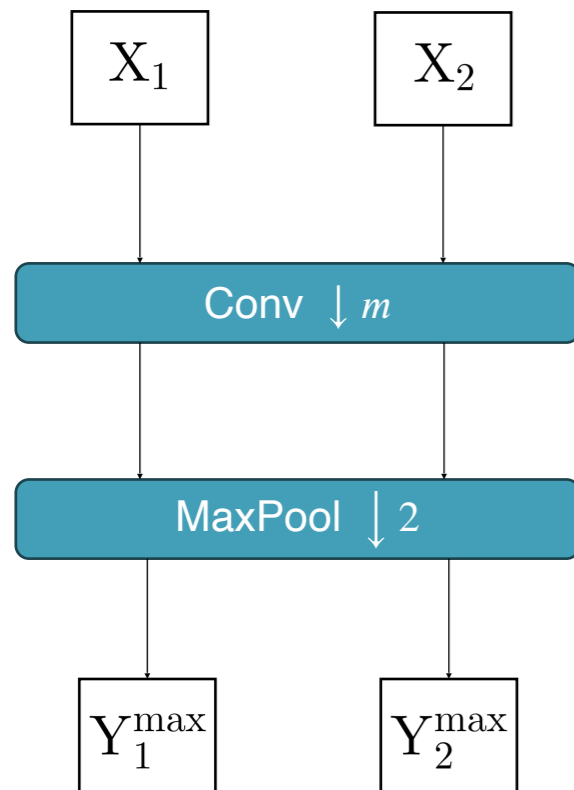
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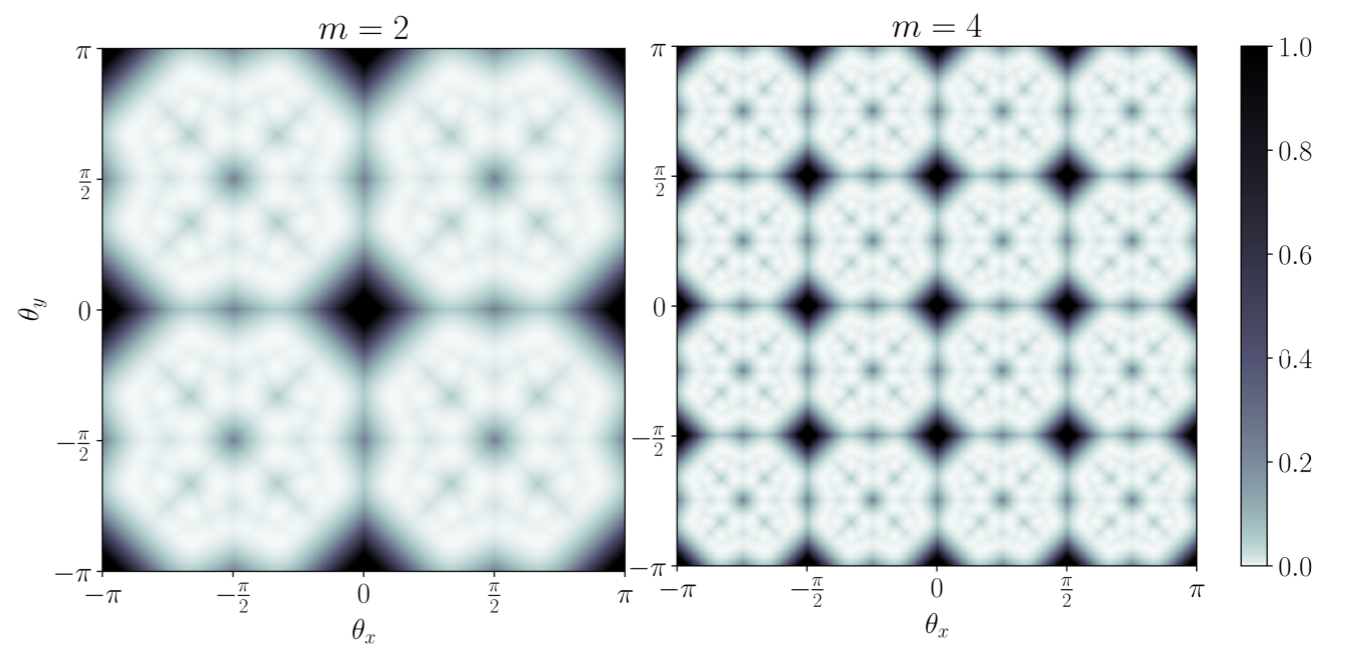


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Divergence **RMax-CMod**

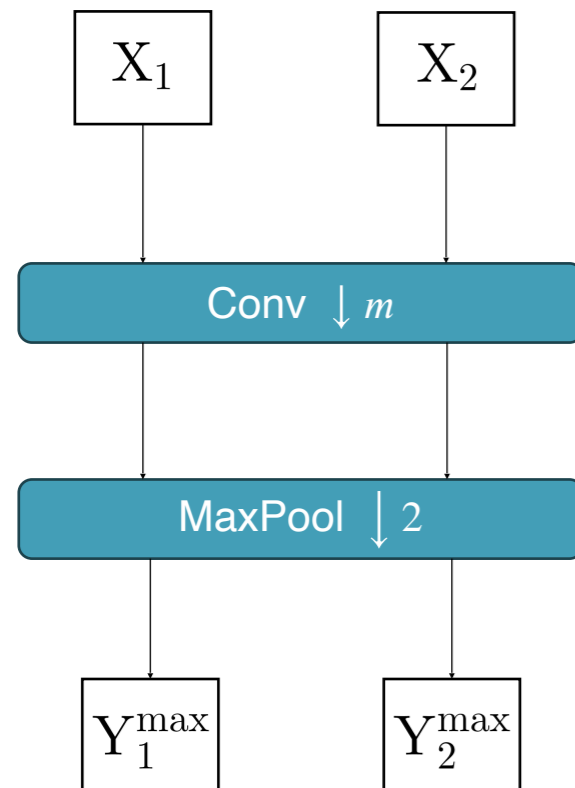
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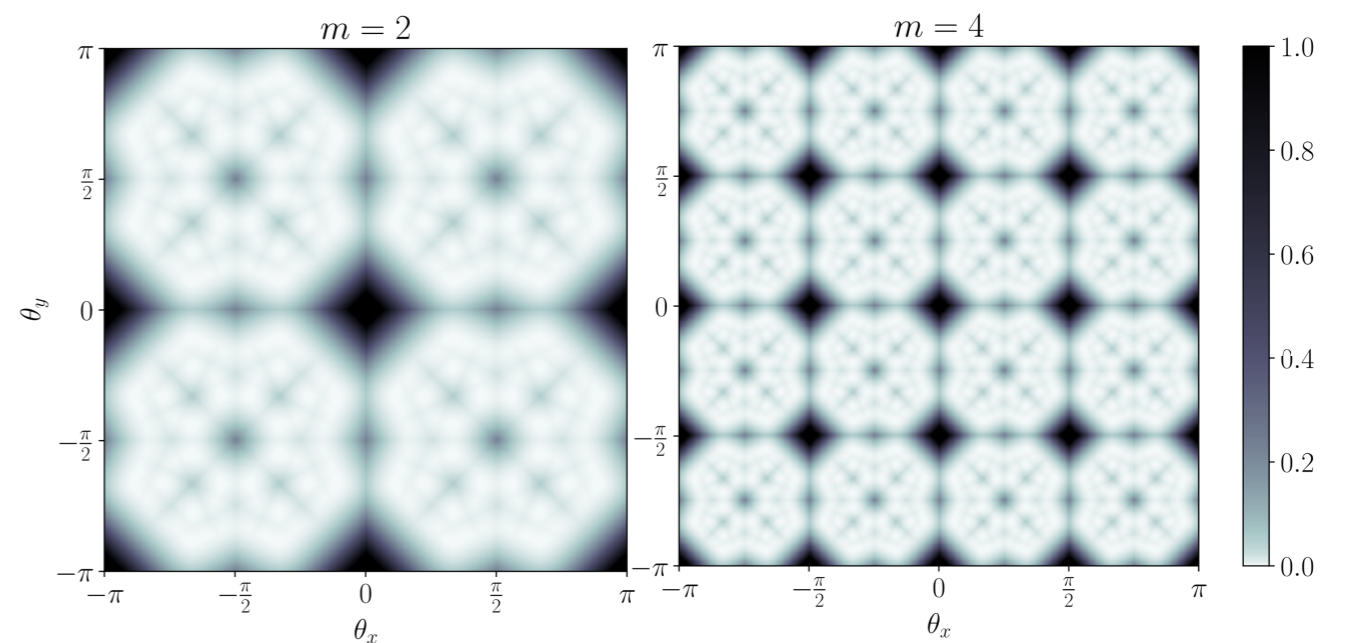


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Shift invariance
of \mathcal{CMod} ($O(\kappa u)$)

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Experimental validation

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- **What we need:**

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I. Bayram and I. W. Selesnick, "On the Dual-Tree Complex Wavelet Packet and M-Band Transforms," IEEE TSP, 2008.

Experiments

■ Filters generated by the DT-CWPT

Case $J = 2$ (two levels of dual-tree decomposition):

$$\kappa = \pi/2;$$

$$m = 2;$$

32 filters + complex conjugates.

Experiments

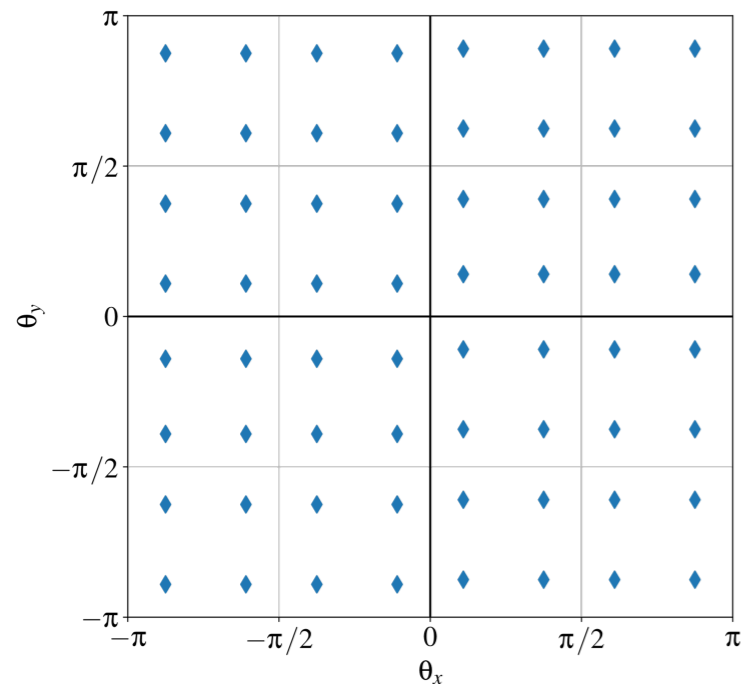
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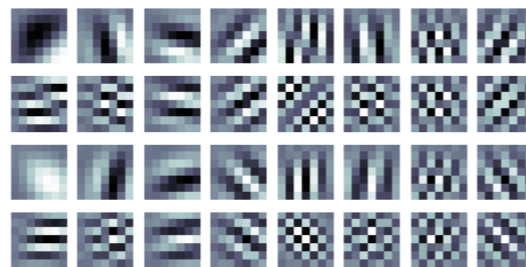
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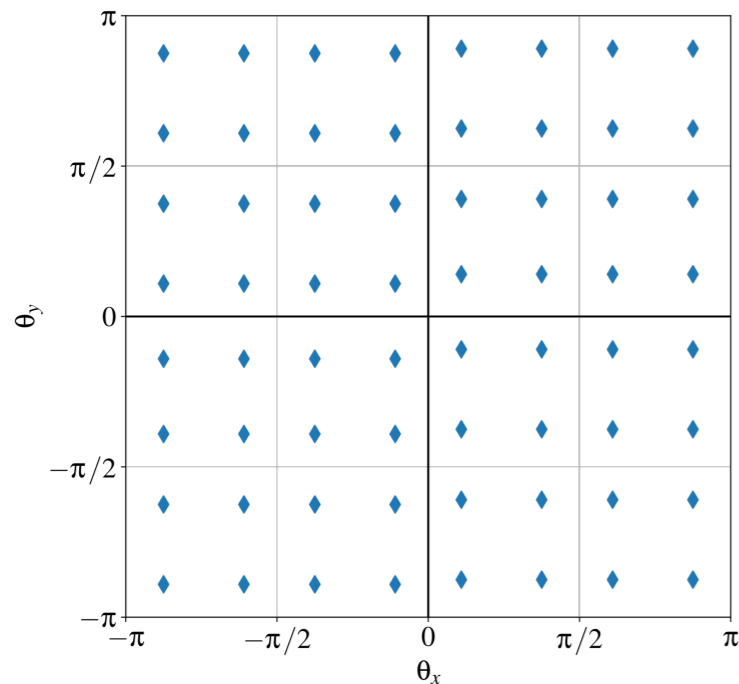


Spatial domain



Fourier domain

DT-CWPT
(real part only)



Experiments

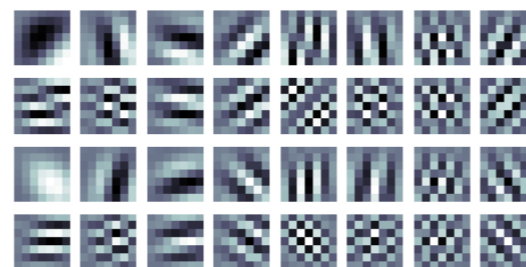
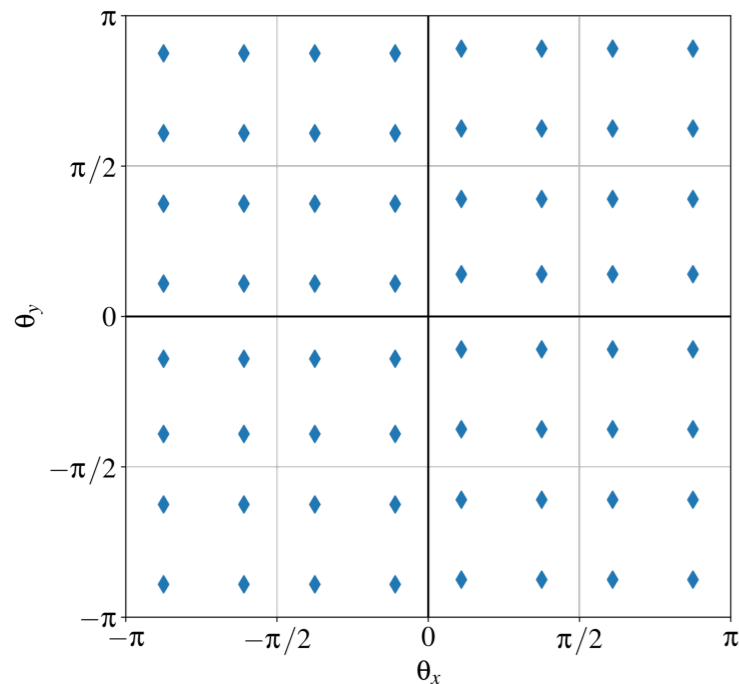
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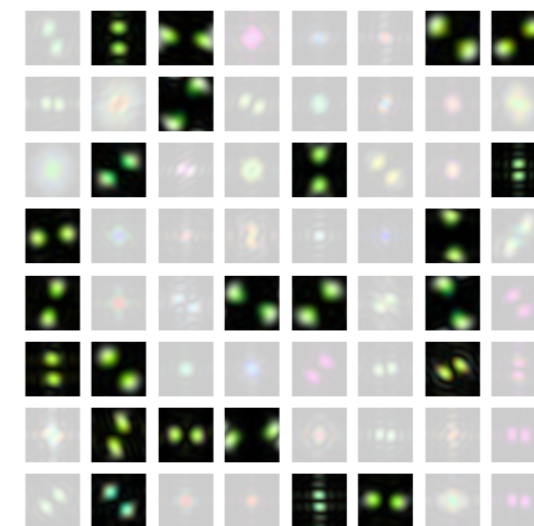
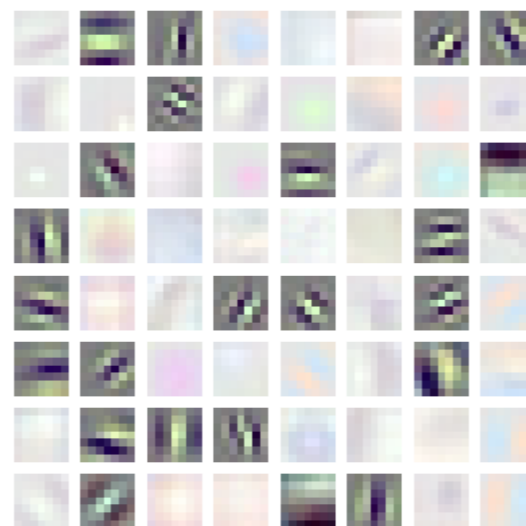


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ResNet-34

Experiments

■ Filters generated by the DT-CWPT

Case $J = 3$ (three levels of dual-tree decomposition):

$$\kappa = \pi/4;$$

$$m = 4;$$

128 filters + complex conjugates.

Experiments

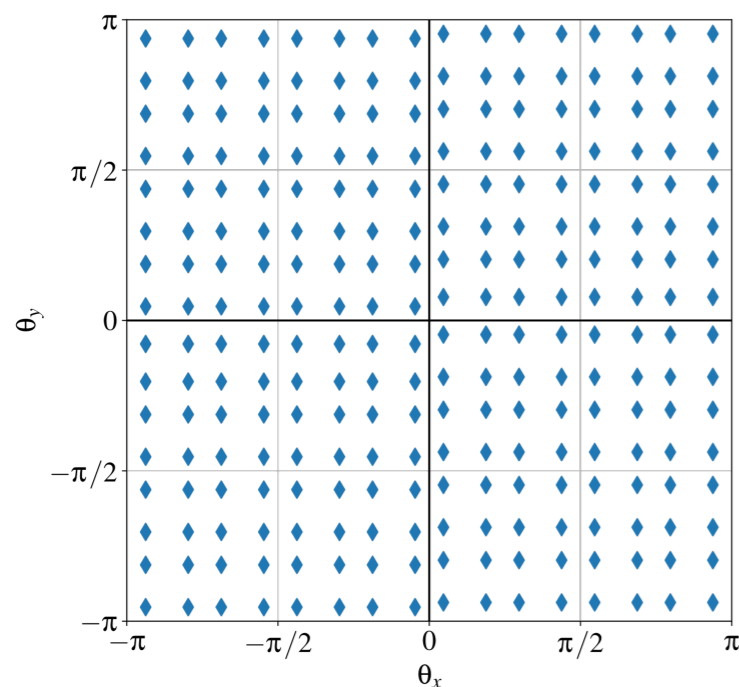
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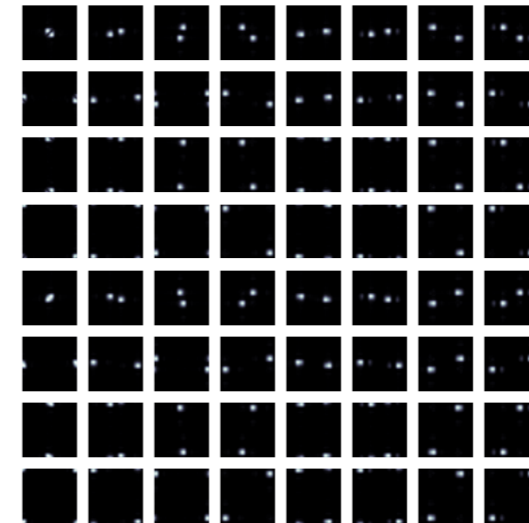
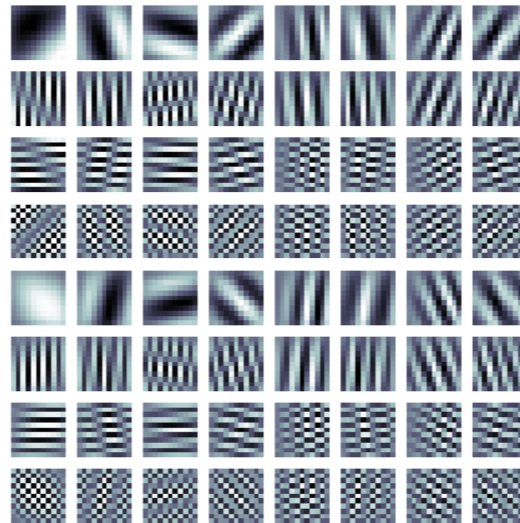
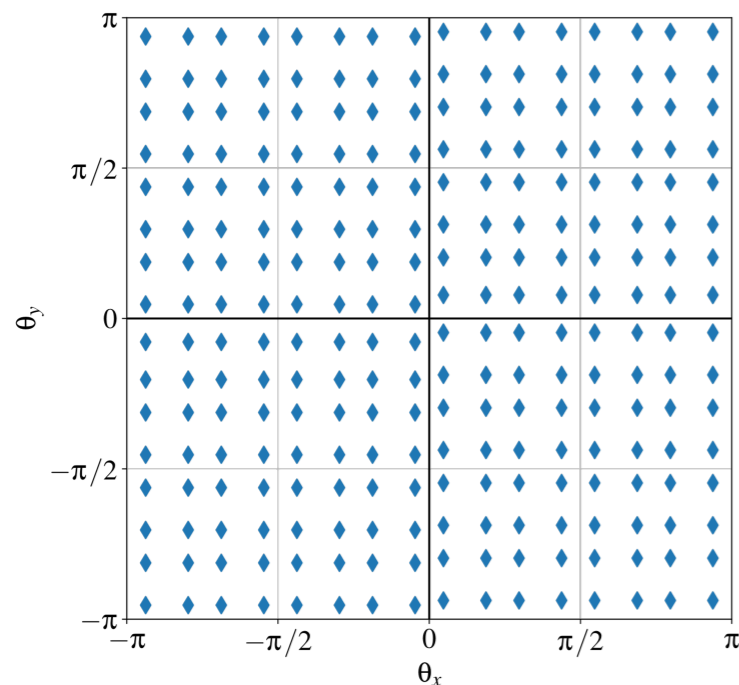
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DT-CWPT
(subset, real
part only)

Experiments

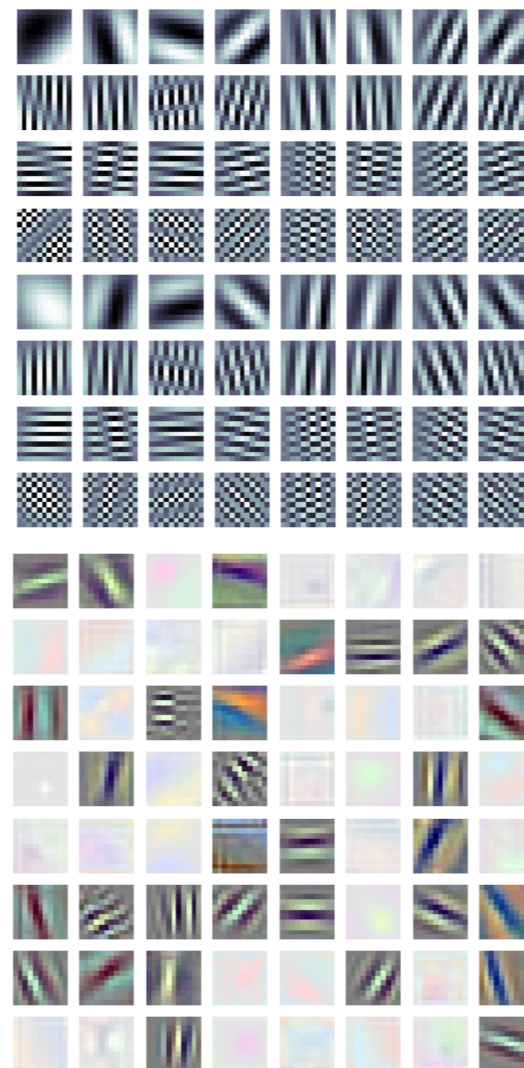
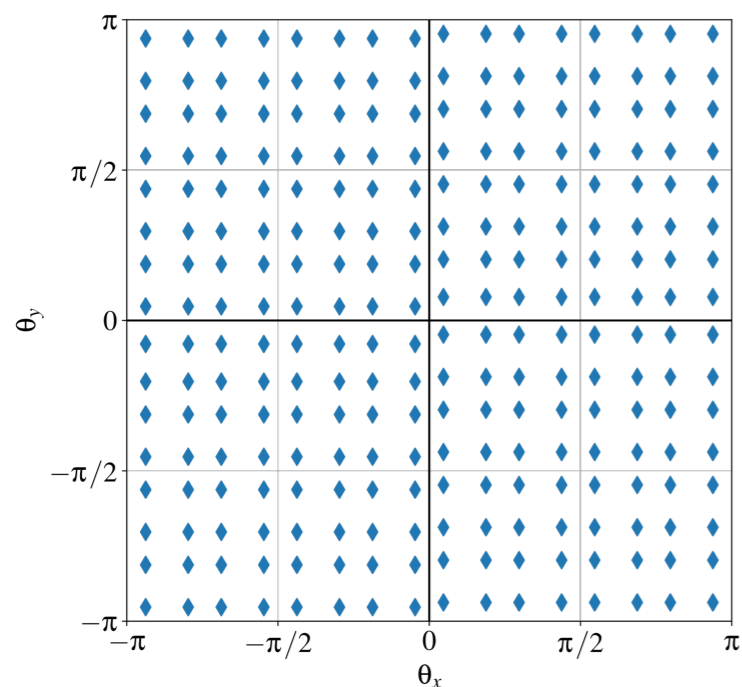
Filters generated by the DT-CWPT

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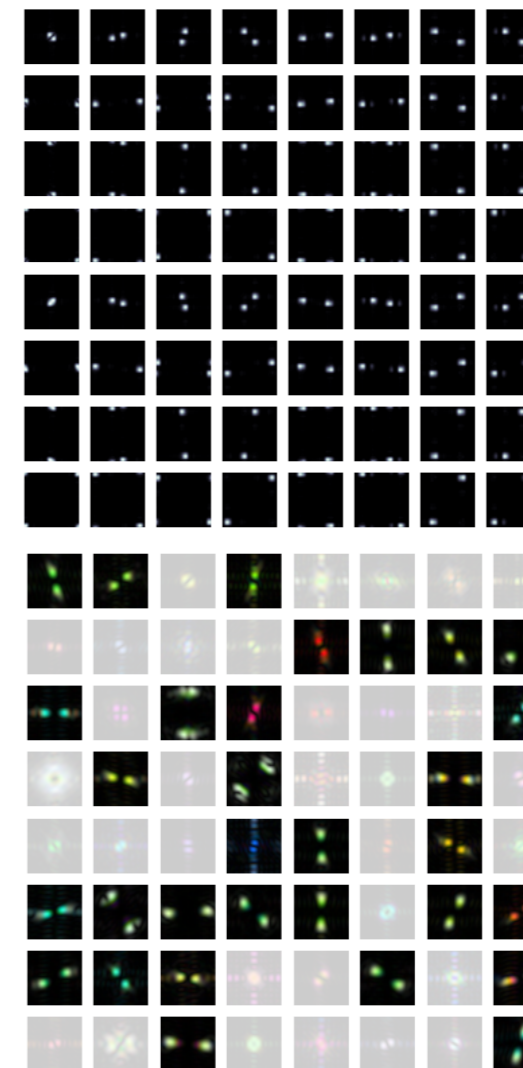
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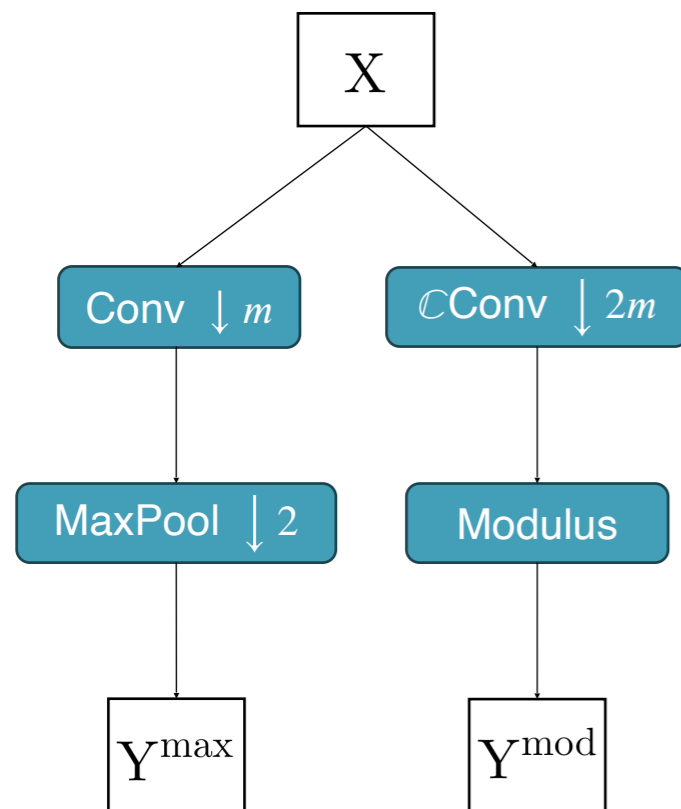
Fourier domain

DT-CWPT
(subset, real
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AlexNet

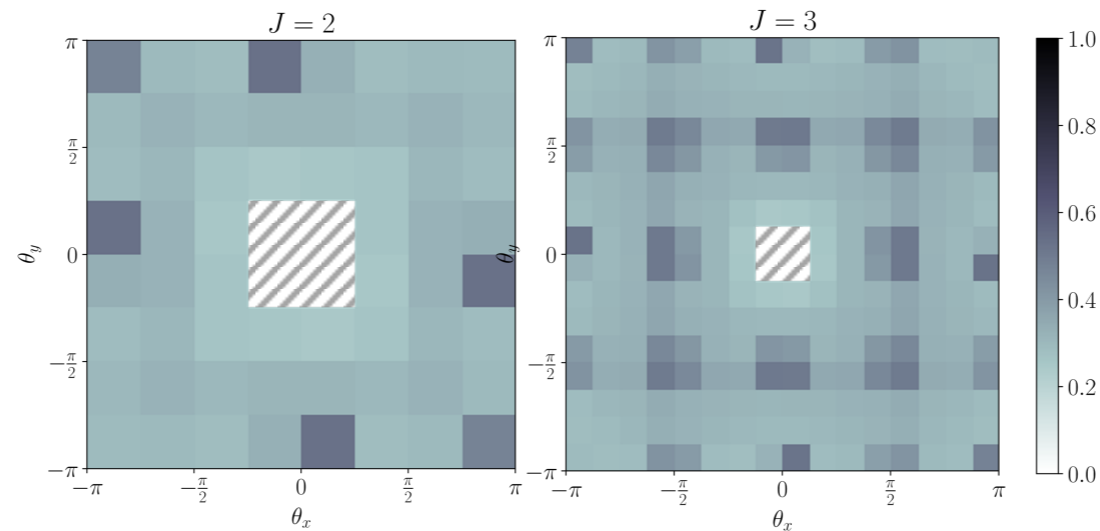
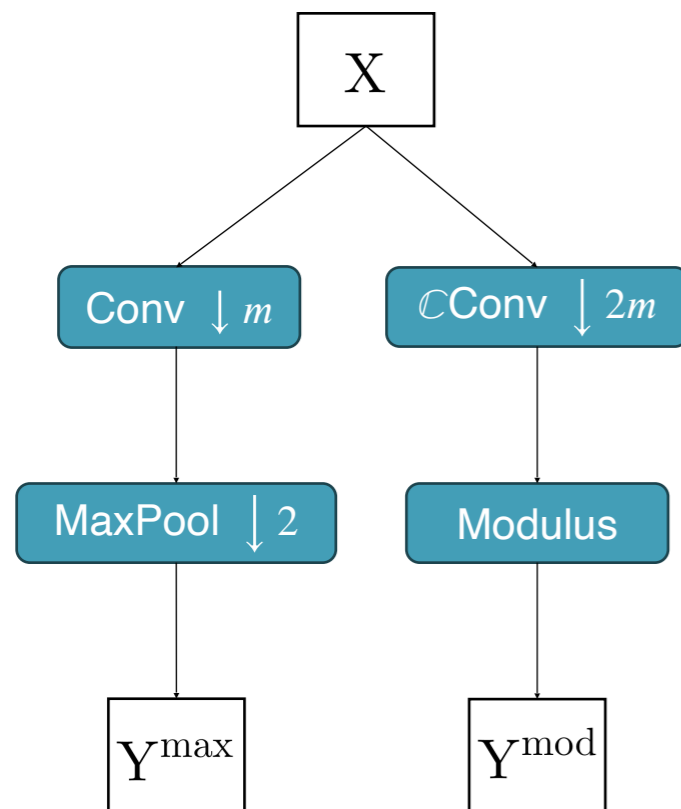
Experiments

- Normalized MSE between $\mathbb{C}\text{Mod}$ and $\mathbb{R}\text{Max}$



Experiments

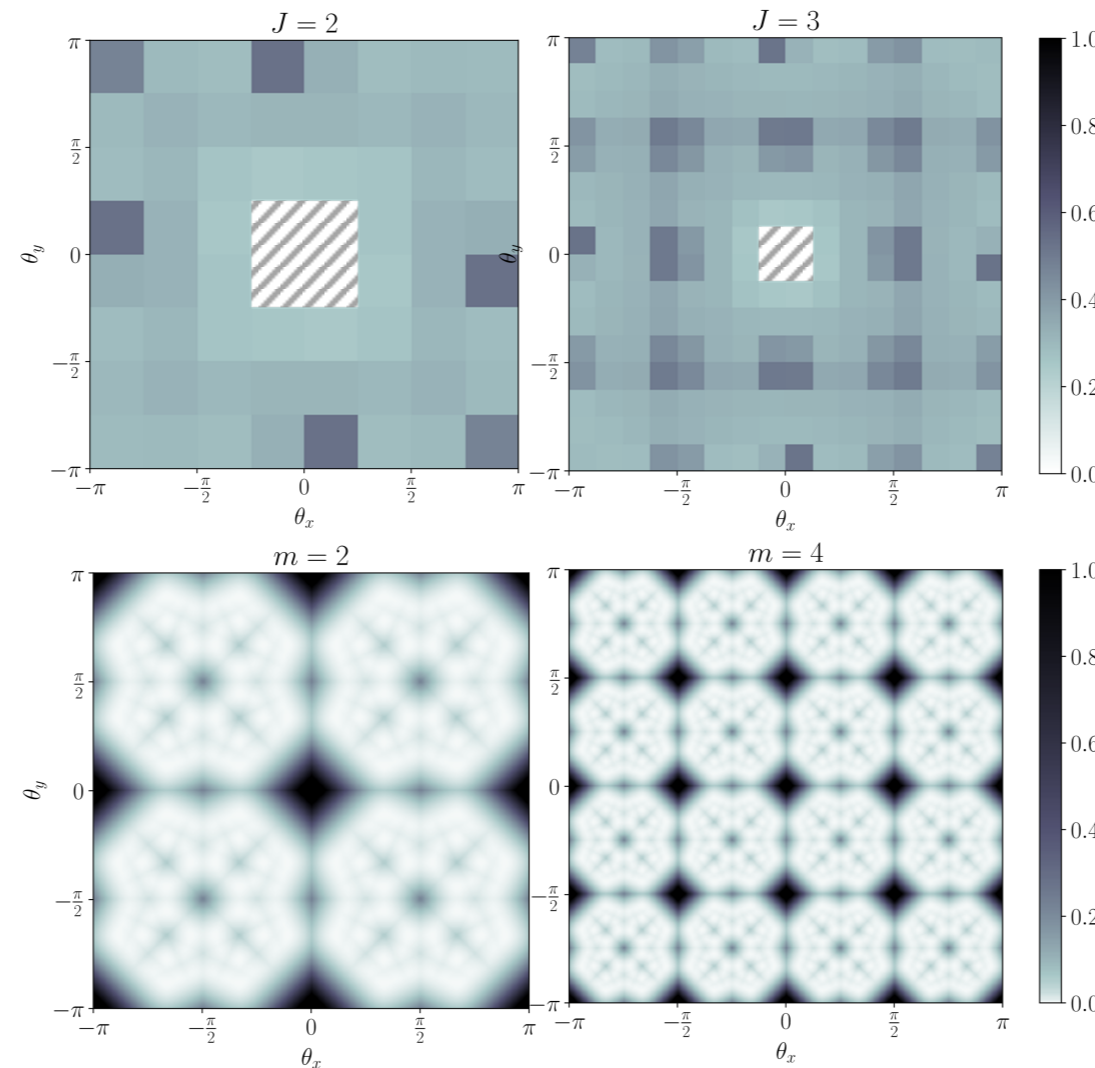
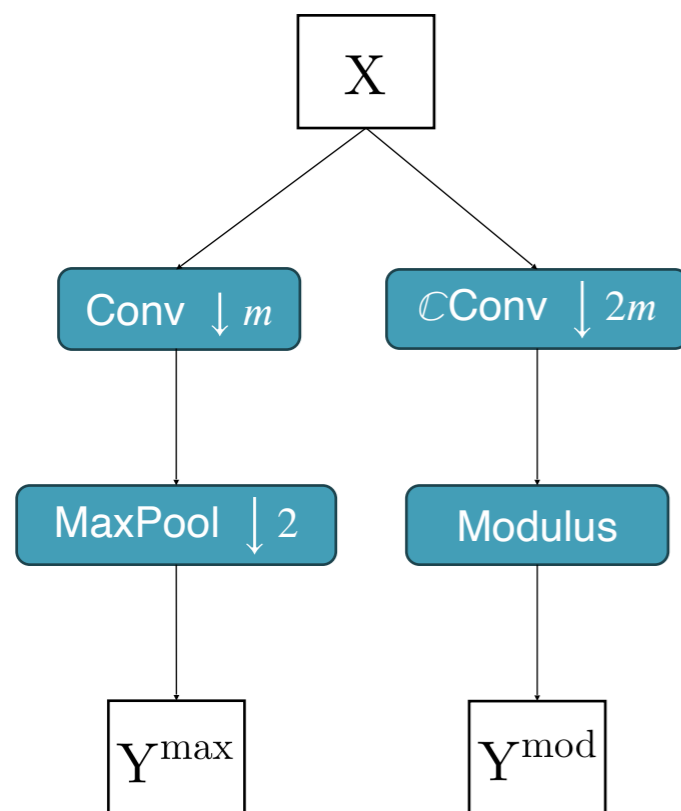
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$$\rho^2 = \frac{\|Y^{\max} - Y^{\text{mod}}\|_2^2}{\|Y^{\text{mod}}\|_2^2}$$

Experiments

- Normalized MSE between **CMod** and **RMax**



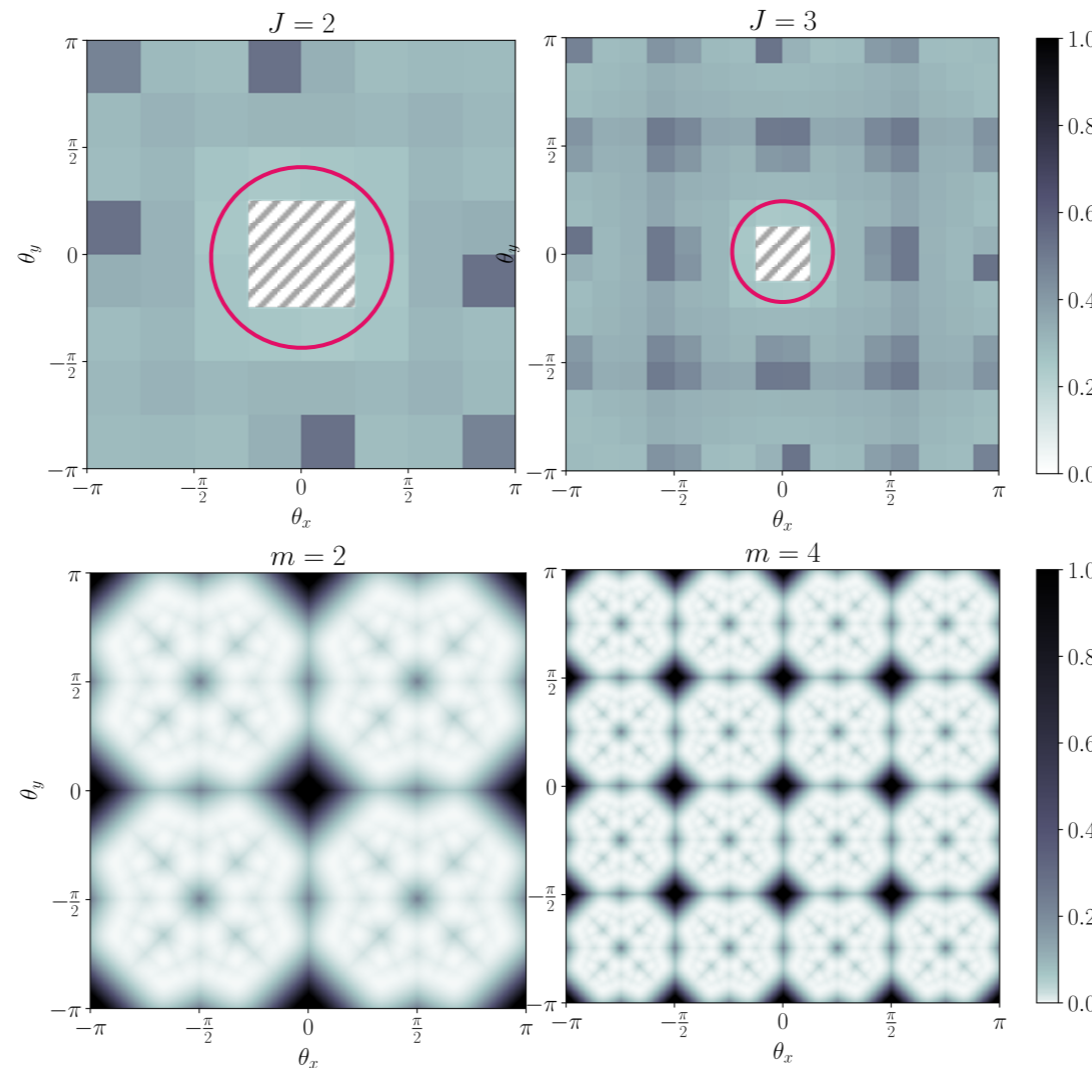
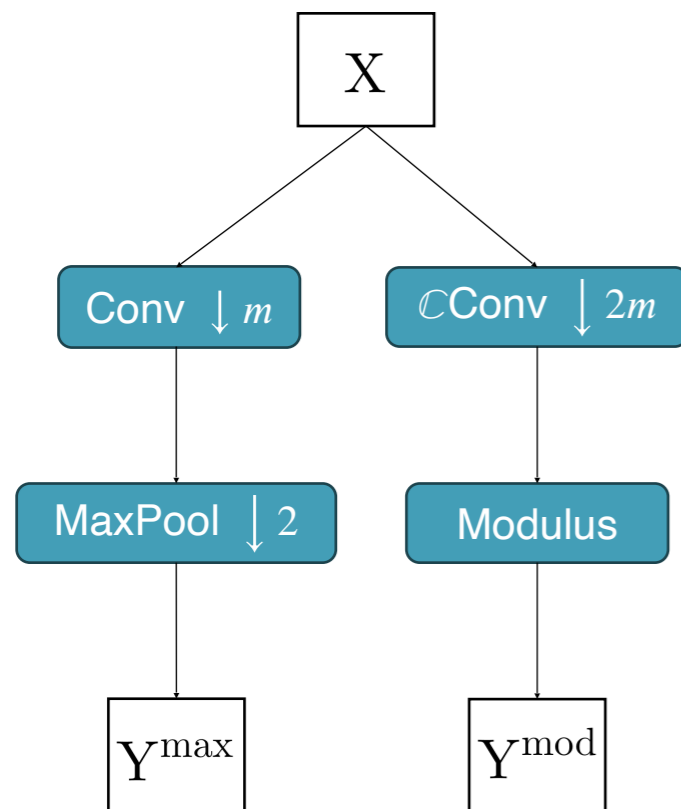
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Experiments

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Outside the scope of our study (low-pass filters)



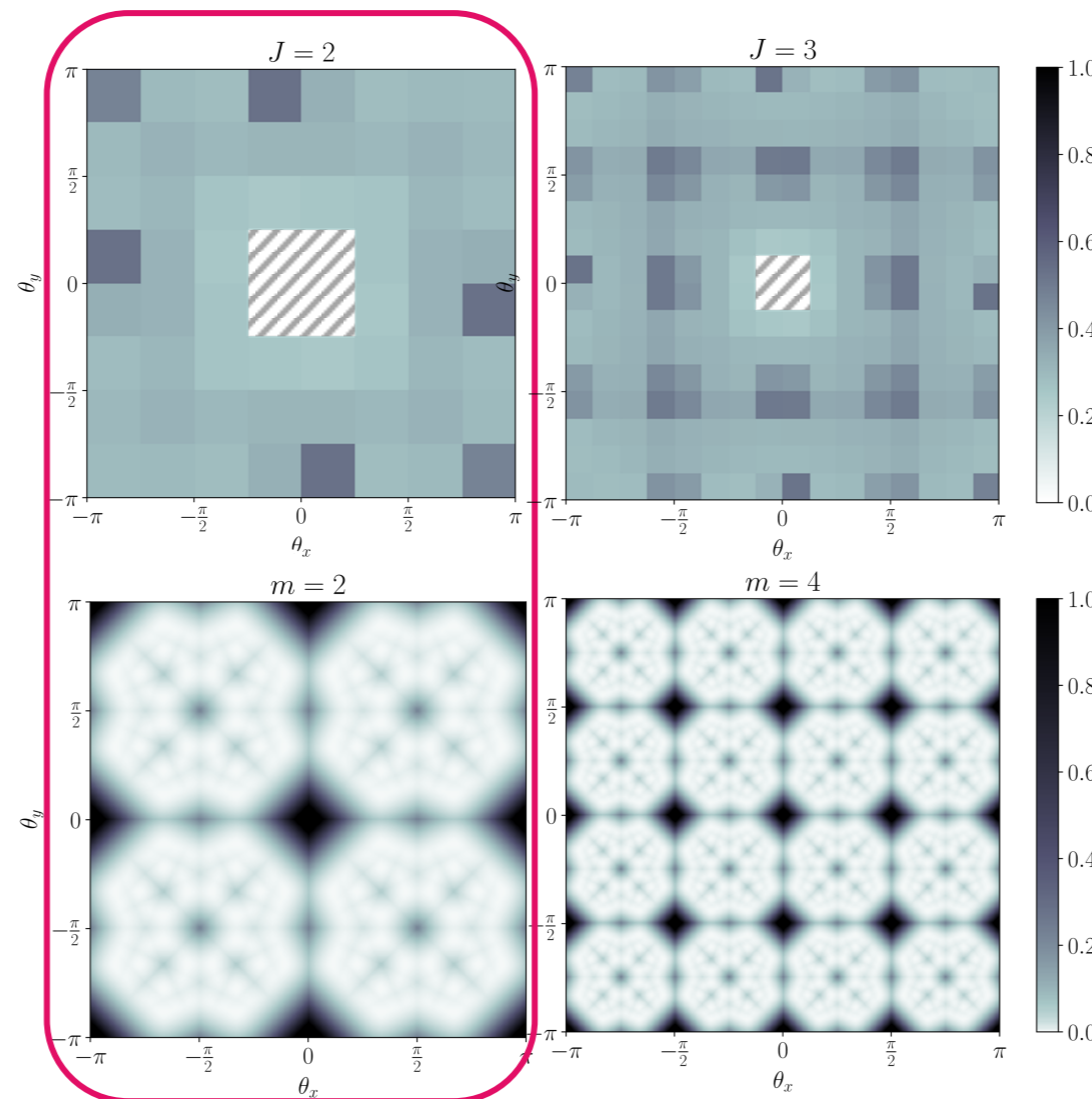
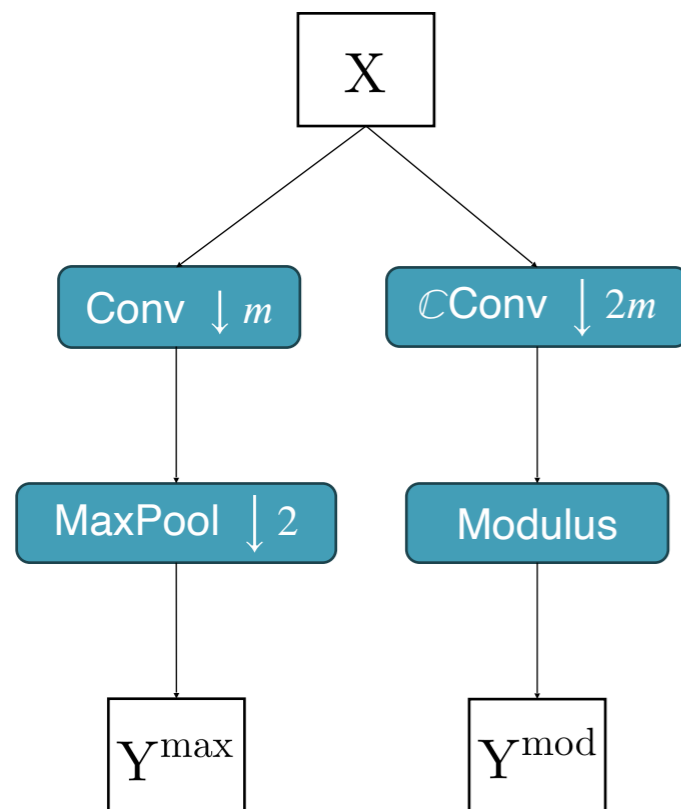
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ResNet-like scenario
 $J = 2, m = 2, \kappa = \pi/2$



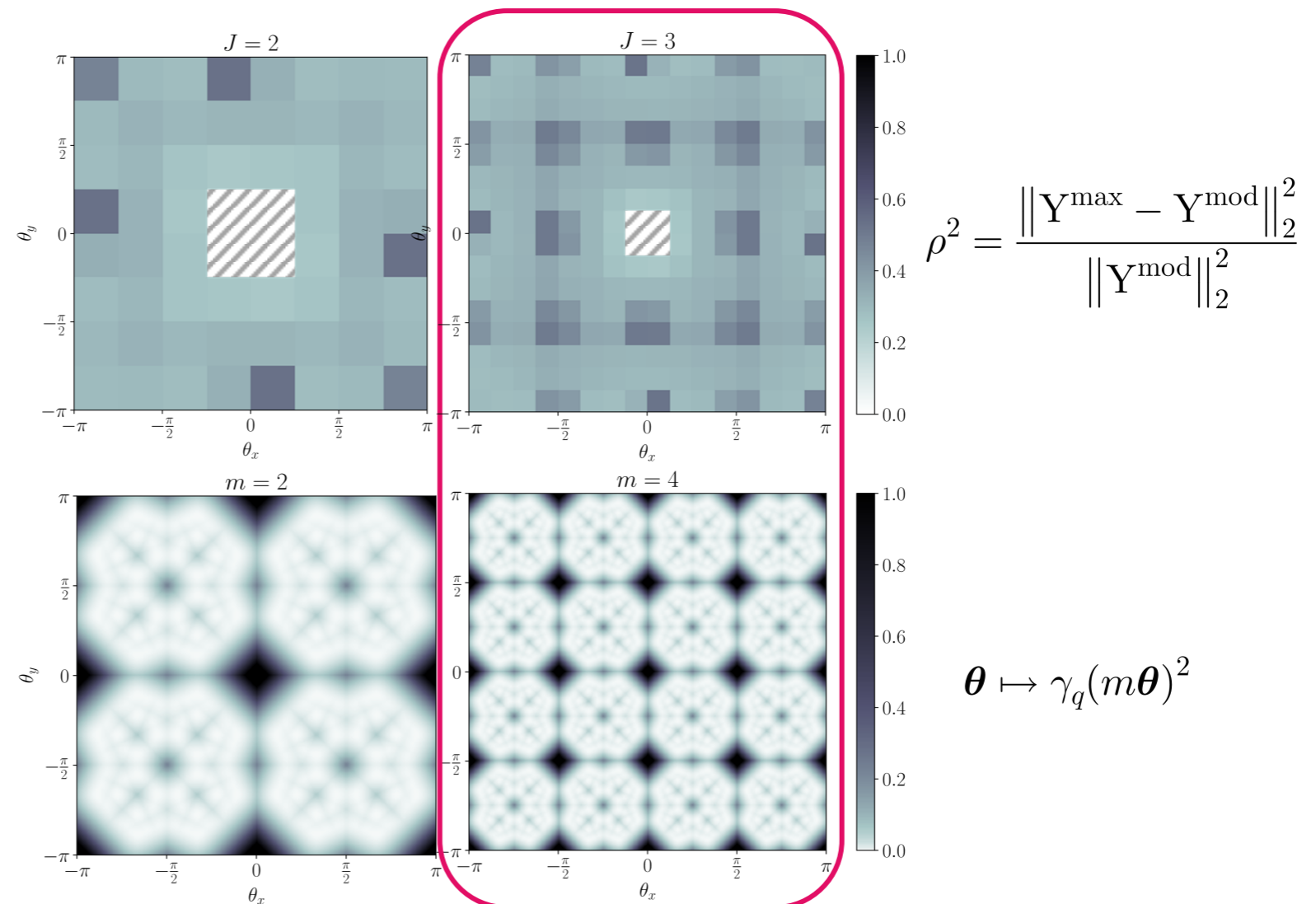
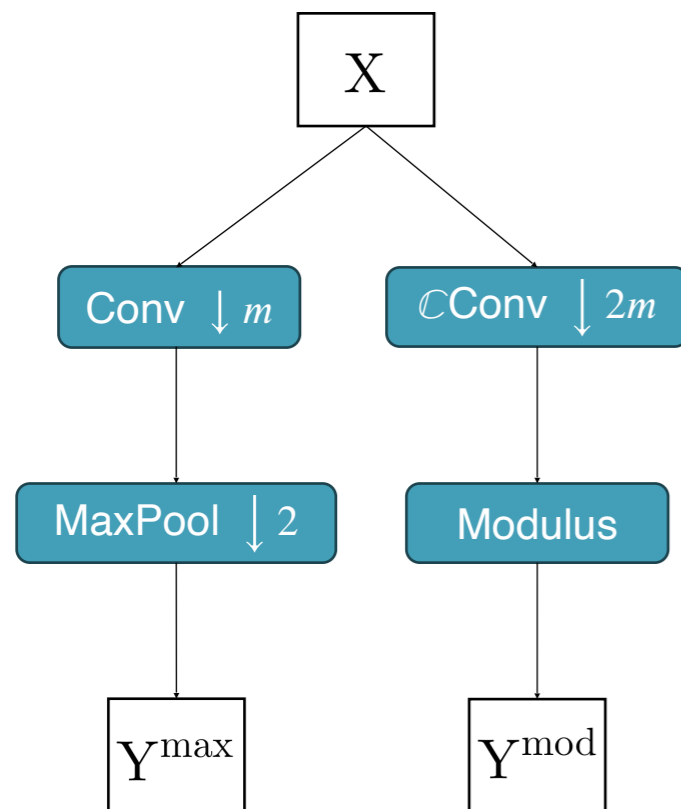
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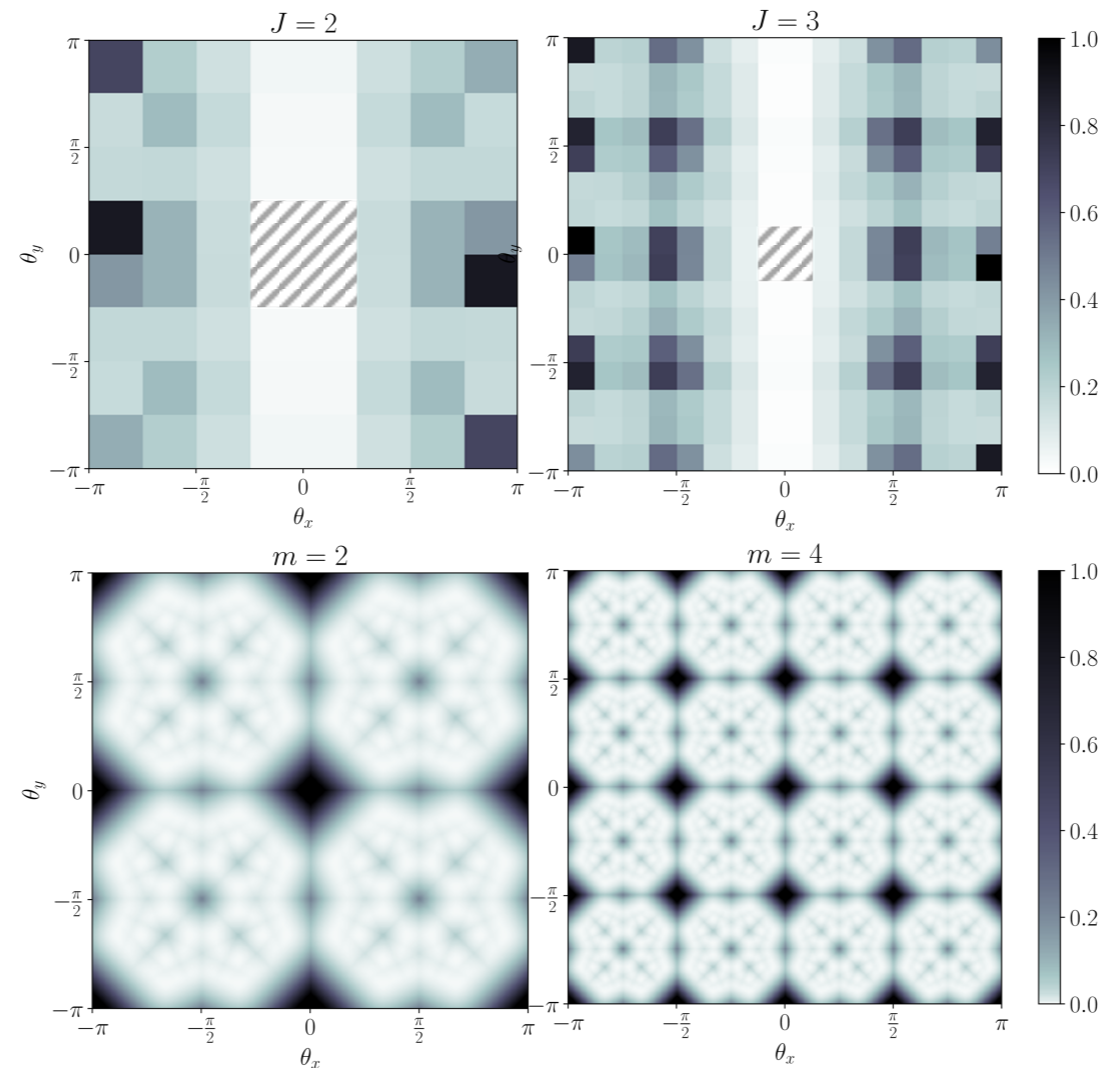
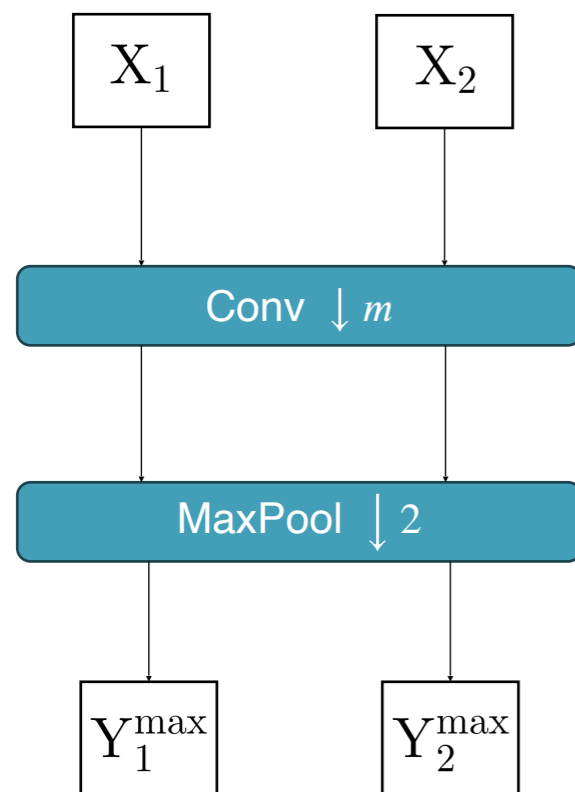
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AlexNet-like scenario
 $J = 3, m = 4, \kappa = \pi/4$



Experiments

■ Shift-invariance of $\mathcal{R}\text{Max}$ outputs

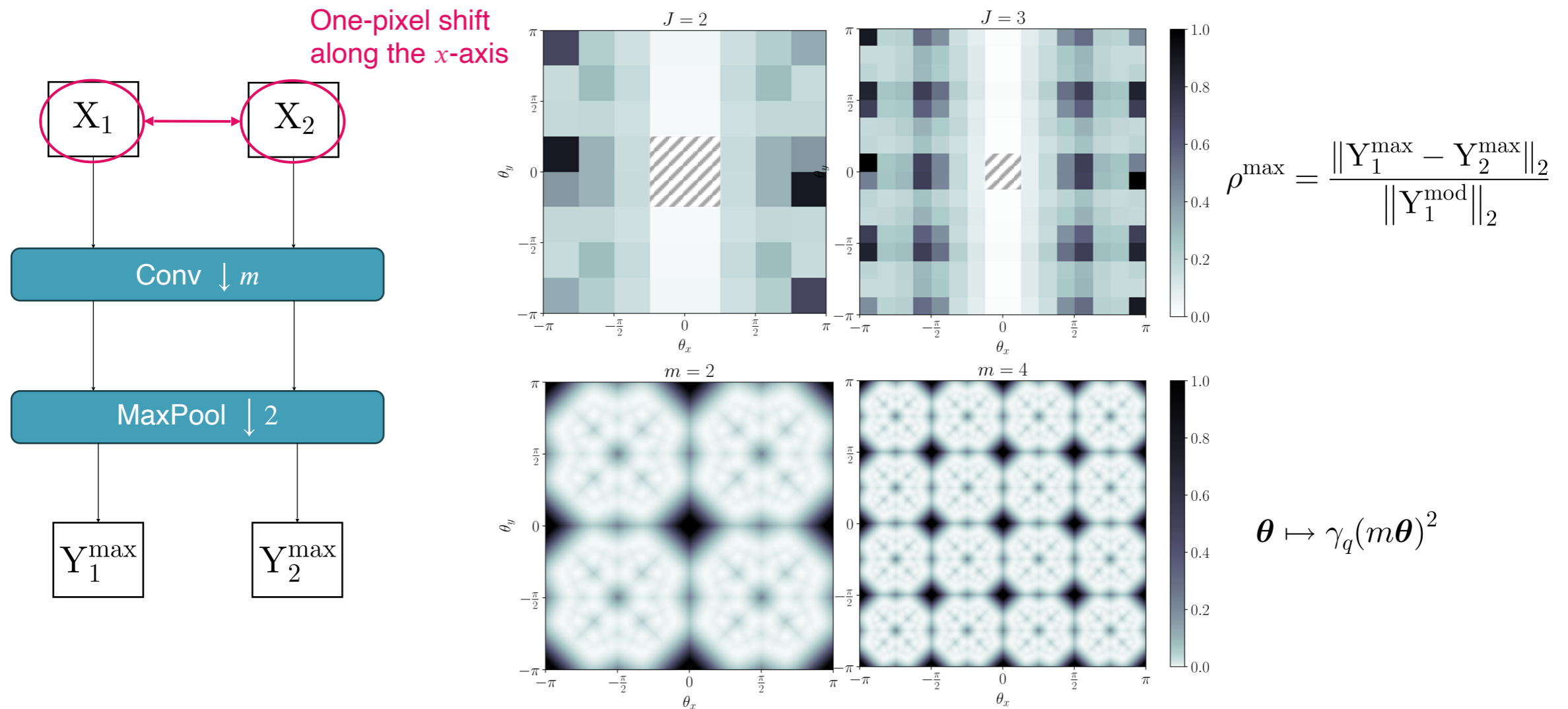


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- **\mathcal{CMod}** operator can serve as a **stable proxy** for **\mathcal{RMax}** enabling to **improve shift invariance** in CNNs architecture while preserving high-frequency information.

Publications

- Hubert Leterme, Kévin Polisano, Valérie Perrier, Karteek Alahari. **Modélisation Parcimonieuse de CNNs avec des Paquets d'Ondelettes Dual-Tree.** ORASIS 2021 - Journées francophones des jeunes chercheurs en vision par ordinateur, Centre National de la Recherche Scientifique [CNRS], Sep 2021, Saint Ferréol, France. pp.1-9. [⟨hal-03339792v2⟩](#)
- Hubert Leterme, Kévin Polisano, Valérie Perrier, Karteek Alahari. **On the Shift Invariance of Max Pooling Feature Maps in Convolutional Neural Networks.** 2023. [⟨hal-03779434v2⟩](#)
- Hubert Leterme, Kévin Polisano, Valérie Perrier, Karteek Alahari. **From CNNs to Shift-Invariant Twin Models Based on Complex Wavelets.** 2023. [⟨hal-03880520v2⟩](#)

Thank you!